

Item 1 – Cover Page

MICHAEL KEVIN FRANKLIN  
TANDEM FINANCIAL, LLC

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Highlands Ranch, CO 80130

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[www.tandem-financial.com](http://www.tandem-financial.com)

This ADV Part 2B (“Brochure Supplement”) provides information about Michael Kevin Franklin that supplements Tandem Financial, LLC’s (“Tandem Financial”) Form ADV Part 2A (“Brochure”). You should have received a copy of that Brochure. Please contact us at (720) 845-5700 or [Michael@tandem-financial.com](mailto:Michael@tandem-financial.com) if you did not receive a copy of the Firm’s Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Michael Kevin Franklin is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable IARD/CRD number for Michael Kevin Franklin is #4038515.

## **Item 2 – Educational Background and Business Experience**

### **Michael Kevin Franklin:**

Year of Birth: 1973

#### **Education:**

B.S. – Psychology, Louisiana College, 1997

#### **Employment:**

03/2018 – Present: Investment Advisor Representative and CCO – Tandem Financial, LLC

04/2011 – 02/2018: Investment Advisor Representative - Dodds Wealth Management Group

02/2003 – 02/2018: Financial Consultant - Dodds Wealth Management Group

02/2003 – 02/2018: Registered Representative, Investment Advisor Representative - LPL  
Financial LLC

01/2000 – 02/2003: Registered Representative, Investment Advisor Representative – American  
Express Financial Advisors, Inc.

01/2000 – 02/2003: Registered Representative – IDS Life Insurance Company 01/2000  
– 2003: Financial Consultant – Dodds & Associates

#### **Examinations/Designations:**

Series 24 (General Securities Principal Examination) 03/11/2009

Series 63 (Uniform Securities Agent State Law Examination) 03/29/2006

Series 7 (General Securities Representative Examination) 01/06/2000

Series 65 (Uniform Investment Adviser Law Examination) 10/25/1999

## **Item 3 – Disciplinary Information**

There is no disciplinary information to disclose regarding Mr. Franklin.

## **Item 4 – Other Business Activities**

Mr. Franklin is a licensed insurance agent authorized to sell insurance products of various insurance companies. This could present a potential conflict of interest as he could receive commissions for selling these products. Clients are under no obligation to purchase products that he may recommend, nor are they obligated to purchase those products through him. Approximately 1% of his time is spent on insurance products.

### **Item 5 – Additional Compensation**

Mr. Franklin does not receive any additional compensation (or other economic benefit) for providing investment advisory services.

### **Item 6 – Supervision**

Michael Kevin Franklin is the Chief Compliance Officer of Tandem Financial, LLC and supervises the firm in the areas of client services and advice, investment policies, forms and procedures, day to day operations, general management of the firm and compliance related matters.

### **Item 7 – State Required Information**

State registered investment adviser representatives are required to disclose all material facts regarding certain legal, disciplinary or financial events that would be material to the evaluation of the representative. Mr. Franklin is currently not subject to, nor has ever been subject to, any legal, disciplinary or financial events of this nature.