



# **ADV PART 2B**

## **FIRM BROCHURE**



A.

**Mark A. Bates**  
**Director of Financial Planning**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Mark A. Bates that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Mark A. Bates is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Mark A. Bates was born in 1969. Mr. Bates graduated from Southern State College in 1990, with an Associate's degree in Business Management. Mr. Bates worked as Manager and Investment Consultant for Fifth Third Bank from February 2002 to April 2010. Mr. Bates has worked at Wealthquest Corporation since April 2010 and serves as the Director of Financial Planning.

Mark A. Bates has held the designation of Certified Financial Planner (CFP®) since 2006. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Bates has held the designation of Certified Divorce Financial Analyst (CDFA™) since 2008. CDFA™ professionals must develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the Institute for Divorce Financial Analysts. CDFA™ professionals must have two years minimum experience in a financial or legal capacity prior to earning the right to use the CDFA™ certification mark.



Mr. Bates has held the designation of Enrolled Agent (EA) since 2009. Enrolled Agent (EA) is a tax professional who has passed an IRS test covering all aspects of taxation, plus passed an IRS background check. Enrolled Agents have passed a two-day, 8-hour examination. The examination (called the Special Enrollment Examination) covers all aspects of federal tax law, including the taxation of individuals, corporations, partnerships, and various regulations governing IRS collections and audit procedures. Like CPAs and tax attorneys, EAs can handle any type of tax matter and represent their client's interests before the IRS. Unlike CPAs and tax attorneys, Enrolled Agents are tested directly by the IRS, and enrolled agents focus exclusively on tax accounting. The (EA) designation may be revoked by the IRS' Office of Professional Responsibility for malpractice.

## ITEM 3 DISCIPLINARY INFORMATION

None.

## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Bates, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Bates to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Bates that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Bates. Clients are reminded that they may purchase insurance products recommended by Mr. Bates through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**Wade Keith Daniel**  
**Chief Executive Officer**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Wade K. Daniel that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Wade K. Daniel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Wade Keith Daniel was born in 1966. Mr. Daniel graduated from the University of Cincinnati in 1990, with a Bachelor of Science degree in Chemical Engineering. Mr. Daniel was President of Wealth Planning Corporation from October 1996 to August 2006. Mr. Daniel co-founded Wealthquest in 2006 and serves as its Chief Executive Officer.

Mr. Daniel has held the designation of Certified Financial Planner (CFP®) since 1999. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Daniel has held the designation of Chartered Financial Analyst (CFA®) since 2002. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional



work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

### ITEM 3 DISCIPLINARY INFORMATION

None.

### ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Daniel, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Daniel to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Daniel that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Daniel. Clients are reminded that they may purchase insurance products recommended by Mr. Daniel through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

### ITEM 5 ADDITIONAL COMPENSATION

None.

### ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

David A. Eltringham  
Sr. Wealth Management Advisor

WEALTHQUEST CORPORATION  
Brochure Supplement

Dated:  
March 15, 2017

Contact:  
Stephen P. King,  
Chief Compliance Officer

Cincinnati Office  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about David A. Eltringham that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about David A. Eltringham is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

David Eltringham was born in 1960. Mr. Eltringham graduated in 1983 from Pennsylvania State University with a Bachelor of Arts degree from the College of Liberal Arts. He has spent the majority of his career with PNC Advisors (1998 – 2002) and Fifth Third Securities (2002 – 2016) advising wealthy families and athletes. Mr. Eltringham joined Wealthquest in January 2017 and serves as a Senior Wealth Management Advisor.

## ITEM 3 DISCIPLINARY INFORMATION

None.

## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Eltringham, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Eltringham to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Eltringham that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may



provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Eltringham. Clients are reminded that they may purchase insurance products recommended by the Registrant through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

### ITEM 5 ADDITIONAL COMPENSATION

None.

### ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

Matthew D. Eltringham  
Wealth Management Advisor

WEALTHQUEST CORPORATION  
Brochure Supplement

Dated:  
March 15, 2017

Contact:  
Stephen P. King,  
Chief Compliance Officer

Cincinnati Office  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Matthew D. Eltringham that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Matthew D. Eltringham is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Matthew D. Eltringham was born in 1991. Mr. Eltringham grew up in Cincinnati and earned his Bachelor of Arts degree in Financial Management from Hillsdale College in 2014. Prior to joining Wealthquest, Matt worked with Fifth Third Securities from 2014-2016 in the Fifth Third Bank Wealth and Asset Management Leadership Program and the Private Bank division. Mr. Eltringham joined Wealthquest in December 2016 and serves as a Wealth Management Advisor.

## ITEM 3 DISCIPLINARY INFORMATION

None.

## ITEM 4 OTHER BUSINESS ACTIVITIES

C. The supervised person is not actively engaged in any other investment-related businesses or occupations.

D. **Licensed Insurance Agent.** Mr. Eltringham, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Eltringham to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Eltringham that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may





provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Eltringham. Clients are reminded that they may purchase insurance products recommended by the Registrant through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

### ITEM 5 ADDITIONAL COMPENSATION

None.

### ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**W. David Kern**  
**Wealth Management Advisor**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about W. David Kern that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about W. David Kern is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

W. David Kern was born in 1985. Mr. Kern graduated from Hillsdale College in 2008, with a Bachelor's Degree in Economics. Mr. Kern worked as a teacher and in administration for Mars Hill Academy from July 2008 to July 2013. Mr. Kern has worked at Wealthquest Corporation since September 2013 and serves as a Wealth Management Advisor.

Mr. Kern has held the designation of Certified Financial Planner (CFP®) since 2015. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

## ITEM 3 DISCIPLINARY INFORMATION

None.



## ITEM 4 OTHER BUSINESS ACTIVITIES

E. The supervised person is not actively engaged in any other investment-related businesses or occupations.

F. **Licensed Insurance Agent.** Mr. Kern, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Kern to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Kern that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Kern. Clients are reminded that they may purchase insurance products recommended by the Registrant through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**Stephen Paul King**  
**Vice President**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Stephen P. King that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen P. King is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Stephen Paul King was born in 1975. Mr. King graduated from Wabash College in 1997 with a Bachelor of Arts degree in Economics and French. Mr. King served as a Registered Representative of Equity Services and Agent for National Life Insurance Co. from April 2000 to August 2007. Mr. King joined Wealthquest in August 2007 and serves as the Vice President and Chief Compliance Officer.

Mr. King has held the designation of Certified Financial Planner (CFP®) since 2004. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

## ITEM 3 DISCIPLINARY INFORMATION

None.



## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. King, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. King to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. King that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. King. Clients are reminded that they may purchase insurance products recommended by the Registrant through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700 A.



A.

**James M. Lenhoff**  
**President**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about James M. Lenhoff that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about James M. Lenhoff is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

James M. Lenhoff was born in 1979. Mr. Lenhoff graduated from Miami University in 2000, with a Bachelor of Science degree in Marketing. Mr. Lenhoff was an Agent at Northwestern Mutual Life Insurance Company from December 2000 to May 2005 and a Financial Planner at Wealth Planning Corporation from May 2005 to August 2006. Mr. Lenhoff co-founded Wealthquest Corporation in 2006 and serves as President.

Mr. Lenhoff has held the designation of Certified Financial Planner (CFP®) since 2005. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

## ITEM 3 DISCIPLINARY INFORMATION

None.



## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Lenhoff, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Lenhoff to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Lenhoff that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Lenhoff. Clients are reminded that they may purchase insurance products recommended by Mr. Lenhoff through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**Michael H. Loewe**  
**Wealth Management Advisor**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Michael H. Loewe that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Michael H. Loewe is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Michael Loewe was born in 1974. Mr. Loewe graduated from the University of Cincinnati in 1996, with a Bachelor of Science degree in Management. Mr. Loewe served as Regional Manager at TD Ameritrade from May 1998 to May 2014. Mr. Loewe has been a Wealth Management Advisor at Wealthquest Corporation since July 2014.

