

Form ADV Part IIB - Brochure Supplement

Date of Brochure Supplement: 1/18/2017

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INVESTMENT
SECURITY
GROUP, INC.

Investment Security Group, Inc.

4601 DTC Blvd # 600

Denver, CO 80237

303-759-9808

www.investsg.com

Supervised Persons:

Michael Dibala

David Giocomo

Rich Rust

Mitch Powers

Barbara Krause

Richard Cameron Acres

George Guerin

This brochure provides information about the above listed supervised persons that supplements the Investment Security Group, Inc brochure. You should have received a copy of that brochure. Please contact Michael Dibala / Chief Compliance Officer at 303-759-9808 if you did not receive Investment Security Group's brochure or if you have any questions about the contents of this supplement.

Educational Background and Business Experience

MICHAEL W. DIBALA, CFP®

CCO, CIO, Founder, Principal

Date of Birth: 9/14/1953

Formal Education:

- Bucknell University, BA Psychology 1975
- Certified Financial Planner 1986

Business Experience:

- 01/2009 to present: Investment Security Group, Inc.; **Chairman of the Board, Chief Investment Officer, Chief Compliance Officer**
- 09/1992 to 12/2008: Investment Security Group, Inc.; **President & Co-Founder/Chief Investment Officer.**
- Prior to ISG, an additional decade of direct experience in the financial planning /money management industry working primarily with individuals.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Michael Dibala's compliance-related activities are supervised by Kelley Leland, Assistant Compliance Officer. Michael's investment related activities are reviewed by David Giocomo, CEO. He reviews Mike's work through frequent office interactions and ISG's client relationship management system. Michael is required to base his investment recommendations off of agreed upon models as designed and continually reviewed by ISG's investment committee as well as the clients' best interests. Significant exceptions to this process are handled on a case-by-case basis.

Kelley Leland's Contact Information:

303-759-9808

kelley@investsg.com

David Giocomo's Contact Information:

303-759-9808

Dave@investsg.com

DAVID M. GIOCOMO, ChFC, CLU

CEO, CFO, COO, President, Principal

Date of Birth: 2/22/1953

Formal Education:

- Colorado State University, BS 1975, M. Ed. 1977
- Chartered Financial Consultant, 1996

Business Experience:

- 01/2009 to present: Investment Security Group, Inc.;
President, CEO, CFO, COO, Treasurer
- 7/2005 to 12/2008: Investment Security Group, Inc.;
CEO / Financial Advisor
- Prior to ISG, 20 years with New York Life Insurance and their subsidiary financial planning unit, Eagle Strategies Corp., working as a financial advisor and providing investment advice, and business and estate planning.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

David Giocomo is supervised by Michael W. Dibala, CCO. He reviews David's work through frequent office interactions and ISG's client relationship management system. David is required to base his investment recommendations off of agreed upon models as designed and continually reviewed by ISG's investment committee as well as the clients' best interests. Significant exceptions to this process are handled on a case-by-case basis.

Michael Dibala's Contact Information:

303-758-9808

miked@investsg.com

Date of Birth: 4/1/1967

Formal Education:

- University of Colorado, MBA Finance 1997
- University of Utah, BS Economics, 1989

Business Experience:

- 01/2009 - present: Investment Security Group, Inc.; **Secretary, Portfolio Manager**
- 01/2008 to 12/2008: Investment Security Group, Inc.; **Portfolio Manager**
- 04/2007 to 12/2007: Integrated Concepts Inc.; **Portfolio Manager**
- 1997 - 01/2006: Sage Investment Management / Denwiler Capital Management; **Partner / Portfolio Manager**
- 1989 to 1997: Fidelity Investments; **Senior Financial Consultant (1995-1997), Fixed Income Coordinator (1989 - 1995)**

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Mitch Powers is supervised by Michael W. Dibala, CCO. He reviews Mitch's work through frequent office interactions and ISG's client relationship management system. Mitch is required to base his investment recommendations off of agreed upon models as designed and continually reviewed by ISG's investment committee as well as the clients' best interests. Significant exceptions to this process are handled on a case-by-case basis.

