

*This brochure supplement provides information about Steven K. Johnson that supplements the CCG Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Steven K. Johnson, Investment Adviser Representative if you did not receive CCG Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Steven K. Johnson is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **CCG Asset Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Steven K. Johnson**

Personal CRD Number: 4570404

Investment Adviser Representative

CCG Asset Management, LLC  
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UPDATED: 06/22/2018

## Item 2: Educational Background and Business Experience

**Name:** Steven K. Johnson **Born:** 1963

### Education Background and Professional Designations:

#### Education:

BSME/IEOR, University of Minnesota, Mechanical Engineering – 1986  
MBA Finance, University of Southern California – 1996

#### Designations:

##### CFA – Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute - the largest global association of investment professionals.

There are currently more than 90,000 CFA charterholders working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

##### High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

##### Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders-often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 22 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

**Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

**Business Background:**

02/2013 – Present	Investment Adviser Representative CCG Asset Management, LLC
04/2007 – 04/2012	Chief Investment Officer Braeburn Capital (Apple Inc.)
04/2006 – 02/2007	Chief Investment Officer City of San Diego
10/2004 – 03/2006	Portfolio Manager Caterpillar Investment Management Co.
11/2000 – 06/2003	Portfolio Manager Roxbury Capital Inc

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Steven K. Johnson is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Steven K. Johnson does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through CCG Asset Management, LLC.

### **Item 6: Supervision**

As the managing member and representative of CCG Asset Management, LLC, Steven K. Johnson works closely with the supervisor, Allison King, and all advice provided to clients is reviewed by the supervisor prior to implementation. Steven K. Johnson adheres to applicable regulations regarding the activities of an Investment Adviser Representative, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. Allison King's phone number is 769-257-5039.