

# PACIFIC FORTUNE MANAGEMENT INC.

## **Yi (Wallace) Xiang**

2350 Mission BLVD, Suite 475  
Santa Clara, CA 95054  
(415) 806-0136

November 25, 2018

The Brochure Supplement provides information about that supplements the Pacific Fortune Management Inc. Brochure. You should have received a copy of the Brochure. Please contact our Chief Compliance Officer by email at [wallace.xiang@pfmfinance.com](mailto:wallace.xiang@pfmfinance.com) or phone (415)806-0136. If you did not receive Pacific Fortune Management Inc. Brochure or if you have any questions about the contents of this supplement.

Additional information about Yi (Wallace) Xiang (CRD# 6761317) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as the CRD number listed above.

---

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

---

### Born:

- 1978

### Post-Secondary Education:

- BS, Water and Waste Water Engineering, Hunan University, Year 2000
- MBA, Fordham University, Year 2010
- Successfully passed the Series 65

### Recent Business Experience:

- |   |                    |
|---|--------------------|
| • Founder and CEO Pacific Fortune Management LLC:   | 10/2016 to Present |
| • Founder and CEO, West Mining Energy Tech Co, LTD: | 04/2011 to 12/2015 |
| • National Sales Manager, Hilti China:              | 08/2008 to 12/2010 |
- 

---

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Xiang has no history of any legal or disciplinary events deemed to be material to a client's consideration of Mr. Xiang to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Yi (Wallace) Xiang that is not included in this brochure supplement.

<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>

---

---

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Yi (Wallace) Xiang does not participate in any other business activity.

---

## ITEM 5 - ADDITIONAL COMPENSATION

Yi (Wallace) Xiang does not receive economic benefits from someone who is not a client. He does not receive any additional compensation other than what is listed under Item 4.

---

---

## ITEM 6 - SUPERVISION

Yi (Wallace) Xiang is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer who is responsible for administering the policies and procedures. The Chief Compliance Officer will review those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. The Chief Compliance Officer may be reached by email at wallace.xiang@pfmfinance.com or phone (415-806-0136).

## ITEM 7-REQUIREMENTS FOR STATE REGISTERED ADVISORS

A. Mr. Yi (Wallace) Xiang has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion
- e) dishonest, unfair or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion
- e) dishonest, unfair, or unethical practices.

B. Yi (Wallace) Xiang has not been the subject of a bankruptcy petition at any time.