

Item 1 Cover Page

**Part 2B: Brochure Supplement of
Financial Planning Consultants, LLC**

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www.fpcllc.com

April 14, 2017

This brochure supplement provides information about those supervised persons named in Item 2 below, and supplements the brochure of Financial Planning Consultants, LLC ("FPC"). You should have received a copy of that brochure. Please contact Marc G. Parkinson if you did not receive FPC's brochure or if you have any questions about the contents of this supplement.

Additional information about the supervised persons named in Item 2 is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Experience

Name: Marc G. Parkinson

Year of Birth: February 24, 1953

Formal education after high school:

Santa Clara University; Bachelor of Science in Economics; 1975

University of California, Los Angeles, Graduate School of Management; Masters, Business Administration; Finance and Accounting concentration; 1977

Business background for the preceding five years:

2005 - Present Financial Planning Consultants, LLC, San Jose, CA; Investment Adviser; Investment Adviser Representative

2007– 12/31/14 NFP Securities, Inc.; Broker-Dealer and Investment Adviser; Registered Representative and Investment Adviser Representative

1979 - Present Petrinovich, Pugh & Company, San Jose, CA; Accounting Firm; Partner

2015 – Present Lion Street Financial, LLC, Austin, Texas; Broker-Dealer and Investment Advisor; Registered Representative

Item 3 Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Marc Parkinson. Mr. Parkinson has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Parkinson.

Item 4 Other Business Activities

The principal business of Marc G. Parkinson is the practice of accountancy as a partner of Petrinovich, Pugh & Company. Certain clients of FPC may also be, but are under no obligation to be, clients of Petrinovich, Pugh & Company. Those clients have separate agreements with Petrinovich, Pugh & Company, and pay separate fees to Petrinovich, Pugh & Company for accounting services. FPC does not provide accounting services and is not licensed by the California Board of Accountancy.

Marc G. Parkinson is a registered representative of Lion Street Financial, LLC (“LSF”), a broker-dealer and investment adviser registered with the United States Securities and Exchange

Commission. In this separate capacity, Mr. Parkinson can effect securities transactions for which he will receive separate, yet customary compensation.

Marc Parkinson is also a licensed insurance agent for FPC Insurance Services, Inc. ("FPCIS"), a registered insurance broker. As such, Mr. Parkinson is able to receive separate, yet customary commission compensation resulting from insurance product transactions. No FPC client is obligated to use FPCIS for insurance services and conversely, no insurance client is obligated to use the advisory referral services provided by FPC.

While FPC and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

Clients should be aware that the receipt of additional compensation by FPC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. FPC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Item 5 Additional Compensation

FPC directly and Mr. Parkinson, indirectly and as part of his overall compensation, receive referral fees in connection with referring clients to third-party advisers. Payment of referral fees for prospective client referrals creates a potential conflict of interest to the extent that such a referral is not unbiased and the solicitor is, at least partially, motivated by financial gain. Therefore, such a referral may be made even if advisory services provided by these financial institutions are not

suitable to a particular client's needs or entering into an advisory relationship with them is not, overall, in the best interest of the client. Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Item 14 of our firm's Form ADV Part 2A: Firm Brochure.

Item 6 Supervision

Marc G. Parkinson, FPC's manager, whose telephone number is (408) 287-7911, supervises FPC's supervised persons by monitoring their compliance with FPC's policies and procedures.

Item 7 Requirements for State-Registered Advisers

Marc Parkinson has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional disciplinary events reportable under this Item.

Item 2 Educational Background and Experience

Name: David B. Doolin

Year of Birth: May 22, 1960

Formal education after high school:

California Polytechnic State University, San Luis Obispo; BS in Economics, 1982

Golden State University, San Francisco; Master of Taxation, 1994

Business background for the preceding five years:

2008 - Present Financial Planning Consultants, LLC, San Jose, CA; Investment Adviser; Investment Adviser Representative

2008 - Present LPL Financial, San Jose, CA; Broker-Dealer and Investment Adviser; Registered Representative and Investment Adviser Representative

1992 - Present Petrinovich, Pugh & Company, San Jose, CA; Accounting Firm; Partner

Item 3 Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding David Doolin. Mr. Doolin has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Doolin.

Item 4 Other Business Activities

The principal business of David Doolin is the practice of accountancy as a partner of Petrinovich, Pugh & Company. Certain clients of FPC may also be, but are under no obligation to be, clients of Petrinovich, Pugh & Company. Those clients have separate agreements with Petrinovich, Pugh & Company, and pay separate fees to Petrinovich, Pugh & Company for accounting services. FPC does not provide accounting services and is not licensed by the California Board of Accountancy.

David Doolin is a registered representative of LPL Financial LLC, a broker-dealer and investment adviser registered with the United States Securities and Exchange Commission. In this separate capacity, Mr. Parkinson can effect securities transactions for which he will receive separate, yet customary compensation. In addition, David Doolin is an investment adviser representative of LPL. In this capacity, Mr. Doolin provides investment advisory services through LPL. The advisory services delivered by LPL are distinct from those provided by FPC and are provided for separate compensation. LPL's advisory services may be recommended to our clients for whom it is appropriate. There are no referral fee arrangements between our firm and LPL. However, a conflict of interest is created by this arrangement to the extent that Mr. Doolin recommends that

an FPC client open an LPL account through which Mr. Doolin will receive additional compensation. No FPC client is obligated to use LPL or its services.

