

**MATTHEW J BAKER**

**FIRM SUPPLEMENTAL BROCHURE  
(ADV - PART 2B)**

**MAY 24, 2018**

*Epic Trust Investment Advisors, LLC  
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This Supplemental Brochure provides information about Matthew Baker that supplements the Firm Brochure for Epic Trust Investment Advisors, LLC. You should have received a copy of the Firm Brochure. Please contact Mr. Baker at (702) 767-9891 if you did not receive the Adviser's Firm Brochure or if you have questions about the contents of this supplement.

Additional information about Matthew Baker is available on the Securities Exchange Commission's (SEC's) website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. The CRD number for Mr. Baker is **6334092**.

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Matthew J Baker**

**Born:** 1971

**Education:**

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### **Financial Advisor Certification:**

*Series 63 Uniform Securities Agent State Law Examination (NASAA)*

State Securities Law | June 09, 2014 [Passed]

*Series 65 Uniform Investment Adviser Law Examination (NASAA)*

State Securities Law, April 20, 2017 [Passed]

*Series 6 Investment Company Products/Variable Contracts Representative Examination (NASAA) General Industry/Product* | May 19, 2014 [Passed]

### **Business Background:**

*Epic Trust Investment Advisors, LLC* – May 2018 to Present

– Wealth Management Advisor | Investment Advisor Representative

*Northwest Insurance Alliance, LLC*—May 2018 to Present

– Life and Disability Insurance Agent

*AMERITAS Investment Corp.* – June 2017 to May 2018

– Investment Advisor Representative

*The Guardian Financial* – May 2014 to May 2018

– Investment Advisor Representative

*Capital Choice Financial Services* – September 2013 to May 2018

– Registered Representative

*Capital Choice Investment Advisers, Inc.* – May 2017 to June 2017

– Independent Insurance Agent

*CCF Investment, Inc* – May 2014 to June 2017

– Independent Insurance Agent

## ITEM 3 – DISCIPLINARY HISTORY

Registered Investment Advisors Representative are required to disclose all material facts regarding legal or disciplinary events that might affect your evaluation of supervised persons providing investment advice. No required disclosable information applies to this Item.

#### **ITEM 4 – OTHER BUSINESS ACTIVITIES**

Mr. Baker is a licensed Independent Life and Disability Insurance Agent. He spends approximately 10 hours per month on these services through Northwest Insurance Alliance, LLC and may recommend these services to clients. This may be considered a conflict of interest, as commissions may give Mr. Baker a financial incentive to recommend and sell insurance products to clients. Mr. Baker attempts to mitigate any possible conflicts of interest for clients by informing clients they are not obligated to purchase insurance products through his insurance agency.

Mr. Baker is Manager of Jambi, LLC. The nature of the business is Real Estate Properties, an investment related business. He spends approximately 20 hours per month on these services which began in January 2018. The number of hours devoted to this business during securities trading hours are 10. Mr. Baker is not allowed to participate in Real Estate transactions with Clients.

#### **ITEM 5 – ADDITIONAL COMPENSATION**

As disclosed above in Item 4, Mr. Baker is a licensed Independent Life and Disability Insurance Agent and he receives commissions for the sale of insurance products. Mr. Baker does not receive any compensation in addition to that disclosed in Adviser's ADV - Part 2A.

#### **ITEM 6 – SUPERVISION**

Mr. Baker is supervised by Jeffery Lewis, the firm's Owner. Mr. Lewis can be reached at (509) 591-0014. Mr. Lewis reviews all new accounts opened by Mr. Baker.

#### **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

##### **A. Arbitration, Civil, Self-Regulatory Organization or Administrative Proceedings**

Mr. Baker has not been involved in an arbitration award or claim; or found liable in a civil, self-regulatory organization or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s) or omissions; theft, embezzlement or other wrongful taking of property; bribery, forgery, counterfeiting or extortion; or dishonest, unfair or unethical practices.

##### **B. Bankruptcy History**

Mr. Baker has not been subject of a bankruptcy petition.