

John Regan

Pretium Management LLC

**1010 Franklin Ave, Suite 410
Garden City, NY 11530
(212) 808-5240**

CRD Number 2922191

January 2019

This Brochure Supplement provides information about John Regan that supplements the Pretium Management LLC (“**Pretium**”) Brochure. You should have received a copy of that Brochure. Please contact John Regan, Pretium's Chief Compliance Officer (“**CCO**”), at 212-808-5240 or john@PretiumManagement.com if you did not receive Pretium’s Brochure, or if you have any questions about the contents of this supplement.

Additional information about Pretium is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

John Regan is Managing Partner and CCO of Pretium with over 25 years of experience in the financial services industry. Prior to joining Pretium in 2017, Mr. Regan was a Partner and Head of Capital Development at Piton Investment Management. Before moving to Piton, Mr. Regan was employed at Cantor Fitzgerald & Co. ("**Cantor**") where he served as a Managing Director in their Debt Capital Markets group. Prior to his 7 years at Cantor, Mr. Regan spent 5 years at WaMu Capital Corp., 4 years at Banc of America Securities and 6 years at Lehman Brothers. Mr. Regan received his B.A. in Economics from Hampden-Sydney College. Mr. Regan is currently Series 65, 63, 7 & 3 registered.

Item 3: Disciplinary Information

Mr. Regan has not been the subject of any material legal or disciplinary events required to be disclosed in this Item.

Item 4: Other Business Activities

Mr. Regan is a registered representative of LPS Capital, an unaffiliated broker-dealer; no business is conducted on behalf of the Firm in reliance upon that registration.

Mr. Regan could receive compensation from LPS Capital in the form of Introduction Fees. Currently that is not the case, and no fees are being paid.

Item 5: Additional Compensation

Mr. Regan does not receive any additional economic benefit for providing advisory services.

Item 6: Supervision

Mr. Regan is required to comply with Pretium's Supervisory Procedures and Compliance Manual, Code of Ethics, and other policies and procedures. Mr. Regan serves as Pretium's CCO. Mr. Regan can be reached at (212) 808-5240 or john@PretiumManagement.com.

Item 7: Requirements for State-Registered Advisors

- A. In addition to the events listed in Item 3 of Part 2B, if the supervised person has been involved in one of the events listed below, disclose all material facts regarding the event.
 - I. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
- 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
- B. If the supervised person has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

Mr. Regan has not been the subject of any material legal or disciplinary events required to be disclosed in this Item.

Michael Farrell

Pretium Management LLC

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Item 2: Educational Background and Business Experience

Michael Farrell, 30 years old, is a Vice President at Pretium with over 8 years of experience in the financial services industry. In addition to joining Pretium in 2018, Mr. Farrell has worked at FARR Education LLC (“**FARR Ed**”) where he has served as an Investment Analyst since 2017. Prior to this, Mr. Farrell spent 7 years at Annaly Capital Management Inc, most recently holding the position of Vice President. Mr. Farrell received his B.S. in Finance from Wake Forest University and became a CFA charterholder in 2017.

Item 3: Disciplinary Information

Mr. Farrell has not been the subject of any material legal or disciplinary events required to be disclosed in this Item.

Item 4: Other Business Activities

Mr. Farrell works part-time for FARR Ed as an Investment Analyst in addition to his duties at Pretium. FARR Ed is a financial services company that lends to charter schools in the greater NJ area. Pretium has no business relationship with Farr Ed. Mr. Farrell receives a salary for work at Farr Ed. None of his compensation is bonus- or commission-based.

Item 5: Additional Compensation

Mr. Farrell does not receive any additional economic benefit for providing advisory services.

Item 6: Supervision

Mr. Farrell is required to comply with Pretium’s Supervisory Procedures and Compliance Manual, Code of Ethics, and other policies and procedures. Pretium’s CCO, John Regan, monitors Mr. Farrell’s advisory activities for compliance with Pretium’s policies and procedures. Mr. Regan can be reached at (212) 808-5240 or john@PretiumManagement.com.

Item 7: Requirements for State-Registered Advisors

- A. In addition to the events listed in Item 3 of Part 2B, if the supervised person has been involved in one of the events listed below, disclose all material facts regarding the event.
 - I. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
- 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
- B. If the supervised person has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

Mr. Farrell has not been the subject of any material legal or disciplinary events required to be disclosed in this Item.