

*This brochure supplement provides information about Keri Anne Holton that supplements the TCP Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Keri Anne Holton if you did not receive TCP Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Keri Anne Holton is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**TCP Asset Management, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Keri Anne Holton**  
Personal CRD Number: 4650622  
Investment Adviser Representative

TCP Asset Management, LLC  
8415 Pulsar Place Suite 210  
Columbus, OH 43240  
614-761-9378 \*3  
[keri@tcpasset.com](mailto:keri@tcpasset.com)

UPDATED: 06/18/2018

## **Item 2: Educational Background and Business Experience**

**Name:** Keri Anne Holton

**Born:** 1977

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Arts Psychology, Ohio University - 2000

#### **Business Background:**

06/2018 - Present

Financial Advisor  
United Planners

07/2003 - 05/2018

Senior Retirement Consultant  
Lincoln Financial Group

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Keri Anne Holton is a registered representative. From time to time, she will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. TCP Asset Management, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of TCP Asset Management, LLC in such individual's outside capacity.

Keri Anne Holton is a licensed insurance agent. From time to time, she will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the

fiduciary duties of a registered investment adviser. TCP Asset Management, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of TCP Asset Management, LLC in such individual's outside capacity.

### **Item 5: Additional Compensation**

Keri Anne Holton does not receive any economic benefit from any person, company, or organization, other than TCP Asset Management, LLC in exchange for providing clients advisory services through TCP Asset Management, LLC.

### **Item 6: Supervision**

As a representative of TCP Asset Management, LLC, Keri Anne Holton is supervised by Joshua Allen, the firm's Chief Compliance Officer. Joshua Allen is responsible for ensuring that Keri Anne Holton adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Joshua Allen is (614) 761-9378.