Michael Dibala's Contact Information:

303-758-9808

miked@investsg.com

RICHARD RUST**Financial Advisor, VP and Principal****Date of Birth:** 9/21/1952**Formal Education:**

- South Dakota School of Mines and Technology
- BS Mechanical Engineering 1974

Business Experience:

- 01/2009 to present: Investment Security Group, Inc.;
Vice President, Portfolio Manager
- 07/2007 to 12/2008: Investment Security Group, Inc.;
Portfolio Manager
- 01/2004 to present: Innovative Global Solutions, LLP.;
Member of the Executive Committee
- 01/2003 to 07/2009: Thorson Rocky Mountain, Inc.;
Chairman of the Board
- 01/1987 to 07/2005: Thorson Rocky Mountain, Inc.;
President/CEO
- Prior to ISG, over 20 years experience as a small business owner partnering with start-up to Fortune 100 companies and providing acquisition analysis, economic evaluation, and organizational management.

Disciplinary Information: None**Other Business Activities:** None**Additional Compensation:** None**Supervision:**

Rich Rust is supervised by Michael W. Dibala, CCO. He reviews Rich's work through frequent office interactions and ISG's client relationship management system. Rich is required to base his investment recommendations off of agreed upon models as designed and continually reviewed by ISG's investment committee as well as the clients' best interests. Significant exceptions to this process are handled on a case-by-case basis.

Michael Dibala's Contact Information:

303-758-9808

miked@investsg.com

BARBARA KRAUSE, CPA, CFP®, CWS Senior Financial Planner, Advisor, Principal

Date of Birth: **12/30/1966**

Formal Education:

- University of Wisconsin – Milwaukee, BBA 1985, MS, 1988
- Certified Public Accountant, Wisconsin, 1993
- Certified Financial Planner, 2009
- Certified Wealth Strategist, 2013

Business Experience:

- 04/2015 to Present: Investment Security Group, Inc.
Senior Financial Advisor
- 7/2014 – 4/2015: Schwab Private Client Investment Advisory, Inc.; **Senior Portfolio Consultant**
- 4/2010 – 7/2014: Schwab Private Client Investment Advisory, Inc.; **Portfolio Consultant**
- Prior to Schwab, 10 years of accounting, investment advisory and financial planning, working directly with clients and in corporate accounting environments.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Barbara Krause is supervised by Michael W. Dibala, CCO. He reviews Barbara's work through frequent office interactions and ISG's client relationship management system. Barbara is required to base her investment recommendations off of agreed upon models as designed and continually reviewed by ISG's investment committee as well as the clients' best interests. Significant exceptions to this process are handled on a case-by-case basis.

Michael Dibala's Contact Information:

303-758-9808

miked@investsg.com

Date of Birth: 4/14/1989

Formal Education:

- Colorado State University – Fort Collins, BBA 2011
- Certified Financial Planner Candidate, 11/2016

Business Experience:

- 12/2015 to Present: Investment Security Group, Inc.
Financial Planner
- 02/2015 to 12/2015: WE3 LLC
Independent Financial Consultant
- 12/2013 to 06/2014: Eldorado Trading Group
Senior Commodities Trader
- 02/2012 to 12/2013: Rowan Financial Capital
CME Group Commodities Trader

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Cameron Acres is supervised by Michael W. Dibala, CCO. He reviews Cameron's work through frequent office interactions and ISG's client relationship management system.

Michael Dibala's Contact Information:

303-758-9808

miked@investsg.com

Date of Birth: 9/25/1947

Formal Education:

- University of Northern Colorado – Greeley, CO, BA 1969
- Certified Financial Planner, 1984

Business Experience:

- 1/1/2017 – present: Investment Security Group, Inc.
Investment Advisor Representative
- 1982-2016: Guerin Financial Services, Ltd. Denver, CO
Owner and President
- 1978-1982: Financial Planning Group, Denver, CO.
Co-owner

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

George Guerin is supervised by Michael W. Dibala, CCO. He reviews George's work through frequent office interactions and ISG's client relationship management system. George is required to base his investment recommendations off of agreed upon models as designed and continually reviewed by ISG's investment committee as well as the clients' best interests. Significant exceptions to this process are handled on a case-by-case basis.

Michael Dibala's Contact Information:

303-758-9808

miked@investsg.com