

Part 2B of Form ADV: *Brochure Supplement*

Erin H. Serrate

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This brochure supplement provides information about Erin Serrate that supplements the Asio Capital, LLC brochure (Part 2A of Form ADV). You should have received a copy of that brochure. Please contact Erin Serrate if you did not receive Asio Capital, LLC's brochure or if you have any questions about the contents of this brochure supplement.

Additional information about Erin Serrate is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Erin Serrate is 4787050.

Item 2. Educational Background and Business Experience

Erin H. Serrate

Year of Birth: 1982

Education:

- Bachelor of Science in Business, Wake Forest University, 2004

Business Background:

- Asio Capital, LLC, Member, 07/2018 to Present
- Community Trust and Investment Co., Relationship Officer, 04/2012 to 09/2018
- BB&T, Private Wealth Advisor, 01/2007 to 04/2012
- U. S. Bancorp Investments, Inc., Financial Consultant, 07/2005 to 01/2007

Item 3. Disciplinary Information

Erin Serrate has no history of any disciplinary events.

Item 4. Other Business Activities

Erin Serrate is not engaged in any other business or occupation that involves a substantial amount of time or provides a substantial source of income.

Item 5. Additional Compensation

Erin Serrate does not receive any additional compensation from third parties for providing investment advice to its clients and does not compensate anyone for client referrals.

Item 6. Supervision

As Chief Compliance Officer, Ryan Gray is responsible for the supervision and monitoring of investment advice offered to advisory clients of Asio Capital, LLC. He can be reached at (859) 785-4130. Ryan Gray will review all employee personal securities transactions on a quarterly basis, oversee all material investment policy changes, and conduct periodic testing to ensure that client objectives and mandates are being met.

Item 7. Requirements for State-Registered Advisers

Erin Serrate has never been the subject of a bankruptcy petition.

Erin Serrate has never been involved in any arbitration claims or civil/SRO/administrative proceedings involving any of the following: an investment or *investment-related* business or activity; fraud, false statement(s) or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting or extortion; or dishonest, unfair, or unethical practices.