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January 2018**



**SQUAR MILNER FINANCIAL SERVICES, LLC**

**ALEC ABBOTT**

**4100 NEWPORT PLACE DRIVE, SUITE 600  
NEWPORT BEACH, CA 92660**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Abbott that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Abbott is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 1414999.

## Item 2: Educational Background & Business Experience

### **Alec Abbott**

Year of Birth: 1956

#### **Educational Background:**

- 1979: Massachusetts College of Pharmacy; BSRPH

#### **Business Background:**

- 08/1999 – Present Squar Milner Financial services, LLC; Chief Compliance Officer & Investment Adviser Representative
- 06/2017 – Present Allegis Investment Services, LLC; Registered Representative
- 08/1999 – Present Squar Milner LLP; Partner
- 01/2009 – 06/2017 Sagepoint Financial Inc.; Registered Representative
- 10/2005 – 01/2009 AIG Financial Advisors, Inc.; Registered Representative

#### **Exams, Licenses & Other Professional Designations:**

- 1986: Series 22 exam
- 1988: Series 6 exam
- 1991: Series 63 exam
- 1994: Series 7 exam
- 1999: Series 65 exam
- 2005: Series 24 exam
- 1988: CA Life, Health, and Variable Insurance Licenses

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Abbott.

## Item 4: Other Business Activities

Mr. Abbott is a registered representative of Allegis Investment Services, LLC, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Abbott will act in the client's best interest.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 5: Additional Compensation**

Mr. Abbott does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Stephen Milner is a principal of Squar Milner Financial Services and as such supervises and monitors Mr. Abbott's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Stephen Milner if you have any questions about Mr. Abbott's brochure supplement at (949)-222-2999.

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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**STEPHEN MILNER**

**4100 NEWPORT PLACE DRIVE, SUITE 600  
NEWPORT BEACH, CA 92660**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Milner that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Milner is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 3266515.

## Item 2: Educational Background & Business Experience

### **Stephen Milner**

Year of Birth: 1958

#### **Educational Background:**

- 1980: University of California, Los Angeles; Degree, BA
- 1983: Pepperdine University School of Law; JD
- 1984: George Washington University Law School; LLM

#### **Business Background:**

- 11/1990 - Present Squar Milner LLP; Managing Partner
- 08/1999 - Present Squar Milner Financial Services, LLC; Manager & Investment Adviser Representative
- 07/2017 - Present Allegis Investment Services, LLC; Registered Representative
- 01/2009 - 07/2017 Sagepoint Financial Inc.; Registered Representative
- 01/2005 -01/2009 AIG Financial Advisors, Inc.; Registered Representative

#### **Exams, Licenses & Other Professional Designations:**

- 1999: Series 7 & 66 Exams
- 1983: Juris Doctor (JD)
- 1987: Certified Public Accountant (CPA)

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, CPAs are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Milner.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

#### **Item 4: Other Business Activities**

Mr. Milner is a registered representative of Allegis Investment Services, LLC, member FINRA/SIPC. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Milner will act in the client's best interest.

Mr. Milner is a Certified Public Accountant. In such capacity, he may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Clients have the option of engaging our representative for tax preparation or accounting services, however, they are under no obligation to do so.

Mr. Milner is a licensed attorney in the State of California. Legal services are not offered through our firm. Should a client of our firm require legal services, they will be referred to a separate attorney. Our firm will not receive any additional compensation for these referrals.

#### **Item 5: Additional Compensation**

Mr. Milner does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Milner's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Milner's brochure supplement (949)-222-2999.

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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**JASON PERKINS**

**4100 NEWPORT PLACE DRIVE, SUITE 600  
NEWPORT BEACH, CA 92660**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Perkins that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Perkins is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 2535797.

## Item 2: Educational Background & Business Experience

### **Jason Perkins**

Year of Birth: 1970

#### **Educational Background:**

- 1994: University of Southern California; BS in Public Administration

#### **Business Background:**

- 062000 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 06/2017 – Present Allegis Investment Services, LLC; Registered Representative
- 01/2009 – 06/2017 Sagepoint Financial Inc.; Registered Representative

#### **Exams, Licenses & Other Professional Designations:**

- 1999: Series 65 exam
- 1998: Series 7 exam
- 1994: Series 6 & 63 exams
- 1994: CA Life, Health, and Variable Insurance Licenses

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Perkins.

## Item 4: Other Business Activities

Mr. Perkins is a registered representative of Allegis Investment Securities, LLC, member FINRA/SIPC. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Perkins will act in the client's best interest.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.



