



Brochure Supplement

# Gaurav Chakravorty

- **Item 2. Educational Background and Business Experience**

Born 1980

**Post-Secondary Education**

University of Pennsylvania | Masters of Science in Engineering, Computer and Information Science, Doctoral Fellow | 2005

Indian Institute of Technology | Bachelor of Technology, Computer Science and Engineering | 2003

**Recent Business Background**

Qplum LLC | Chief Investment Officer & Investment Adviser Representative | October 2015 - Present

Circulum Vite LLC | Founder | July 2010 – Present

Tower Research Capital LLC | High Frequency Trader | June 2005 – June 2010

- **Item 3. Disciplinary Information**

Qplum is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Gaurav Chakravorty. Qplum has no information to disclose in relation to this Item.

- **Item 4. Other Business Activities**

Mr. Chakravorty is also a passive investor in a proprietary investment trading firm. He also invests in non-financial related businesses. These non-Qplum business activities do not conflict with the interests of Qplum clients.

Qplum operates a separate commodity trading advisory product line for institutional investors under its CFTC and NFA permissions. Mr. Chakravorty also acts as a manager and Chief Investment Officer for that business.

July 2018

- **Item 5. Additional Compensation**

As described in Item 4, Mr. Chakravorty receives an economic benefit from his proprietary trading firm and he may in the future receive income or other benefits from his other non-investment related investments.

- **Item 6. Supervision**

Mansi Singhal, Chief Compliance Officer, is generally responsible for supervising Gaurav Chakravorty's advisory activities on behalf of Qplum. Mansi Singhal can be reached at the firm's main telephone number listed on the cover page of this Brochure Supplement. Qplum supervises its personnel and the investments made in client accounts. Ms. Singhal monitors the investments recommended by Gaurav Chakravorty to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Qplum periodically reviews the advisory activities of Gaurav Chakravorty, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Gaurav Chakravorty.

July 2018