

Brochure Supplement (June 2, 2017)

Advisor Representative:

Anthony D'Aria

555 Pleasantville Rd., Suite N106 Briarcliff Manor, NY 10510

914-769-2602

Company:

Strategic Wealth Management, LLC

555 Pleasantville Rd. Suite N106, Briarcliff Manor, NY 10510

914-769-2602

This "Brochure Supplement" provides information about Anthony D'Aria that supplements the information found in the Strategic Wealth Management Services Brochure. You should have already received a copy of that brochure. Please contact Anthony D'Aria if you did not receive Strategic Wealth Management's advisory firm brochure or if you have any questions about the contents of this supplement.

Additional information about Anthony D'Aria is available on the state of New York website at <https://ag.ny.gov/investor-protection/investors>.

Educational Background and Business Experience

Anthony D'Aria has successfully completed a Bachelors degree program at Manhattanville College. Additional details follow:

Name: Anthony D'Aria

Date of Birth: May 15, 1967

Education: BA – Manhattanville College 1989

MA Manhattanville College 1992

Five Year Business Background:

2006 to Present - Strategic Wealth Management

2006 to 2009 – Newbridge Securities

2001 to 2006 - UBS

Disciplinary Information

A. Anthony D'Aria has not been the subject of a criminal or civil action in any domestic, foreign or military court of competent jurisdiction.

B. Anthony D'Aria has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

C. Anthony D'Aria has never been the subject of a self-regulatory organization (SRO) proceeding.

D. Anthony D'Aria has never been involved in any other proceeding in which a professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

Other Business Activities

A. Anthony D'Aria is not actively engaged in any other investment-related business or occupation.

Additional Compensation

Anthony D'Aria receives no economic benefit from any non-client individuals for providing advisory services.

Supervision

Anthony D'Aria does not have a supervisor as he is the owner of Strategic Wealth Management. He is one of two Investment Advisor Representatives with the company.

Requirements for State-Registered Advisors

- A. Anthony D'Aria has never been involved in any arbitration claims
- B. Anthony D'Aria has never been involved in any civil, self-regulatory organization, or administrative proceeding.
- C. Anthony D'Aria has never been the subject of any bankruptcy proceedings.

Brochure Supplement (June 2, 2017)

Advisor Representative:

Alex Socolof

555 Pleasantville Rd., Briarcliff Manor, NY 10510

914-769-2601

Company:

Strategic Wealth Management, LLC

555 Pleasantville Rd., Briarcliff Manor, NY 10510

914-769-2601

This “Brochure Supplement” provides information about Alex Socolof that supplements the information found in the Strategic Wealth Management Services Brochure. You should have already received a copy of that brochure. Please contact Alex Socolof if you did not receive Strategic Wealth Management’s advisory firm brochure or if you have any questions about the contents of this supplement.

Additional information about Alex Socolof is available on the state of New York website at <https://ag.ny.gov/investor-protection/investors>.

Educational Background and Business Experience

Alex Socolof has successfully completed a Bachelors degree program at American University. Additional details follow:

Name: Alex Socolof

Date of Birth: September 10, 1957

Education: BS – American University – 1978

Five Year Business Background:

2012 to Present – Strategic Wealth Management

2010 to 2010 - Kovack Securities

2006 to 2009 Newbridge Securities

Alex Socolof also has extensive business experience gained while working in the insurance industry. Alex Socolof is licensed insurance agent offering Life Insurance and Variable Insurance Products.

Disciplinary Information

- A. Alex Socolof has not been the subject of a criminal or civil action in any domestic, foreign or military court of competent jurisdiction.
- B. Alex Socolof has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.
- C. Alex Socolof has never been the subject of a self-regulatory organization (SRO) proceeding.
- D. Alex Socolof has never been involved in any other proceeding in which a professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

Other Business Activities

- A. Alex Socolof is not actively engaged in any other investment-related business or occupation.
- B. Alex Socolof is a licensed insurance agent and offers insurance products that could produce commissions with regard to sales of insurance products.

Additional Compensation

Alex Socolof receives no economic benefit from any non-client individuals for providing advisory services. However, commissions could be received by the sale of insurance related products. If a commission will be made, the client will be informed through prospectus documents of the product as well as other disclosure forms that will evidence the compensation.

Supervision

Alex Socolof is supervised by Anthony D'Aria and is one of two Investment Advisor Representatives with the company.

Requirements for State-Registered Advisors

- A. Alex Socolof has never been involved in any arbitration claims
- B. Alex Socolof has never been involved in any civil, self-regulatory organization, or administrative proceeding.
- C. Alex Socolof has never been the subject of any bankruptcy proceedings.