### **Item 5: Additional Compensation**

Mr. Perkins does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Perkins's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Perkins's brochure supplement (949)-222-2999.

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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**JEFFREY M. HALBERT**

**4100 NEWPORT PLACE DRIVE, SUITE 600  
NEWPORT BEACH, CA 92660**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Halbert that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Halbert is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 5750237.

## Item 2: Educational Background & Business Experience

### **Jeffrey Michael Halbert**

Year of Birth: 1982

#### **Educational Background:**

- 2005: Crummer Graduate School of Business; MBA in Finance and Management
- 2004: Rollins College; BA in Economics

#### **Business Background:**

- 01/2018 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 01/2018 – Present Allegis Investment Services, LLC; Registered Representative
- 06/2013 – 01/2018 Raymond James Financial Services, Inc.; Financial Advisor
- 01/2010 – 10/2012 Morgan Stanley; Financial Advisor
- 09/2007 – 01/2010 KV Pharmaceutical; Specialty Sales Representative

#### **Exams, Licenses & Other Professional Designations:**

- 2010: Series 66 & 7 exams

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Halbert.

## Item 4: Other Business Activities

Mr. Halbert is a registered representative of Allegis Investment Securities, LLC, member FINRA/SIPC and licensed insurance agent/broker. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Halbert will act in the client's best interest.

Mr. Halbert is a manager of JMH Real Estate LLC, a passive real estate managing company. Clients are under no obligation to engage our representative for these services and will not be actively solicited.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 5: Additional Compensation**

Mr. Halbert does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Halbert's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Halbert's brochure supplement (949)-222-2999.

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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**LAWRENCE B. WOOD**

**135 MAIN STREET, 9<sup>TH</sup> FLOOR  
SAN FRANCISCO, CA 94105**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Wood that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Wood is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 3130186.

## Item 2: Educational Background & Business Experience

### **Lawrence B. Wood**

Year of Birth: 1956

#### **Educational Background:**

- 1991: Golden Gate University; MS in Taxation
- 1983: State University of New York, Albany; BA in Accounting

#### **Business Background:**

- 01/2018 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 01/2018 – Present Allegis Investment Services, LLC; Registered Representative
- 01/2018 – Present Squar Milner, LLP; Managing Partner
- 01/2000 – 12/2017 DZH Phillips LLP; Managing Partner
- 05/2014 – 12/2017 Hewins Financial Advisors, LLC

#### **Exams, Licenses & Other Professional Designations:**

- 1985: Certified Public Accountant (CPA)
- 1998: Series 6 & 63 exams
- 1999: Series 65 & 7 exams
- 2003: Certified Financial Planner, CFP®
- 2004: Personal Financial Specialist (PFS)
- 2015: CA Life, Accident & Health, and Variable Insurance licenses

#### **Certified Public Accountant (CPA):**

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, CPAs are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

#### **Certified Financial Planner, CFP®:**

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

### **Personal Financial Specialist (PFS):**

The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, fulfill 3,000 hours of personal financial planning business experience, complete 80 hours of personal financial planning CPE credits, pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's *Code of Professional Conduct*, and is encouraged to follow AICPA's *Statement on Responsibilities in Financial Planning Practice*. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every 3 years. The PFS credential is administered through the AICPA.

### **Item 3: Disciplinary Information<sup>1</sup>**

There are no legal or disciplinary events material to the evaluation of Mr. Wood.

### **Item 4: Other Business Activities**

Mr. Wood is a registered representative of Allegis Investment Securities, LLC, member FINRA/SIPC and licensed insurance agent/broker. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Wood will act in the client's best interest.

Mr. Wood is a Certified Public Accountant. In such capacity, he may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Clients have the option of engaging our representative for tax preparation or accounting services, however, they are under no obligation to do so.

### **Item 5: Additional Compensation**

Mr. Wood does not receive any other economic benefit for providing advisory services in addition to advisory fees.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 6: Supervision

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Wood's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Wood's brochure supplement (949)-222-2999.



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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**MICHAEL A. DELLISANT**

**3655 NOBEL DRIVE, SUITE 450  
SAN DIEGO, CA 92122**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Dellisant that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Dellisant is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 2508165.

