

This brochure supplement provides information about James Albert Serrano that supplements the PFG Private Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact James Albert Serrano if you did not receive PFG Private Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James Albert Serrano is also available on the SEC's website at www.adviserinfo.sec.gov.



PFG Private Wealth Management, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

James Albert Serrano

Personal CRD Number: 2736701

Investment Adviser Representative

PFG Private Wealth Management, LLC
18572 N. Dale Mabry Hwy
Lutz, FL 33548
(813) 928-8131
James@pfgprivatewealth.com

UPDATED: 05/04/2018

Item 2: Educational Background and Business Experience

Name: James Albert Serrano **Born:** 1974

Educational Background and Professional Designations:

Education:

James Albert Serrano has not received any higher education degrees after high school.

Business Background:

| | |
|-------------------|---|
| 03/2016 - Present | Investment Adviser Representative PFG Private Wealth Management, LLC |
| 04/2016 - Present | Owner Serrano & Serrano LLC DBA Pinot's Palette |
| 01/2003 – Present | Landlord Landlord |
| 10/2008 - 02/2016 | Director of Business Development ARS Wealth Advisor |
| 10/2008 – 02/2016 | Investment Adviser Representative Anderson, Riley & Spoor, PA |
| 01/1998 – 10/2008 | Associate TD Warehouse Investor Services, Inc. |
| 11/1996 - 10/2008 | Branch Manager TD Ameritrade |

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

James Albert Serrano is the owner of Pinot's Palette in Wesley Chapel, an instructional art studio, as well as a landlord.

Item 5: Additional Compensation

James Albert Serrano does not receive any economic benefit from any person, company, or organization, other than PFG Private Wealth Management, LLC in exchange for providing clients advisory services through PFG Private Wealth Management, LLC.

Item 6: Supervision

As a representative of PFG Private Wealth Management, LLC, James Albert Serrano is supervised by Jeffrey D Perry, the firm's Chief Compliance officer. Jeffrey D Perry is responsible for ensuring that James Albert Serrano adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jeffrey Perry is (813) 286-7776.