Mr. Loewe has held the designation of Certified Fund Specialist (CFS®) since 2001. Individuals who hold the CFS® designation have completed a course of study encompassing mutual funds, ETFs, REITs, closed-end funds, and similar investments. Topics include fund analysis and selection, asset allocation, and portfolio construction. The program is designed for approximately 15 weeks of self-study and an exam administered on-line.

## ITEM 3 DISCIPLINARY INFORMATION

None.

## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Loewe, in his individual capacity, is a licensed insurance agent, and may recommend





the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Bates to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Loewe that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Loewe. Clients are reminded that they may purchase insurance products recommended by Mr. Loewe through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

### ITEM 5 ADDITIONAL COMPENSATION

None.

### ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**James Charles Oliger**  
**Investment Analyst**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about James C. Oliger that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about James C. Oliger is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

James Charles Oliger was born in 1974. Mr. Oliger graduated from Wright State University in 1997, with a Bachelor of Science degree in Financial Planning. Mr. Oliger was a Financial Planner at Wealth Planning Corporation from June 1997 to August 2006. Mr. Oliger has been an Investment Analyst at Wealthquest Corporation since September 2006.

Mr. Oliger has held the designation of Certified Financial Planner (CFP®) since 2000. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Oliger has held the designation of Chartered Mutual Fund Counselor SM (CMFC®) since 2005. Individuals who hold the CMFC® designation have completed a course of study encompassing all aspects of mutual funds and their uses as investment vehicles. The program is designed for approximately 120-150 hours of self-study. The program is self-paced and must be completed within one year from enrollment.



## ITEM 3 DISCIPLINARY INFORMATION

None.

## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Oliger, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Oliger to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Oliger that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Oliger. Clients are reminded that they may purchase insurance products recommended by Mr. Oliger through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**Joseph Robert Richmond**  
**Senior Advisor**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Joseph R. Richmond that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph R. Richmond is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE**

Joseph Robert Richmond was born in 1952. Mr. Richmond graduated from the University of Cincinnati in 1975, with an Associate's Degree in Accounting. Mr. Richmond served as a Registered Representative of Equity Services Inc. and Agent of National Life Insurance Co. from October 1997 to August 2007. Mr. Richmond has been a Senior Advisor at Wealthquest Corporation since August 2007.

Mr. Richmond has held the designation of Certified Financial Planner (CFP®) since 1994. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Richmond has held the designation of Chartered Financial Consultant (ChFC®) since 1990. ChFC® is a financial planning designation for the insurance industry conferred by The American College. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least three years of experience in the financial industry, or an undergraduate or graduate degree from an accredited university and two years of experience in the financial industry. Candidates are required to take nine academic courses each followed by an exam. The



courses and exams cover topics in finance, investing, insurance, and estate planning.

Mr. Richmond has held the designation of Chartered Life Underwriter (CLU®) since 1987. CLU® is a financial planning designation for the insurance industry conferred by The American College. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least three years of experience in the financial industry, or an undergraduate or graduate degree from an accredited university and two years of experience in the financial industry. Candidates are required to take eight academic courses each followed by an exam. The courses and exams cover topics in finance, investing, insurance, and estate planning.

Mr. Richmond has held the designation of Certified Senior Advisor (CSA) since 2005. The CSA designation is awarded to individuals who have either: 1) completed the CSA course or its training equivalent and have one (1) year of paid work experience with seniors or fifty (50) hours of volunteering with seniors in the last three (3) years; or 2) have two (2) years of paid work experience working with seniors or one hundred (100) hours of volunteer experience with seniors in the last three (3) years; or 3) have a certificate degree in a field related to working with seniors from an accredited college or university. In addition to the above prerequisite requirements, candidates must pass a comprehensive examination, pass a background check, pass the CSA Code of Professional Responsibility online module, and complete eighteen (18) hours of approved continuing education every three (3) years.

### ITEM 3 DISCIPLINARY INFORMATION

None.

### ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Richmond, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Richmond to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Richmond that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Richmond. Clients are reminded that they may purchase insurance products recommended by Mr. Richmond through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

### ITEM 5 ADDITIONAL COMPENSATION

None.

### ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**Shawn M. Scott**  
**Wealth Management Advisor**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Shawn M. Scott that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Shawn M. Scott is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE**

Shawn M. Scott was born in 1976. Mr. Scott is a Magna Cum Laude graduate of Thomas More College with a degree in Finance. He began his career managing qualified retirement plans in 1998 with a Cincinnati based administration firm. After 7 years in management and administration, Mr. Scott moved into retirement plan sales and consulting. Mr. Scott was a Financial Advisor with Raymond James from 2010-2012. Mr. Scott has been a Wealth Management Advisor at Wealthquest Corporation since August 2012.

Mr. Scott earned the right to use the Certified Pension Consultant (CPC) designation in 2005. The Certified Pension Consultant (CPC) credential is conferred by ASPPA to benefit professionals working in plan administration, pension actuarial administration, insurance, and financial planning. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least three years of retirement plan related experience and demonstrate competence in specific areas of retirement and related employee benefits. Candidates are required to take 8 academic courses each followed by an exam. The courses and exams cover topics in retirement planning, defined contributions and benefit plans.

Mr. Scott has held the designation of Chartered Financial Consultant (ChFC®) since 2007. ChFC® is a financial planning designation for the insurance industry conferred by The American College. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least three years of experience in the financial industry, or an undergraduate or graduate degree from an accredited university and two years of experience in



the financial industry. Candidates are required to take nine academic courses each followed by an exam. The courses and exams cover topics in finance, investing, insurance, and estate planning.

## ITEM 3 DISCIPLINARY INFORMATION

None.

## ITEM 4 OTHER BUSINESS ACTIVITIES

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. **Licensed Insurance Agent.** Mr. Scott, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Scott to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Scott that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Scott. Clients are reminded that they may purchase insurance products recommended by Mr. Richmond through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the

Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. King at (513) 530-9700.



A.

**Angela C. Williams**  
**Wealth Management Advisor**

**WEALTHQUEST CORPORATION**  
**Brochure Supplement**

Dated:  
**March 15, 2017**

Contact:  
**Stephen P. King,**  
**Chief Compliance Officer**

**Cincinnati Office**  
50 E-Business Way, Suite 120  
Cincinnati, Ohio 45241

B. This Brochure Supplement provides information about Angela C. Williams that supplements the Wealthquest Corporation Brochure. You should have received a copy of that Brochure. Please contact Stephen P. King, Chief Compliance Officer, if you did not receive Wealthquest Corporation's Brochure or if you have any questions about the contents of this supplement.

Additional information about Angela C. Williams is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **ITEM 2 EDUCATION BACKGROUND AND BUSINESS EXPERIENCE**

Angela Charlene Williams was born in 1974. Ms. Williams graduated from Loyola University Chicago in 1996, with a Bachelor of Science degree in Biology. Ms. Williams completed the Financial Planning Certificate Program at DePaul University in 2012. Ms. Williams was an Investment Consultant at TD Ameritrade from January 2009 to November 2012, and was a Financial Specialist at PNC Bank from December 2012 through September 2013. Ms. Williams has been a Wealth Management Advisor at Wealthquest Corporation since October 2013.

Angela Williams has held the designation of Certified Financial Planner (CFP®) since 2014. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university or CFP Board equivalent. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

## **ITEM 3 DISCIPLINARY INFORMATION**

None.





## ITEM 4 OTHER BUSINESS ACTIVITIES

C. The supervised person is not actively engaged in any other investment-related businesses or occupations.

D. **Licensed Insurance Agent.** Ms. Williams, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Ms. Williams to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Ms. Williams that a client purchase an insurance commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Ms. Williams. Clients are reminded that they may purchase insurance products recommended by Ms. Williams through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Stephen P. King, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

## ITEM 5 ADDITIONAL COMPENSATION

None.

## ITEM 6 SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisors Act of 1940 ("Act"). The Registrant's Chief Compliance Officer, Stephen P. King, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance