

*This brochure supplement provides information about Anthony Robert Johnson that supplements the Ross/Johnson & Associates, LLC brochure. You should have received a copy of that brochure. Please contact Anthony Robert Johnson if you did not receive Ross/Johnson & Associates, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Anthony Robert Johnson is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



**ROSS | JOHNSON  
& ASSOCIATES**

## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Anthony Robert Johnson**

Personal CRD Number: 2712955

Investment Adviser Representative

Ross\Johnson & Associates, LLC  
3380 Annapolis Lane N B-100  
Plymouth, MN 55447  
(763) 548-9725  
[tony@rossjohnsonweb.com](mailto:tony@rossjohnsonweb.com)

UPDATED: 11/28/2018

## Item 2: Educational Background and Business Experience

**Name:** Anthony Robert Johnson **Born:** 1961

### Educational Background and Professional Designations:

Anthony Robert Johnson has no formal education after high school.

### Business Background:

08/2018 - Present	Managing Member & CCO Ross/Johnson & Associates, LLC
08/2018 - Present	Field Representative Penn Mutual
01/2003 – 07/2018	Investment Adviser Representative Park Avenue Securities LLC
05/1999 – 07/2018	Registered Representative Park Avenue Securities LLC
05/1999 – 07/2018	Field Representative Guardian

## Item 3: Disciplinary Information

There are no legal, criminal or civil actions, administrative proceedings, or self-regulatory organization proceedings to report.

## Item 4: Other Business Activities

Anthony Robert Johnson is a registered representative. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Ross/Johnson & Associates, LLC always acts in the best interest of the client; including in the sale of commissionable products to

advisory clients. Clients are in no way required to utilize the services any representative of Ross/Johnson & Associates, LLC in such individual's outside capacity.

Anthony Robert Johnson is an investment adviser representative with another firm. From time to time, he will offer clients advice or products from this activity. Ross/Johnson & Associates, LLC always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Ross/Johnson & Associates, LLC in such individual's outside capacity.

Anthony Robert Johnson is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Ross/Johnson & Associates, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Ross\Johnson & Associates, LLC in their capacity as a licensed insurance agent.

### **Item 5: Additional Compensation**

Anthony Robert Johnson does not receive any economic benefit from any person, company, or organization, other than Ross\Johnson & Associates, LLC in exchange for providing clients advisory services through Ross\Johnson & Associates, LLC.

### **Item 6: Supervision**

As the Chief Compliance Officer of Ross\Johnson & Associates, LLC, Anthony Robert Johnson supervises all activities of the firm. Anthony Robert Johnson's contact information is on the cover page of this disclosure document. Anthony Robert Johnson adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Anthony Robert Johnson has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Anthony Robert Johnson has not been the subject of a bankruptcy petition.