## Item 2: Educational Background & Business Experience

### **Michael Anthony Dellisant**

Year of Birth: 1967

#### **Educational Background:**

- 1990: Villanova University; BS in Business Administration

#### **Business Background:**

- 09/2017 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 10/2017 – Present Allegis Investment Services, LLC; Registered Representative
- 10/2010 – 10/2017 JP Morgan Chase & Co.; Private Client Advisor
- 01/2001 – 10/2010 Morgan Stanley Smith Barney; Financial Advisor

#### **Exams, Licenses & Other Professional Designations:**

- 1994: Series 63, 65 & 7 exams
- 1998: Series 8 exam
- 1999: Series 3 exam
- 2001: CA Life, Accident & Health, and Variable Insurance licenses
- 2005: Certified Financial Planner (CFP®)

#### **Certified Financial Planner (CFP®):**

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Dellisant.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

#### **Item 4: Other Business Activities**

Mr. Dellisant is a registered representative of Allegis Investment Services, LLC, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Dellisant will act in the client's best interest.

#### **Item 5: Additional Compensation**

Mr. Dellisant does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Dellisant's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Dellisant's brochure supplement (949)-222-2999.

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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**MICHAEL EISENBERG**

**15760 VENTURA BOULEVARD, SUITE 1100  
ENCINO, CA 91436**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Eisenberg that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Eisenberg is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4942552.

## Item 2: Educational Background & Business Experience

### **Michael Eisenberg**

Year of Birth: 1947

#### **Educational Background:**

- 1979: University of West Los Angeles, College of Law; JD
- 1970: California State University, Northridge; BS in Accounting

#### **Business Background:**

- 06/2017 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 01/2015 – Present Miller Ward & Company; Partner
- 02/2015 – 06/2017 Innovative Wealth Advisors LLC; Chief Executive Manager & Investment Adviser Representative
- 08/2003 – 08/2015 Michael M. Eisenberg dba Eisenberg Financial Advisor; Sole Proprietor
- 01/2000 – 01/2015 Michael Eisenberg Accountancy Corp; Owner
- 01/1989 – 12/2011 Michael Eisenberg, Attorney at Law; Owner
- 01/1990 – 12/1999 Eisenberg & Stanton CPAs; Partner

#### **Exams, Licenses & Other Professional Designations:**

- 1974: Certified Public Accountant (CPA)
- 2000: Personal Financial Specialist (PFS)

#### **Certified Public Accountant (CPA):**

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, CPAs are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

#### **Personal Financial Specialist (PFS):**

The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, fulfill 3,000 hours of personal financial planning business experience, complete 80 hours of personal financial planning CPE credits, pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's *Code of Professional Conduct*, and is encouraged to follow AICPA's *Statement on Responsibilities in Financial Planning Practice*. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every 3 years. The PFS credential is administered through the AICPA.

### **Item 3: Disciplinary Information<sup>1</sup>**

There are no legal or disciplinary events material to the evaluation of Mr. Eisenberg.

### **Item 4: Other Business Activities**

Michael Eisenberg is a Certified Public Accountant. In such capacity, he may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Clients have the option of engaging our representative for tax preparation or accounting services, however, they are under no obligation to do so.

Mr. Eisenberg is a non-practicing attorney in the State of California. Legal services are not offered through our firm. Should a client of our firm require legal services, they will be referred to a separate attorney. Our firm will not receive any additional compensation for these referrals.

### **Item 5: Additional Compensation**

Mr. Eisenberg does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Eisenberg's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Eisenberg's brochure supplement (949)-222-2999.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

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**SQUAR MILNER FINANCIAL SERVICES, LLC**

**MICHAEL K. STONE**

**11111 SANTA MONICA, SUITE 800  
LOS ANGELES, CA 90025**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Stone that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Stone is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 3253586.

## Item 2: Educational Background & Business Experience

### **Michael Kevin Stone**

Year of Birth: 1961

#### **Educational Background:**

- 1983: Michigan State University; BA in Accounting

#### **Business Background:**

- 08/2017 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 08/2017 – Present Squar Milner LLP; Tax Consultant
- 06/2006 – 08/2017 RVW Investing; Investment Adviser Representative
- 11/1992 – 08/2017 Gerber & Company; CPA

#### **Exams, Licenses & Other Professional Designations:**

- 1999: Series 66 exam
- 2012: CA Life, Accident & Health, and Variable Insurance licenses
- Chartered Financial Consultant® (ChFC®)
- Chartered Life Underwriter (CLU®)
- Certified Public Accountant (CPA)

#### **Chartered Financial Consultant® (ChFC®)**

The ChFC® designation is offered by The American College. Designation holders are required to serve clients with the highest level of professionalism. The authority to use the ChFC® mark is granted by the Certification Committee of the Board of Trustees of The American College, and that privilege is contingent on adherence to strict ethical guidelines. All ChFC® advisors are required to do the same for clients that they would do for themselves in similar circumstances, the standard of ethical behavior most beneficial for their clients. Each ChFC® has taken 9 or more college-level courses on all aspects of financial planning. The average study time for the program is over 400 hours, and advisors frequently spend years earning this coveted distinction. Each ChFC® must also complete a minimum of 30 hours of continuing education every two years and must meet extensive experience requirements to ensure that you get the professional financial advice you need.

