



Howard Rothman
120 Long Ridge Road
3 North
Stamford, Connecticut 06902
Office Phone: 203.388.2700

Vision Investment Advisors, LLC
120 Long Ridge Road
3 North
Stamford, Connecticut 06902
203.388.2700
3.9.2018

This Brochure Supplement provides information about Howard Rothman that supplements the Brochure of Vision Investment Advisors, LLC ("VIA"). You should have received a copy of that Brochure. Please contact VIA at 1.877.836.3949 if you did not receive VIA's Brochure or if you have any questions about the contents of this supplement.

Additional information about Howard Rothman is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Education and Business Background

Howard M. Rothman, born 1961, is the CEO and Chief Investment Officer and trading principal of Vision Investment Advisors, LLC. He has held this position since September 20, 2000. In this role, he has provided securities, options and fixed income managed account to high net-worth clients.

Over the past 30 years, Rothman has been involved in executive management in the stock, stock option, and futures brokerage industry. Currently, he is a principal of High Ridge Futures, LLC, a registered introducing broker. Rothman has been a principal since the initial registration of the firm on October 30, 2014 and an Associated Person and a NFA member since November 28, 2014. Rothman is the Financial Principal, known as the "FINOP," of Vision Financial Markets, LLC, a self-clearing securities broker-dealer and Vision Brokerage Service, LLC, an introducing broker-dealer. As a securities principal, Rothman holds the following qualifications: General Securities Representative (Series 7); General Securities Principal (Series 24); Financial and Operations Principal "FINOP" (Series 27); Registered Options Principal "ROP" (Series 4); and Uniform Investment Advisor (Series 66). Rothman has been registered since July 1999 with both Vision Financial Markets, LLC and Vision Brokerage Services.

He is a 1983 Public Accounting graduate of New York University's Stern School of Business. He began his college education at Fordham University, Bronx, New York.

Item 3. Disciplinary Information

Mr. Rothman has been in the futures business for over thirty years. Previously when Vision Financial Markets LLC ("VFM") was registered as a futures commission merchant with the CFTC, the firm was involved in a self-regulatory action with the NFA (Case No. 13-BCC-018), which focused on the supervision of certain Guaranteed Introducing Broker firm activity. The matter was settled in 2014, and the settlement did not include any admission of wrong doing. The matter did not involve Howard Rothman or any advisory activity of Vision Investment Advisors. Additional information is available on Vision Investment Advisor's affiliates and its associated persons at www.brokercheck.com or at www.nfa.futures.org.

Item 4. Other Business Activities

Vision Financial Markets, Vision Brokerage Services and High Ridge Futures (collectively "Affiliates") are affiliated entities of Vision Investment Advisors, and all of which are under the common control and ownership of Howard Rothman. Affiliates share and provide services to Vision Investment Advisors and its clients, including certain employees and other associated persons. Mr. Rothman, through his ownership and association with all these entities, may and does directly or indirectly benefit from their affiliated services to each other. Vision Investment Advisors seeks to avoid severe conflicts between affiliates and associated persons, even if that avoidance means foregoing an otherwise attractive business opportunity between them. Essential to managing conflicts between its affiliates, Vision Investment Advisors makes disclosure to clients, in addition to taking into consideration the different needs of both retail and institutional clients as is necessary and which occasionally may be reviewed.

Item 5. Additional Compensation

Mr. Rothman does not receive any additional compensation or economic benefits from providing advisory services.

Item 6. Supervision

Investment Advisor Representatives ("IARs") hold either a FINRA Series 7 and 66 or a Series 65 and are permitted to give advice to their clients and to discuss specific investments. All IARs are required to read and acknowledge their understanding of applicable compliance procedures. IARs are required to keep files related to their activities, subject to the review of VIA. E-mail correspondence and fees of IARs are reviewed on a regular basis. David E. Achzet is the Chief Compliance Officer for Vision Investment

Advisors. Mr. Achzet can be reached via phone at 203.388.2707 or via e-mail at: dachzet@vfmarkets.com. Howard Rothman can be reached via phone at 203.388.2700 or via e-mail at: hrothman@vfmarkets.com. Both Mr. Rothman and Mr. Achzet are located at VIA's main office at 120 Long Ridge Road, 3 North, Stamford CT, 06902.