



## **BROCHURE SUPPLEMENT**

Michael Ellis  
Newport Asia, LLC  
601 California Street, Suite 1168  
San Francisco, CA 94108  
(415) 677-8620

Prepared: February 7, 2017

This Brochure Supplement provides information about Michael Ellis that supplements the Newport Asia, LLC Brochure. You should have received a copy of that Brochure. Please contact Michael Ellis, Principal at (415) 677-8620 if you did not receive Newport Asia, LLC's Brochure or if you have any questions about the content of this supplement.

Additional information about Michael Ellis is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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### **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Michael Ellis was born in 1962. He received a BA in Law/Modern History from Cambridge University in 1984.

#### **Employment Background**

Employment Dates: 04/2002 - Present  
Firm Name: Newport Asia, LLC  
Type of Business: Investment Management  
Job Title & Duties: Partner

### **ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

#### **ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Ellis is not involved in any other business activities.

#### **ITEM 5: ADDITIONAL COMPENSATION**

Mr. Ellis does not receive any economic benefit from any non-client for providing advisory services.

#### **ITEM 6: SUPERVISION**

Michael Ellis' Chief Compliance Officer activities are conducted under the oversight of the company's partners. His telephone number is (415) 677-8620.



## BROCHURE SUPPLEMENT

Christopher H. Legallet  
Newport Asia, LLC  
601 California Street, Suite 1168  
San Francisco, CA 94108  
(415) 677-8620

Prepared: February 7, 2017

This Brochure Supplement provides information about Christopher H. Legallet that supplements the Newport Asia, LLC Brochure. You should have received a copy of that Brochure. Please contact Michael Ellis, Principal at (415) 677-8620 if you did not receive Newport Asia, LLC's Brochure or if you have any questions about the content of this supplement.

Additional information about Christopher H. Legallet is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Christopher H. Legallet was born in 1961.

### Educational Background

<u>School Name</u>	<u>Degree</u>	<u>Year</u>	<u>Major(s)</u>
University of California, Los Angeles	BA	1983	Economics
University of California, Los Angeles	MBA	1988	Finance

### Employment Background

Employment Dates: 10/2004 - Present  
Firm Name: Newport Asia, LLC  
Type of Business: Investment Management  
Job Title & Duties: Partner

### **ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

### **ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Legallet is not involved in any other business activities.

### **ITEM 5: ADDITIONAL COMPENSATION**

Mr. Legallet does not receive any economic benefit from any non-client for providing advisory services.

### **ITEM 6: SUPERVISION**

Michael Ellis, Principal, is responsible for the supervision of Mr. Legallet. His telephone number is (415) 677-8620.



## BROCHURE SUPPLEMENT

John M. Mussey  
Newport Asia, LLC  
601 California Street, Suite 1168  
San Francisco, CA 94108  
(415) 677-8620

Prepared: February 7, 2017

This Brochure Supplement provides information about John M. Mussey that supplements the Newport Asia, LLC Brochure. You should have received a copy of that Brochure. Please contact Michael Ellis, Principal at (415) 677-8620 if you did not receive Newport Asia, LLC's Brochure or if you have any questions about the content of this supplement.

Additional information about John M. Mussey is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John M. Mussey was born in 1941.

### Educational Background

<u>School Name</u>	<u>Degree</u>	<u>Year</u>	<u>Major(s)</u>
University of Redlands	BA	1963	Economics
University of California, Berkeley	MBA	1965	Finance

### Employment Background

Employment Dates: 06/2002 - Present  
Firm Name: Newport Asia, LLC  
Type of Business: Investment Management  
Job Title & Duties: Partner

## Professional Designations

Chartered Financial Analyst (CFA) – 1972

The CFA designation is issued by the CFA Institute. Once a candidate passes each of the three rigorous exams and meets the appropriate work experience and/or educational requirements the CFA designation may be used. There are no continuing education requirements.

### **ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

### **ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Mussey is not involved in any other business activities.

### **ITEM 5: ADDITIONAL COMPENSATION**

Mr. Mussey does not receive any economic benefit from any non-client for providing advisory services.

### **ITEM 6: SUPERVISION**

Michael Ellis, Principal, is responsible for the supervision of Mr. Mussey. His telephone number is (415) 677-8620.



## BROCHURE SUPPLEMENT

Eric Sandlund  
Newport Asia, LLC  
601 California Street, Suite 1168  
San Francisco, CA 94108  
(415) 677-8620

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Additional information about Eric Sandlund is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Eric Sandlund was born in 1957. He received a BA in American Government from the University of Virginia in 1980 and went on to receive a Juris Doctorate from the American University in 1984.

### Employment Background

Employment Dates: 4/2014 - Present  
Firm Name: Newport Asia, LLC  
Type of Business: Investment Advisor  
Job Title & Duties: Partner

Employment Dates: 4/2008 - 3/2014  
Firm Name: UBS Wealth Management  
Type of Business: Investment Advisor  
Job Title & Duties: Managing Director/Head

Employment Dates: 2006 - 2008  
Firm Name: FreeSpirit Advisors (Asia) Pte Ltd  
Type of Business: Investment Advisor  
Job Title & Duties: Managing Director

**Employment Background (continued)**

Employment Dates: 2002 - 2005  
Firm Name: Newport Pacific Management  
Type of Business: Investment Advisor  
Job Title & Duties: Senior Equity Portfolio Manager

Employment Dates: 1999 - 2002  
Firm Name: Merrill Lynch Investment Managers  
Type of Business: Investment Advisor  
Job Title & Duties: Managing Director/Chief Investment Officer, Asia Pacific

**ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

**ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Sandlund is not involved in any other business activities.

**ITEM 5: ADDITIONAL COMPENSATION**

Mr. Sandlund does not receive any economic benefit from any non-client for providing advisory services.

**ITEM 6: SUPERVISION**

Michael Ellis, Principal, is responsible for the supervision of Mr. Sandlund. His telephone number is (415) 677-8620.





## BROCHURE SUPPLEMENT

Thomas R. Tuttle  
Newport Asia, LLC  
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Additional information about Thomas R. Tuttle is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Thomas R. Tuttle was born in 1941. He received a BA in Psychology from Williams College in 1964.

### Employment Background

Employment Dates: 10/2001 - Present  
Firm Name: Newport Asia, LLC  
Type of Business: Investment Advisor  
Job Title: Partner

### Professional Designations

Chartered Financial Analyst (CFA) – 1973

The CFA designation is issued by the CFA Institute. Once a candidate passes each of the three rigorous exams and meets the appropriate work experience and/or educational requirements the CFA designation may be used. There are no continuing education requirements.

### **ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

### **ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Tuttle is not involved in any other business activities.

### **ITEM 5: ADDITIONAL COMPENSATION**

Mr. Tuttle does not receive any economic benefit from any non-client for providing advisory services.

### **ITEM 6: SUPERVISION**

Michael Ellis, Principal, is responsible for the supervision of Mr. Tuttle. His telephone number is (415) 677-8620.