

*This brochure supplement provides information about Catherine Maniscalco Avery that supplements the Catherine Avery Investment Management LLC brochure. You should have received a copy of that brochure. Please contact Catherine Maniscalco Avery, Managing Member if you did not receive Catherine Avery Investment Management LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Catherine Maniscalco Avery is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Catherine Avery Investment Management LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

## **Catherine Maniscalco Avery**

Personal CRD Number: 1427402

Investment Adviser Representative

Catherine Avery Investment Management LLC  
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New Canaan, Connecticut 06840  
(203) 966-2712  
[caimllc.com](http://caimllc.com)  
[cavery@caimllc.com](mailto:cavery@caimllc.com)

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## **Item 2: Educational Background and Business Experience**

**Name:** Catherine Maniscalco Avery

**Born:** 1963

### **Education Background and Professional Designations:**

#### **Education:**

BS Finance Stern School of Business, New York University 1985

#### **Business Background:**

2009 – Present	Investment Adviser Representative Hightower Advisors, LLC
2007 – Present	Managing Member Catherine Avery Investment Management LLC
2007 – 2009	Investment Adviser Representative Feldstein, Steven DBA Steven Feldstein & Associates
2006 – 2009	Registered Representative Feldstein Financial Group, LLC
2006 – 2007	Managing Member Dock Street Asset Management

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Catherine Avery is an Investment Adviser Representative with Hightower Advisors, LLC. From time to time, she will offer clients advice or products from those activities. CAIM always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of CAIM in their capacity as an outside IAR.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Catherine Maniscalco Avery does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Catherine Avery Investment Management LLC.

### **Item 6: Supervision**

As the only owner and representative of Catherine Avery Investment Management LLC, Catherine Maniscalco Avery supervises all duties and activities. Catherine Maniscalco Avery's contact information is on the cover page of this disclosure document.