

This brochure supplement provides information about Richard Christopher Taylor that supplements the Taylor and Taylor Financial Services USA LLC brochure. You should have received a copy of that brochure. Please contact Richard Christopher Taylor if you did not receive Taylor and Taylor Financial Services USA LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Christopher Taylor is also available on the SEC's website at www.adviserinfo.sec.gov.

Taylor and Taylor Financial Services USA LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Richard Christopher Taylor

Personal CRD Number: 6474262

Investment Adviser Representative

Taylor and Taylor Financial Services USA LLC

228 Park Ave S #51430
New York NY 10003646-201-4865
info@taylortaylorusa.com

UPDATED: 02/20/2019

Item 2: Educational Background and Business Experience

Name: Richard Christopher Taylor

Born: 1984

Educational Background and Professional Designations:

Education:

Business and Financial Services, The Nottingham Trent University – 2006

Designations:

Chartered Financial Planner

The CII's title "Chartered Financial Planner" is a widely accepted 'gold standard' qualification for professional financial planners and financial advisers in the United Kingdom.

By definition, holders of the Chartered Financial Planner qualification are among the most experienced and most qualified advisers in the profession.

As at May 2016, there were over 36,000 members of the Personal Finance Society (the principal professional body for financial advisers in the UK), of which over 5,000 hold Chartered Financial Planner status.

The titles of Chartered Financial Planner and Chartered Financial Planners were granted by the Chartered Insurance Institute (CII). The Privy Council authorized the CII to issue the Chartered title in 2005. Thus, a Chartered Financial Planner now carries comparable qualifications as other established professions such as Chartered Accountants and Chartered Surveyors, etc. Membership of the Personal Finance Society, the leading professional body for financial planners, is required to be able to use the professional designation Chartered Financial Planner.

The individual Chartered Financial Planner qualification fits into the National Qualifications Framework at Level 6, equivalent to a Bachelor (first) Degree.

Chartered Insurance Institute (CII)

The **Chartered Insurance Institute** (also known as the CII) is a professional body for the insurance sector. The CII's purpose, as set out in its 1912 royal charter, is to 'Secure and justify the confidence of the public' in its members and the insurance sector as a whole. It aims to do this through setting standards of integrity, technical competence and business capability.

Investment Management Certificate (IMC)

The IMC is the benchmark entry-level qualification into the UK investment profession. It delivers the threshold competency knowledge required by investment professionals involved in portfolio management, research analysis, and other front office investment activities. The examinations cover the key content areas appropriate for these roles including economics, accounting, investment practice, regulation, and ethics. The qualification is developed, delivered and awarded by CFA UK.

CFA UK

Founded in 1955, CFA UK represents around 12,000 investment professionals and comprises part of the worldwide network of member societies of CFA Institute (the global, not-for-profit association of investment professionals that awards the CFA designation). CFA UK actively promote the highest standards of ethics, education and professional excellence. As well as providing comprehensive continuing professional development, they advocate professionalism across the investment industry. Most importantly, all their members pledge to act in their clients' interests with integrity.

CFA UK is the awarding body for the Investment Management Certificate (IMC), the UK's leading entry level qualification for investment professionals. They also support the CFA Program and the Investment Foundations Program, which are awarded by CFA Institute. CFA UK supports the ASIP designation that was awarded to those passing the Associate examinations of the IIMR.

Business Background:

10/2017 - Present	Managing Member, CEO & CCO Taylor and Taylor Financial Services USA LLC
03/2015 – 01/2018	Senior Investment Advisor deVere USA
09/2012 - 02/2015	Senior Wealth Manager PIC Middle East
08/2011 - 09/2012	Financia Consultant Acuma Independent Financial Advisors
06/2010 - 08/2011	Financial Planner Clarion PLC
02/2008 - 02/2010	Account Manager Scottish Widows

09/2007 - 02/2008

Account Manager
Friends Life

05/2007 - 09/2007

Client Services Administrator
Equilibrium Asset Management

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Richard Christopher Taylor is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

While located in Dubai, prior to forming Taylor and Taylor Financial Services USA LLC, Mr. Taylor referred clients to foreign financial institutions and continues to receive compensation from those entities for the prior referrals. Mr. Taylor no longer refers clients to these entities and the previously referred clients are not clients of Taylor and Taylor Financial Services USA LLC.

Mr. Taylor does not receive any economic benefit from any person, company, or organization, other than Taylor and Taylor Financial Services USA LLC in exchange for providing clients advisory services through Taylor and Taylor Financial Services USA LLC.

Item 6: Supervision

As the Chief Compliance Officer of Taylor and Taylor Financial Services USA LLC, Richard Christopher Taylor supervises all activities of the firm. Richard Christopher Taylor's contact information is on the cover page of this disclosure document. Richard Christopher Taylor adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Richard Christopher Taylor has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Richard Christopher Taylor has NOT been the subject of a bankruptcy.