

## Form ADV Part 2B Disclosure Brochure Supplement

**Sikich Financial**

[www.sikich.com](http://www.sikich.com)

**Douglas H. Mathey**

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330-572-8050

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**This brochure supplement provides information about Douglas H. Mathey that supplements the Sikich Financial brochure. You should have received a copy of that brochure. Please contact Barry Stark at 630.566-8571 if you did not receive the Sikich Financial brochure or if you have any questions about the contents of this supplement. Additional information about Douglas H. Mathey is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### **Item 2 – Educational Background and Business Experience**

Born 1970

#### *Educational Background:*

- Miami University – 1992, B.S., Business
- University of Akron – 1996, Master in Taxation

#### *Business Experience:*

- Sikich Financial, Investment Advisor Representative: June 2016 – Present;
- Sikich LLP, Partner: June 2016 - Present
- BCG Risk Management, LLC, President: June 2013 – May 2016
- BCG Wealth Advisors, LLC, Managing Member and President:: June 2011 – May 2016; Chief Compliance Officer: June 2011 – January 2015
- Brockman, Coats, Gedelian & Co., Partner: January 2006 – May 2016

#### *Professional Designation:*

- Certified Public Accountant (CPA)

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period).

Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

- Personal Financial Specialist (PFS)

The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct and the Statement on Standards in Personal Financial Planning Services, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the [AICPA](#).

### **Item 3 – Disciplinary Information**

Douglas Mathey has no legal or disciplinary events to report.

### **Item 4 – Other Business Activities**

Douglas Mathey is also a partner of Sikich Financial's parent company, Sikich LLP.

#### **Insurance Agent**

Douglas Mathey is independently licensed to sell insurance products through various insurance companies. Furthermore, we are under common control with Sikich Insurance Group LLC, a duly licensed insurance agency. When acting in his capacity as an insurance agent, Douglas Mathey will receive commissions for selling insurance products.

Douglas Mathey may also receive other incentive awards for the recommendation/sale of insurance products. The receipt of compensation and other incentive benefits may affect his decision making process when recommending insurance products to clients. While we endeavor at all times to put the interest of our clients first as a part of our overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may impact our investment advisor representatives' decision making process when making insurance recommendations.

Clients are never obligated or required to purchase insurance products from or through Douglas Mathey and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

#### **Item 5 – Additional Compensation**

Certain product sponsors may also provide Douglas Mathey with other economic benefits as a result of his recommendation or purchase of the product sponsors' investments. The economic benefits received by Douglas Mathey from product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Sikich Financial in providing various services to clients. Although we endeavor at all times to put the interest of our clients ahead of our own interests, these arrangements could present a conflict of interest that may affect the judgment of our investment advisor representatives.

#### **Item 6 – Supervision**

Barry Stark is the Chief Compliance Officer of Sikich Financial. He is responsible for developing, overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Douglas Mathey. Mr. Stark can be contacted at 630-566-8571.