

*This brochure supplement provides information about Trey Hayes Jr that supplements the Park Avenue Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Trey Hayes Jr if you did not receive Park Avenue Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Trey Hayes Jr is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Park Avenue Wealth Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Llewellyn Raymond Hayes**  
**“Trey Hayes”**

Personal CRD Number: 5579352  
Investment Adviser Representative

Park Avenue Wealth Management, LLC  
39209 W Six Mile Rd Suite 201  
Livonia, MI 48152  
(813) 418-0380  
[trey.hayes@ceteraadvisors.com](mailto:trey.hayes@ceteraadvisors.com)

UPDATED: 11/27/2018

## Item 2: Educational Background and Business Experience

**Name:** Trey Hayes Jr                      **Born:** 1970

### **Educational Background and Professional Designations:**

#### **Education:**

MBA Business, Excelsior University - 2005

BS Business, University of Phoenix - 1999

AA Management, University of Maryland - 1992

#### **Business Background:**

11/2017 - Present	Partner & Chief Portfolio Strategist Park Avenue Wealth Management, LLC
01/2018 - Present	Registered Representative Cetera Advisors
11/2017 - Present	Investment Adviser Representative Cetera Advisors
12/2015 - 02/2018	Portfolio Manager LPL Financial LLC
10/2005 - 11/2017	Portfolio Manager Alpha Equities, LLC
11/2015 - 10/2017	Portfolio Manager Pence Wealth Management
06/1989 - 10/2015	LTC US Army

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events such as criminal or civil actions; administrative or self-regulatory organization proceedings; or any other hearing or formal adjudication regarding a professional attainment, designation, or license that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Trey Hayes is an investment adviser representative with another investment advisory firm, Cetera Advisors, and from time to time, may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. PAWM LLC always acts in the best interest of the client and clients are in no way required to use the services of any representative of PAWM LLC in connection with such individual's activities outside of PAWM LLC.

Trey Hayes is a registered representative of Cetera Advisors and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. PAWM LLC always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of PAWM LLC in such individual's capacity as a registered representative.

### **Item 5: Additional Compensation**

Trey Hayes Jr does not receive any economic benefit from any person, company, or organization, other than Park Avenue Wealth Management, LLC in exchange for providing clients advisory services through Park Avenue Wealth Management, LLC.

### **Item 6: Supervision**

As a representative of Park Avenue Wealth Management, LLC, Trey Hayes Jr is supervised by Jacquelin Moody, the firm's Chief Compliance Officer. Jacquelin Moody is responsible for ensuring that Trey Hayes Jr adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's

Code of Ethics and compliance manual. The phone number for Jacquelin Moody is (866) 432-1966.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Trey Hayes Jr has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Trey Hayes Jr has been the subject of a bankruptcy:

Trey Hayes Jr was the subject of a chapter7 bankruptcy petition that was filed on 8/14/2009 and discharged on 04/08/2010