

*This brochure supplement provides information about Barry Douglas Todd that supplements the BDT & Associates, Inc. brochure. You should have received a copy of that brochure. Please contact Barry Douglas Todd if you did not receive BDT & Associates, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Barry Douglas Todd is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **BDT & Associates, Inc.**

d/b/a Invicta Financial Group

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

## **Barry Douglas Todd**

Personal CRD Number: 4506777

Investment Adviser Representative

BDT & Associates, Inc.  
2615 East 24th Street, Suite 2  
Yuma, AZ 85365  
(928) 344-8000  
[barry@invictafg.com](mailto:barry@invictafg.com)

UPDATED: 10/05/2018

## Item 2: Educational Background and Business Experience

**Name:** Barry Douglas Todd **Born:** 1962

### **Educational Background and Professional Designations:**

#### **Education:**

Masters of Science Business Administration, William Carey University - 2000  
Bachelor of Science HR Management, Troy State University - 1995

#### **Designations:**

##### **ChFC®- Chartered Financial Consultant®**

##### **ChFC® MINIMUM QUALIFICATIONS:**

- Bachelor's degree or its equivalent, in any discipline, from an accredited university, this qualifies as one year of business experience
- Three years of full-time business experience is required; this three-year period must be within the five years preceding the date of the award (part-time qualifying business experience is also credited toward the three-year requirement with 2,000 hours representing the equivalent of one year full-time experience).
- Must fulfill the ChFC® seven course curriculum, as well as two additional elective courses
- Pass the exams for all required and elective courses
- Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

#### **Business Background:**

05/2018 – Present	Investment Adviser Representative BDT & Associates, Inc.
08/2007 - Present	Managing Member BDT & Associates, Inc.
05/2011 – 08/2018	Investment Advisor Representative Cambridge Investment Research Advisors, Inc.
02/2008 - 05/2011	Investment Advisor Representative Cambridge Legacy Group

## **Business Background (con't):**

02/2008 – 10/2008

Financial Advisor  
Cambridge Legacy Group

03/2002 - 02/2008

Financial Advisor  
First Command Financial Planning

### **Item 3: Disciplinary Information**

Barry D Todd, the CEO, was assaulted on 2/28/2014 and had to defend himself. Subsequently, his assailant was shot. Mr. Todd was charged with First-Degree Attempted Murder and 2 charges for Aggravated Assault. The entire incident was on video and the court dismissed all charges with prejudice due to self-defense and lack of probable cause. All information has been disclosed to the SEC and is noted in the broker check. Mr. Todd is not required to disclose this information on his ADV Part 2B (Supplement)

### **Item 4: Other Business Activities**

Barry Douglas Todd is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. BDT & Associates, Inc. always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of BDT & Associates, Inc. in their capacity as a licensed insurance agent.

Barry D. Todd is engaged in the following business activities: Commercial Real Estate Holding Company - BDT Real Estate, LLC Financial Advisory Firm - BDT & Associates, Inc Insurance Agent/Sales - BDT General Agency Subsidiary of BDT Real Estate, LLC - 2 BDT, LLC Subsidiary of BDT Real Estate, LLC - BDT 1, LLC

### **Item 5: Additional Compensation**

Barry Douglas Todd does not receive any economic benefit from any person, company, or organization, other than BDT & Associates, Inc. in exchange for providing clients advisory services through BDT & Associates, Inc..

## **Item 6: Supervision**

As a representative of BDT & Associates, Inc., Barry Douglas Todd is supervised by Kimberly K. Davis, the firm's Chief Compliance Officer. Kimberly K. Davis is responsible for ensuring that Barry Douglas Todd adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Kimberly K Davis is (928) 344-8000.

## **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Barry Douglas Todd has not been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Barry Douglas Todd has not been the subject of a bankruptcy petition.