#### **Chartered Life Underwriter (CLU®)**

The CLU® is offered by The American College. The CLU® designation is obtained by completing 8 core, 3 elective courses and a final exam for each course. As a prerequisite the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. All candidates must meet ethics standards and agree to comply with The American College Code of Ethics and Procedures. This designation requires 30 hours of continuing education every 2 years.

#### **Certified Public Accountant (CPA):**

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum



experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, CPAs are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

### **Item 3: Disciplinary Information<sup>1</sup>**

There are no legal or disciplinary events material to the evaluation of Mr. Stone.

### **Item 4: Other Business Activities**

Mr. Stone is a licensed insurance agent/broker. He may offer insurance products and receive fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Stone will act in the client's best interest.

Mr. Stone is a Certified Public Accountant. In such capacity, he may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Clients have the option of engaging our representative for tax preparation or accounting services, however, they are under no obligation to do so.

### **Item 5: Additional Compensation**

Mr. Stone does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Stone's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Stone's brochure supplement (949)-222-2999.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

**Item 1: Cover Page  
Part 2B of Form ADV: Firm Supplement  
January 2018**



**SQUAR MILNER FINANCIAL SERVICES, LLC**

**SCOTT C. BURACK**

**4100 NEWPORT PLACE DRIVE, SUITE 600  
NEWPORT BEACH, CA 92660**

**FIRM CONTACT:  
ALEC ABBOTT  
PRESIDENT AND CHIEF COMPLIANCE OFFICER**

**FIRMS WEBSITE:  
[WWW.SQUARMILNER.COM](http://WWW.SQUARMILNER.COM)**

This brochure supplement provides information about Mr. Burack that supplements our brochure. You should have received a copy of that brochure. Please contact Alec Abbott if you did not receive Squar Milner Financial Services' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Burack is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 4100569.

## Item 2: Educational Background & Business Experience

### **Scott C. Burack**

Year of Birth: 1964

#### **Educational Background:**

- 1992: Loyola Law School of Los Angeles; JD
- 1986: University of California, Santa Barbara; BA in Business Economics

#### **Business Background:**

- 08/2017 – Present Squar Milner Financial Services, LLC; Investment Adviser Representative
- 08/2017 – Present Allegis Investment Services, LLC; Registered Representative
- 06/2017 – Present Squar Milner, LLP; Consultant
- 01/2017 – Present True Accountancy Corp.; Tax Consultant
- 01/2014 – 06/2017 Squar Milner, LLP; Tax Principal
- 07/2011 – 07/2017 Cetera Advisors Networks, LLC; Registered Representative & Investment Adviser Representative
- 05/1993 – 09/2011 Squar Milner, LLP; Tax Partner

#### **Exams, Licenses & Other Professional Designations:**

- 1999: Series 66 exam
- 2000: Series 7 exam
- 2015: CA Life, Accident & Health, and Variable Insurance licenses
- 1992: Juris Doctor (JD)
- Certified Financial Planner (CFP®)
- Certified Public Accountant (CPA)

#### **Certified Financial Planner (CFP®):**

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*

#### **Certified Public Accountant (CPA):**

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, CPAs are required to follow a rigorous *Code of Professional Conduct* which requires that

they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

### **Item 3: Disciplinary Information<sup>1</sup>**

There are no legal or disciplinary events material to the evaluation of Mr. Burack.

### **Item 4: Other Business Activities**

Mr. Burack is a registered representative of Allegis Investment Securities, LLC, member FINRA/SIPC and licensed insurance agent/broker. He may offer products and receive commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Burack will act in the client's best interest.

Mr. Burack is a Certified Public Accountant. In such capacity, he may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Clients have the option of engaging our representative for tax preparation or accounting services, however, they are under no obligation to do so.

Mr. Burack is a licensed attorney in the State of California. Legal services are not offered through our firm. Should a client of our firm require legal services, they will be referred to a separate attorney. Our firm will not receive any additional compensation for these referrals.

### **Item 5: Additional Compensation**

Mr. Burack does not receive any other economic benefit for providing advisory services in addition to advisory fees.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 6: Supervision

Mr. Abbott, Chief Compliance Officer of Squar Milner Financial Services, supervises and monitors Mr. Burack's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Abbott if you have any questions about Mr. Burack's brochure supplement (949)-222-2999.