

***Cover Page***

**JAMES B. REGAN**

**5090 N. 40th St., Suite 200  
Phoenix, AZ 82018**

**Phone: (480) 999-3033**

March 7, 2019

**FORM ADV PART 2  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about James B. Regan that supplements the SharpePoint, LLC brochure. You should have received a copy of that brochure. Please contact James B. Regan if you did not receive a SharpePoint, LLC's brochure or if you have questions about this supplement. Mr. Regan's CRD number is 5990957.**

**Additional information about James B. Regan is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

***Educational Background and Business Experience***

James B. Regan  
Managing Partner  
Year of Birth: 1981

**Business Background:**

SharpePoint, LLC, Managing Partner, January 2018 – Present

Private Advisor Group, LLC d/b/a SharpePoint, LLC, Investment Advisor Representative,  
October 2012 – January 2018

LPL Financial, LLC d/b/a SharpePoint, LLC, Registered Representative, October 2012 – January  
2018

**Educational Background:**

Illinois State University, Bachelor of Science in Marketing, Graduated: 2005

**RELEVANT DESIGNATIONS:**

**Accredited Investment Fiduciary® (AIF®):**

Accredited Investment Fiduciary® (AIF®) designees have the ability to implement a prudent process into their own investment practices as well as being able to assist others in implementing proper policies and procedures. Candidate must meet a point-based threshold based on a combination of education, relevant industry experience and/or professional development. included in this are sections to attest to both the Code of Ethics and Conduct Standards. The Code of Ethics consists of the tenets of ethical conduct expected of all credential holders, while the Conducts Standards pertain to any past criminal and civil litigation, regulatory events, and personal and professional conduct. AIF® designees must accrue a minimum of six hours of continuing education annually. The CE requirement is effective immediately upon attainment of the AIF® designation, and CE hours may be accrued from a variety of sources. All CE is subject to audit by, and final approval from, Fi360.

***Disciplinary Information***

Mr. Regan does not have any reportable disciplinary disclosures.

Form ADV, Part 2B, Item 4

***Other Business Activities***

James B. Regan has a financial industry affiliated business as an insurance agent. Not more than 30% of his time is spent on these activities. From time to time, he offers clients advice or products from those activities. He may receive separate yet typical compensation in the form of commissions for the sale of insurance products.

These practices represent a conflict of interest because it gives Mr. Regan an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Regan has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Mr. Regan is also involved with Regan & Associates, LLC as a Tax Conduit.

Form ADV, Part 2B, Item 5

***Additional Compensation***

James B. Regan does not receive any economic benefit from anyone, who is not a client, for providing advisory services.

Form ADV, Part 2B, Item 6

***Supervision***

SharpePoint, LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the Securities and Exchange Commission and Investment Advisers Act of 1940. Mr. Regan is supervised by Trey Vineyard, (480) 999-3033, SharpePoint, LLC's Chief Compliance Officer.

Form ADV, Part 2B, Item 7

***Requirements for State-Registered Advisers***

James B. Regan does not have any reportable disciplinary events required to be disclosed in this section.