

**Item 1 – Cover Page**

**Part 2B of Form ADV  
Individual Brochure Supplement for:**

**JOSHUA J. STUMPF**

Investment Adviser Representative

Stumpf Capital Management LLC  
20842 N. 102nd Street  
Scottsdale, AZ 85255  
Phone: (415) 686-5215  
Email: [joshua@stumpfcapital.com](mailto:joshua@stumpfcapital.com)

**October 18, 2018**

**This brochure supplement provides information about Joshua J. Stumpf that supplements the Stumpf Capital Management LLC (“SCM”) Brochure. You should have received a copy of that Brochure. Please contact Joshua J. Stumpf if you did not receive SCM’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Joshua J. Stumpf is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 – Educational Background and Business Experience**

Name: Joshua J. Stumpf

Year of birth: 1979

Education: Baylor University, Bachelors in Business Administration, Finance (2002)

Background: JP Morgan Chase & Co., Financial Advisor (June 2014 – December 2014)

Blabwire Media LLC, Chief Financial Officer (October 2013 – May 2014)

Bank of America Merrill Lynch, Senior Vice President, Silicon Valley Market Executive (July 2013 – September 2013)

JP Morgan Chase & Co., Chase Bank, Vice President, Area Manager, Business Banking (March 2012 – June 2013)

JP Morgan Chase & Co., Chase Bank, Vice President, Senior Relationship Manager (March 2012 – February 2012)

## **Item 3 – Disciplinary Information**

Mr. Stumpf has no legal or disciplinary events applicable to this Item. Mr. Stumpf does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation of Mr. Stumpf.

## **Item 4 – Other Business Activities**

In addition to his role at SCM, Mr. Stumpf is a co-owner and manager of "Blue Smoke", an artisanal butchery and BBQ restaurant. Mr. Stumpf spends approximately 10 to 14 hours per week on his role at Blue Smoke.

## **Item 5 – Additional Compensation**

As the Manager and principal owner of SCM, Mr. Stumpf receives a portion of the management fee paid to SCM by SCM's Clients. For the description of the management fee and how it is calculated, see Item 5 and 6 of the Brochure.

Mr. Stumpf does not receive any other compensation or economic benefit from any person who is not a client for providing advisory services through SCM.

## **Item 6 - Supervision**

Mr. Stumpf is the Manager, Chief Investment Officer and Chief Executive Officer of SCM. He supervises all duties and activities. Mr. Stumpf's contact information is on the cover page of this brochure supplement.

### **Item 7 – Requirements for State-Registered Investment Advisers**

This disclosure is required by California securities authorities and is provided for your use in evaluating this investment adviser representative's suitability.

- A. Mr. Stumpf has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - (a) an investment or an investment-related business or activity;
    - (b) fraud, false statement(s), or omissions;
    - (c) theft, embezzlement, or other wrongful taking of property;
    - (d) bribery, forgery, counterfeiting, or extortion; or
    - (e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - (a) an investment or an investment-related business or activity;
    - (b) fraud, false statement(s), or omissions;
    - (c) theft, embezzlement, or other wrongful taking of property;
    - (d) bribery, forgery, counterfeiting, or extortion; or
    - (e) dishonest, unfair, or unethical practices.
- B. Mr. Stumpf has NOT been the subject of a bankruptcy petition at any time.