

*This brochure supplement provides information about Nickolas Magora Jr. that supplements the TCP Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Nickolas Magora Jr. if you did not receive TCP Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Nickolas Magora Jr. is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **TCP Asset Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Nickolas Magora Jr.**

Personal CRD Number: 5594139

Investment Adviser Representative

TCP Asset Management, LLC  
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UPDATED: 01/16/2019

## Item 2: Educational Background and Business Experience

**Name:** Nickolas Magora Jr. **Born:** 1975

### **Educational Background and Professional Designations:**

#### **Education:**

Nickolas Magora Jr. has not received any higher education degrees after high school.

#### **Business Background:**

01/2019 - Present	Investment Adviser Representative TCP Asset Management, LLC
01/2019 - Present	Financial Advisor TCP Asset Management
09/2017 - 01/2019	Registered Representative First Merchants Bank, NA
09/2017 - 01/2019	Financial Advisor Cetera Investment Advisers
01/2010 - 08/2017	Financial Advisor First Merit Bank, NA
09/2014 - 08/2017	Registered Representative LPL Financial, LLC
02/2017 - 08/2017	Mass Transfer The Huntington Investment Group
01/2010 - 09/2014	Financial Advisor First Merit Financial Services
09/2008 - 01/2010	Registered Representative State Farm Insurance

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Nickolas Magora Jr. is a registered representative. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. TCP Asset Management, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of TCP Asset Management, LLC in such individual's outside capacity.

Nickolas Magora Jr. is an independent licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. TCP Asset Management, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients always have the right to decide whether or not to utilize the services of any TCP Asset Management, LLC representative in such individual's outside capacities.

### **Item 5: Additional Compensation**

Nickolas Magora Jr. does not receive any economic benefit from any person, company, or organization, other than TCP Asset Management, LLC in exchange for providing clients advisory services through TCP Asset Management, LLC.

### **Item 6: Supervision**

As a representative of TCP Asset Management, LLC, Nickolas Magora Jr. is supervised by Joshua R Allen, the firm's Chief Compliance Officer. Joshua R Allen is responsible for ensuring that Nickolas Magora Jr. adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Joshua R Allen is (614) 761-9378.