

*This brochure supplement provides information about Mark Picchi that supplements the LPF Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Mark Picchi if you did not receive LPF Advisors, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Mark Picchi is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **LPF Advisors, LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Mark Picchi**

Personal CRD Number: 2228311

Investment Adviser Representative

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941-907-0101

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## Item 2: Educational Background and Business Experience

**Name:** Mark Picchi **Born:** 1966

### Educational Background and Professional Designations:

#### Education:

Associate Degree, Engineering, Massasoit College - 1991

#### Business Background:

04/2019 - Present	Investment Adviser Representative LPF Advisors, LLC
10/2005 - Present	Principal/ Owner LPF Financial Advisors
01/2005 - Present	Investment Adviser Representative Securities America Advisors, Inc.
12/2004 - Present	Registered Representative Securities America, Inc.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Your financial advisor may recommend the purchase and sale of securities products in their separate capacity as a registered representative with Securities America, Inc., a full-service broker/dealer, member FINRA/SIPC. Securities America, Inc. and Securities America Advisors, Inc. and LPF Advisors, LLC are not affiliated entities. A portion of the financial advisor's time each week is dedicated to securities and securities sales. Your financial advisor may receive commissions when offering securities products to clients. In addition to his work with Securities America, Inc., the financial advisor is engaged in offering insurance and insurance products as an insurance agent. A portion of his time each week is dedicated to

insurance and insurance sales, and he can earn commissions when acting in this separate capacity. Compensation based on being a registered representative/insurance agent: In addition to the advisory fees disclosed in your advisory agreement, your financial advisor may receive compensation, including bonuses and non-cash compensation, for selling certain securities or other investment products. As a result, certain incentives and conflicts of interest may exist for your financial advisor if you buy certain products or services recommended by your financial advisor.

Conflicts of interest may arise in the course of providing investment management services to you and the financial advisor's other financial industry activities. These potential conflicts of interest are described in this brochure. To the extent we are unable to prevent actual or potential conflicts, we will take reasonable steps to mitigate them and at a minimum, disclose them to you.

### **Item 5: Additional Compensation**

The financial advisor may have an incentive to join or remain with Securities America, Inc. through compensation arrangements over and above the compensation that may be received for selling products and services through Securities America, Inc. These arrangements may include bonuses, enhanced pay-outs, forgivable loans, business transition loans, and other forms of cash/non-cash compensation for meeting certain production levels. The financial advisor may receive bonuses or non-cash compensation relating to the promotion or sale of a program sponsor's products or services. These program sponsors may pay for training, education, or prospecting events such as seminars, for due diligence and travel expenses to these events, and occasionally they may provide business entertainment or gifts of nominal value to financial advisors.

The financial advisor may receive referral fees for referring a client or prospective client to SAA or a third party investment advisor. Incentive programs and cash/noncash compensation are strictly regulated by the SEC, FINRA, and Securities America compliance policies.

### **Item 6: Supervision**

Your financial advisor's activities are supervised by someone working in the financial advisor's office. The supervisor provides ongoing training and support to your financial advisor and answers questions about financial planning or providing investment advice to clients. The supervisor also reviews the financial advisor's activities through client relationship management system, business submission reviews, email monitoring, and correspondence

reviews. The person responsible for supervising the financial advisor's advisory activities, Kris Flammang, CCO, can be reached at 941-907-0101.