

This brochure supplement provides information about Kimberly Irene Swope that supplements the Zimmermann Investment Management & Planning brochure. You should have received a copy of that brochure. Please contact Kimberly Irene Swope if you did not receive Zimmermann Investment Management & Planning's brochure or if you have any questions about the contents of this supplement.

Additional information about Kimberly Irene Swope is also available on the SEC's website at www.adviserinfo.sec.gov.

Zimmermann Investment Management & Planning

Form ADV Part 2B – Individual Disclosure Brochure

for

Kimberly Irene Swope

Personal CRD Number: 5083615

Investment Adviser Representative

Zimmermann Investment Management & Planning

502 Bridge Street

New Cumberland, PA 17070

(717) 574-8385

kswope@zimllc.com

UPDATED: 05/30/2019

Item 2: Educational Background and Business Experience

Name: Kimberly Irene Swope **Born:** 1983

Educational Background and Professional Designations:

Education:

Bachelor's degree Finance, Penn State – 2005

Business Background:

07/2018 - Present	Investment Adviser Representative Zimmermann Investment Management & Planning
06/2005 - Present	Operations PFA Professional Group
06/2005 - Present	Operations Professional Financial Advisors, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Kimberly Irene Swope is a registered representative. From time to time, she will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Zimmermann Investment Management & Planning always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of Zimmermann Investment Management & Planning in such individual's outside capacity.

Kimberly Irene Swope is an investment adviser representative with another firm. From time to time, she will offer clients advice or products from this activity. Zimmermann Investment Management & Planning always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Zimmermann Investment Management & Planning in such individual's outside capacity.

Item 5: Additional Compensation

Kimberly Irene Swope does not receive any economic benefit from any person, company, or organization, other than Zimmermann Investment Management & Planning in exchange for providing clients advisory services through Zimmermann Investment Management & Planning.

Item 6: Supervision

As a representative of Zimmermann Investment Management & Planning, Kimberly Irene Swope works closely with the supervisor, Curt Heinz Zimmermann, and all advice provided to clients is reviewed by the supervisor prior to implementation. Kimberly Irene Swope adheres to applicable regulations regarding the activities of an Investment Adviser Representative, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. Curt Heinz Zimmermann's phone number is (717) 439-3089.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Kimberly Irene Swope has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Kimberly Irene Swope has not been the subject of a bankruptcy petition.