



**Scott Richardson**  
Dale Buckner, Inc.  
Investment Advisor

301 S. Polk, Ste. 420  
Amarillo, TX 79101  
(806)358-7977 Main  
(800)299-7526 Toll Free  
(806)358-8050 Fax  
[www.dalebuckner.com](http://www.dalebuckner.com)

This brochure supplement provides information about Scott Richardson that supplements the Dale Buckner, Inc. brochure. You should have received a copy of that brochure. Please contact Dale Buckner or Gloria Coleman if you have any questions about the contents of this supplement.

Additional information about Scott Richardson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



Form ADV: Part 2B  
Brochure Supplement  
12/31/2017

### **Educational Background and Business Experience**

Scott Andrew Richardson  
DOB 09/25/1979

Scott has a BBA degree from Texas Tech University with a specialization in finance.

Scott helps clients achieve their financial and life goals through life stage planning.

B.B.A. Finance, Texas Tech University, Lubbock, TX, 2001

Registered Representative, United Planners Financial Services 2009 to Present  
Registered Investment Advisory Representative, Dale Buckner, Inc. 2009 to Present  
Registered Assistant, United Planners Financial Services 2007 to 2009  
Registered Representative, AG Edwards and Sons, 2005 to 2007  
Registered Investment Advisory Representative, AG Edwards and Sons, 2005 to 2007

Scott must complete Firm Element Continuing Education Courses on an annual basis to retain his securities licenses.

### **Disciplinary Information**

Scott Richardson has no legal or disciplinary events.

### **Other Business Activities**

Scott Richardson is also associated with United Planners Services (UPFS) as a Registered Representative. UPFS is a registered broker-dealer and a member of the Financial Industry Regulatory Authority (FINRA). As a UPFS Registered Representative, Scott Richardson may offer clients the option to purchase securities and investment products distributed by that firm including, but not limited to, mutual funds, variable annuities, variable life insurance, stocks and bonds, and limited partnerships. If a client purchases or sells securities products from Scott Richardson in this capacity, then Dale Buckner, Inc. will receive commissions and related compensation, such as mutual fund service fees.



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Scott Richardson is also a licensed insurance agent. As a licensed insurance agent, Scott Richardson may offer investment advisory clients the option to purchase insurance products. If a client purchases insurance products through Scott Richardson, Dale Buckner, Inc. will receive commissions and related compensation, such as insurance trail fees as a result of the sale.

Scott Richardson makes every effort to recommend securities and insurance products that are most appropriate for the client, without consideration of compensation arrangements. Clients are under no obligation to purchase recommended securities or insurance products through UPFS or Scott Richardson and may purchase such products through the broker-dealers or insurance agency of their choice.

### **Additional Compensation**

Scott Richardson has no additional compensation or economic benefit.

### **Supervision**

Scott Richardson is supervised by Dale Buckner, Chief Compliance Officer of Dale Buckner, Inc..

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### **SEC-Registered Disclosures**

Scott Richardson has no events of liable arbitration or events of liability in civil, self-regulatory organizational, or administrative proceedings, and no events of bankruptcy petitions.