

**Item 1 Cover Page**

A.

**Stephen M. Mintz**

Stephen M. Mintz dba Stephen M. Mintz,  
Fee-Only Financial Consultant

ADV Part 2B, Brochure Supplement  
Dated March 15, 2017

Contact: Stephen M. Mintz, Chief Compliance Officer  
1900 N. 18th Street, Suite 406  
Monroe, Louisiana 71201

B.

**This Brochure Supplement provides information about Stephen M. Mintz that supplements the Stephen M. Mintz dba Stephen M. Mintz, Fee-Only Financial Consultant Brochure; you should have received a copy of that Brochure. Please contact Stephen M. Mintz, Chief Compliance Officer, if you did *not* receive Stephen M. Mintz dba Stephen M. Mintz, Fee-Only Financial Consultant's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Stephen M. Mintz is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

**Item 2 Education Background and Business Experience**

Stephen M. Mintz was born in 1949. Mr. Mintz graduated from Louisiana State University in 1974, with a Bachelor of Science degree in Finance and in 1974 with a Master of Science degree in Finance. Mr. Mintz has been the President and an investment adviser representative of Stephen M. Mintz dba Stephen M. Mintz, Fee-Only Financial Consultant since January 1992

**Item 3 Disciplinary Information**

None.

**Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision (State Registered Investment Advisers)**

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Stephen M. Mintz, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Mintz also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Mintz at (318) 325-5090.