

**Part 2B of Form ADV: *Brochure Supplement***

**John Karl Kochersperger**

Sovereign Financial Group  
35 West Broad Street  
Suite 100  
Stamford, CT 06902

Telephone: (203) 883-6006  
Facsimile: (888) 765-8195  
Email: [jkk@sfgroupinc.com](mailto:jkk@sfgroupinc.com)  
Web: [www.sfgroupinc.com](http://www.sfgroupinc.com)

1/18/2019

**This brochure supplement provides information about John Kochersperger that supplements the Sovereign Financial Group brochure. You should have received a copy of that brochure. Please contact John Karl Kochersperger if you did not receive our brochure or if you have any questions about the contents of this supplement.**

**Additional information about John Kochersperger is available of the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

John Kochersperger

Year of birth: 1960

### Education:

Mr. Kochersperger attended Central Connecticut State University, attaining a Bachelor's of Science in Marketing in 1983. He has since received a Master's of Business Administration from Sacred Heart University in 1989.

### Business Background:

March 2008 – November 2018: Raymond James Financial Services, Inc; Financial Advisor

### Professional Designations:

Series 7 Exam-	May 9, 1996
Series 9 Exam-	May 27, 2016
Series 10 Exam-	June 27, 2016
Series 31 Exam-	September 23, 2011
Series 63 Exam-	May 23, 1996
Series 65 Exam-	May 31, 1996

## **Item 3. Disciplinary Information**

Mr. Kochersperger does not have any history of reportable disciplinary events.

## **Item 4. Other Business Activities**

Mr. Kochersperger is a member of TSK-JKK, LLC, a company which conducts real estate transactions and rentals. Additionally, Mr. Kochersperger is an insurance sales agent for Emerson Reid. Lastly, Mr. Kochersperger is an advisor for Alta Financial. Clients of Sovereign Financial are under no obligation to conduct business with any of Mr. Kochersperger's outside businesses.

## **Item 5. Additional Compensation**

Mr. Kochersperger does not receive any additional compensation from third parties for providing investment advice to its clients.

## **Item 6. Supervision**

As the managing member and chief compliance officer of Sovereign, Mr. Kochersperger is responsible for investment decisions and compliance matters. The firm has a compliance manual

and code of ethics which is designed to ensure the firm meets the regulatory requirements the SEC has placed on registered investment advisers. Mr. Kochersperger can be contacted at (203) 883-6006.

**Item 7.           Items for State-Registered Advisers**

Mr. Kochersperger has not been found liable in in any arbitration hearing, or in a proceeding by a civil, administrative, or self-regulatory body. Mr. Kochersperger has never been the subject of a bankruptcy petition.