

# BAKER STREET ASSET MANAGEMENT, LLC

Aaron Thomas Leone  
315 Montgomery Street, Suite 900  
San Francisco, CA 94116  
Phone 415-297-0121

September 5, 2018

The Brochure Supplement provides information about Aaron Thomas Leone that supplements the Baker Street Asset Management, LLC (CRD #299027) Brochure. You should have received a copy of that brochure. Please contact Aaron T. Leone, Chief Compliance Officer by email at [aaron@bakerstreetassetmanagement.com](mailto:aaron@bakerstreetassetmanagement.com) or phone at 415-297-0121 if you did not receive any Brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron Thomas Leone (CRD# 2819579) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as the CRD number listed above.

---

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

---

### Aaron Thomas Leone

Born: 1967

Post-Secondary Education:

- BS Business Administration, California State University, Fresno 1996
- Successfully passed the Series 7, Series 63
- CA Insurance Agent License #
- Recent Business Experience:

Managing Director, Baker Street Asset Management, LLC:	09/2018 to Present
Investment Advisor, Raymond James Financial Services:	01/2009 to 08/2018
Investment Advisor, Raymond James Financial Services Advisers:	01//2009 to 08/2018
Financial Advisor, Wachovia Securities LLC:	08/2007 to 12/2008

---

---

## ITEM 3 - DISCIPLINARY INFORMATION

---

In September of 2011, Aaron T Leone filed Chapter 7 bankruptcy. On December 2011 it was discharged. Aaron T Leone FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Aaron T. Leone that is not included in this brochure supplement. (<http://brokercheck.finra.org>)

---

---

## ITEM 4 - OTHER BUSINESS ACTIVITIES

---

Aaron T Leone is an owner and manager of property. He devotes approximately 10 hours a month and none during market hours for this activity. Mr. Leone is also sells non variable insurance. He devotes 16 hours a week and none during market hours.

---

---

## ITEM 5 - ADDITIONAL COMPENSATION

---

Aaron T Leone does not receive any additional compensation other than what is listed under Item 4.

---

---

## ITEM 6 - SUPERVISION

---

Aaron T Leone is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer who is responsible for administering the policies and procedures. The Chief Compliance Officer will review those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

The Chief Compliance Officer may be reached at [aaron@bakerstreetassetmanagement.com](mailto:aaron@bakerstreetassetmanagement.com) or by phone at 415-297-0121.