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SEC Form ADV Part 2B
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This brochure provides information about the qualifications and business practices of One Charles Private Wealth Services, LLC (“One Charles”). If you have any questions about the contents of this brochure, please contact us at (617) 337-4206. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about One Charles also is available on the SEC’s website at www.adviserinfo.sec.gov. References herein to One Charles as a “registered investment adviser” or any reference to being “registered” does not imply a certain level of skill or training.

Paul A. Squarcia

Item 2. Educational Background and Business Experience

Paul A. Squarcia was born in 1967. Mr. Squarcia graduated from the University of Maine in 1989 with a Bachelor of Science degree in Finance. Mr. Squarcia has been the Founding Partner and an investment adviser representative of One Charles Private Wealth, LLC since November 2015. Mr. Squarcia has also been a registered representative of Purshe Kaplan Sterling Investments, Inc. since November 2015. From December 2006 to November 2015, Mr. Squarcia was employed as an investment adviser representative of Merrill Lynch, Pierce, Fenner & Smith Inc.

Item 3. Disciplinary Information

Mr. Squarcia does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Item 4. Other Business Activities

Registered Representative of Purshe Kaplan Sterling Investments, Inc.

Mr. Squarcia is a registered representative of Purshe Kaplan Sterling Investments, Inc. ("PKS"), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Squarcia in his individual capacity as a registered representative of PKS, to implement investment recommendations on a commission basis.

Conflict of Interest. The recommendation by Mr. Squarcia that a client purchase a securities commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a client's need. No client is under any obligation to purchase any commission products from Mr. Squarcia. Clients are reminded that they may purchase investment products recommended by Mr. Squarcia through other, non-affiliated broker dealers. The Registrant's Chief Compliance Officer, Paul A. Squarcia, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Commissions. In the event the client chooses to purchase investment products through PKS, brokerage commissions will be charged by PKS to effect securities transactions, a portion of which commissions shall be paid by PKS to Mr. Squarcia. The brokerage commissions charged by PKS may be higher or lower than those charged by other broker-dealers. In addition, PKS, as well as Mr. Squarcia, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Mr. Squarcia is separate and apart from Registrant's investment management services discussed in the Registrant's Brochure.

Licensed Insurance Agent.

Mr. Squarcia, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Squarcia to purchase insurance products on a commission basis. Conflict of Interest: The recommendation by Mr. Squarcia that a client purchase an insurance commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Squarcia. Clients are reminded that they may purchase insurance products recommended by Mr. Squarcia through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Paul A. Squarcia, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5. Additional Compensation

Mr. Squarcia may receive compensation for his above noted activities, but he does not receive any additional economic benefit from third parties for providing advisory services other than as noted above.

Item 6. Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, Paul A. Squarcia, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Squarcia.

Erik R. Wallin

Item 2. Educational Background and Business Experience

Erik R. Wallin was born in 1980. Mr. Wallin graduated from Hobart College in 2002 with a Bachelor of Science degree in Economics. Mr. Wallin has been a Managing Partner and an investment adviser representative of One Charles Private Wealth, LLC since November 2015. Mr. Wallin has also been a registered representative of Purshe Kaplan Sterling Investments, Inc. since November 2015. From February 2005 to November 2015, Mr. Wallin was employed as an investment adviser representative of Merrill Lynch, Pierce, Fenner & Smith Inc.

Mr. Wallin has held the designation of Chartered Retirement Planning Counselor (CRPC®) since 2011. The College of Financial Planning® awards the CRPC® designation to applicants who complete the CRPC® professional education program, pass a final examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Item 3. Disciplinary Information

Mr. Wallin does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Item 4. Other Business Activities

Registered Representative of Purshe Kaplan Sterling Investments, Inc.

Mr. Wallin is a registered representative of Purshe Kaplan Sterling Investments, Inc. ("PKS"), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Wallin in his individual capacity as a registered representative of PKS, to implement investment recommendations on a commission basis.

Conflict of Interest. The recommendation by Mr. Wallin that a client purchase a securities commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any commission products from Mr. Wallin. Clients are reminded that they may purchase investment products recommended by Mr. Wallin through other, non-affiliated broker dealers. The Registrant's Chief Compliance Officer, Paul A. Squarcia, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Commissions. In the event the client chooses to purchase investment products through PKS, brokerage commissions will be charged by PKS to effect securities transactions, a portion of which commissions shall be paid by PKS to Mr. Wallin. The brokerage commissions charged by PKS may be higher or lower than those charged by other broker-dealers. In addition, PKS, as well as Mr. Wallin, relative to commission

mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Mr. Wallin is separate and apart from Registrant's investment management services discussed in the Registrant's Brochure.

Licensed Insurance Agent

Mr. Wallin, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Wallin to purchase insurance products on a commission basis. Conflict of Interest: The recommendation by Mr. Wallin that a client purchase an insurance commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Wallin. Clients are reminded that they may purchase insurance products recommended by Mr. Wallin through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Paul A. Squarcia, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5. Additional Compensation

Mr. Wallin may receive compensation for his above noted activities, but he does not receive any additional economic benefit from third parties for providing advisory services other than as noted above.

Item 6. Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, Paul A. Squarcia, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Squarcia.

Jennifer Stickney

Item 2. Educational Background and Business Experience

Jennifer Stickney was born in 1985 and graduated with a Bachelor of Science degree in Finance from Salem State University in 2008. Ms. Stickney has been an investment adviser representative of One Charles Private Wealth, LLC since September 2018 and is also a registered representative of Purshe Kaplan Sterling Investments, Inc. From April 2016 thru September 2018, Ms. Stickney was employed as an investment adviser representative of Sentinel Benefits & Financial Group.

Item 3. Disciplinary Information

Ms. Stickney does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Item 4. Other Business Activities

Registered Representative of Purshe Kaplan Sterling Investments, Inc.

Ms. Stickney is a registered representative of Purshe Kaplan Sterling Investments, Inc. ("PKS"), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Ms. Stickney in her individual capacity as a registered representative of PKS, to implement investment recommendations on a commission basis.

Conflict of Interest. The recommendation by Ms. Stickney that a client purchase a securities commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a client's need. No client is under any obligation to purchase any commission products from Ms. Stickney. Clients are reminded that they may purchase investment products recommended by Ms. Stickney through other, non-affiliated broker dealers. The Registrant's Chief Compliance Officer, Paul A. Squarcia, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Commissions. In the event the client chooses to purchase investment products through PKS, brokerage commissions will be charged by PKS to effect securities transactions, a portion of which commissions shall be paid by PKS to Ms. Stickney. The brokerage commissions charged by PKS may be higher or lower than those charged by other broker-dealers. In addition, PKS, as well as Ms. Stickney, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Ms. Stickney is separate and apart from Registrant's investment management services discussed in the Registrant's Brochure.

Licensed Insurance Agent

Ms. Stickney, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Ms. Stickney to purchase

insurance products on a commission basis. Conflict of Interest: The recommendation by Ms. Stickney that a client purchase an insurance commission product presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a client's need. No client is under any obligation to purchase any insurance commission products from Ms. Stickney. Clients are reminded that they may purchase insurance products recommended by Ms. Stickney through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Paul A. Squarcia, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5. Additional Compensation

Ms. Stickney may receive compensation for her above noted activities, but she does not receive any additional economic benefit from third parties for providing advisory services other than as noted above.

Item 6. Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, Paul A. Squarcia, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Squarcia.