While FPC and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

Clients should be aware that the receipt of additional compensation by FPC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. FPC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Item 5 Additional Compensation

FPC directly and Mr. Doolin, indirectly and as part of his overall compensation, receive referral fees in connection with referring clients to third-party advisers. Payment of referral fees for prospective client referrals creates a potential conflict of interest to the extent that such a referral is not unbiased and the solicitor is, at least partially, motivated by financial gain. Therefore, such a referral may be made even if advisory services provided by these financial institutions are not suitable to a particular client's needs or entering into an advisory relationship with them is not, overall, in the best interest of the client. Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Item 14 of our firm's Form ADV Part 2A: Firm Brochure.

Item 6 Supervision

Marc G. Parkinson, FPC's manager, whose telephone number is (408) 287-7911, supervises FPC's supervised persons by monitoring their compliance with FPC's policies and procedures.

Item 7 Requirements for State-Registered Advisers

David Doolin has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional disciplinary events reportable under this Item.

Item 2 Educational Background and Experience

Name: Edward Allen Davis

Year of Birth: July 20, 1962

Formal education after high school:

San Jose State University; Bachelor of Science in Business Administration – Accounting Concentration; 1985

Business background for the preceding five years:

- 2008 - Present Financial Planning Consultants, LLC, San Jose, CA; Investment Adviser; Investment Adviser Representative
- 2009– 12/31/14 NFP Securities, Inc.; Broker-Dealer and Investment Adviser; Registered Representative and Investment Adviser Representative
- 1985 - Present Petrinovich, Pugh & Company, San Jose, CA; Accounting Firm; Partner
- 2016 - Present Lion Street Financial, LLC, Austin, Texas; Broker-Dealer and Investment Advisor; Registered Representative

Item 3 Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Edward Davis. Mr. Davis has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Davis.

Item 4 Other Business Activities

The principal business of Edward Davis is the practice of accountancy as a partner of Petrinovich, Pugh & Company. Certain clients of FPC may also be, but are under no obligation to be, clients of Petrinovich, Pugh & Company. Those clients have separate agreements with Petrinovich, Pugh & Company, and pay separate fees to Petrinovich, Pugh & Company for accounting services. FPC does not provide accounting services and is not licensed by the California Board of Accountancy.

Edward Davis is a registered representative of Lion Street Financial, LLC (“LSF”), a broker-dealer and investment adviser registered with the United States Securities and Exchange Commission. In this separate capacity, Mr. Davis can effect securities transactions for which he will receive separate, yet customary compensation.

Edward Davis is also a licensed insurance agent for FPC Insurance Services, Inc. (“FPCIS”), a registered insurance broker. As such, Mr. Davis is able to receive separate, yet customary commission compensation resulting from insurance product transactions. No FPC client is obligated to use FPCIS for insurance services and conversely, no insurance client is obligated to use the advisory referral services provided by FPC.

While FPC and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

Clients should be aware that the receipt of additional compensation by FPC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. FPC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Item 5 Additional Compensation

FPC directly and Mr. Davis, indirectly and as part of his overall compensation, receive referral fees in connection with referring clients to third-party advisers. Payment of referral fees for prospective client referrals creates a potential conflict of interest to the extent that such a referral is not unbiased and the solicitor is, at least partially, motivated by financial gain. Therefore, such a referral may be made even if advisory services provided by these financial institutions are not suitable to a particular client's needs or entering into an advisory relationship with them is not, overall, in the best interest of the client. Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Item 14 of our firm's Form ADV Part 2A: Firm Brochure.

Item 6 Supervision

Marc G. Parkinson, FPC's manager, whose telephone number is (408) 287-7911, supervises FPC's supervised persons by monitoring their compliance with FPC's policies and procedures.

Item 7 Requirements for State-Registered Advisers

Edward Davis has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional disciplinary events reportable under this Item.

Item 2 Educational Background and Experience

Name: John R. Kawamoto

Year of Birth: September 18, 1967

Formal education after high school:

San Jose State University; Bachelor of Science in Accounting Concentration; 1990

Business background for the preceding five years:

2008 - Present Financial Planning Consultants, LLC, San Jose, CA; Investment Adviser; Investment Adviser Representative

2009 –12/31/14 NFP Securities, Inc.; Broker-Dealer and Investment Adviser; Registered Representative and Investment Adviser Representative, Field Assistant

1990 - Present Petrinovich, Pugh & Company, San Jose, CA; Accounting Firm; Partner

2016 - Present Lion Street Financial, LLC, Austin, Texas; Broker-Dealer and Investment Advisor; Registered Representative

Item 3 Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding John Kawamoto. Mr. Kawamoto has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Kawamoto.

Item 4 Other Business Activities

The principal business of John Kawamoto is the practice of accountancy as a partner of Petrinovich, Pugh & Company. Certain clients of FPC may also be, but are under no obligation to be, clients of Petrinovich, Pugh & Company. Those clients have separate agreements with Petrinovich, Pugh & Company, and pay separate fees to Petrinovich, Pugh & Company for accounting services. FPC does not provide accounting services and is not licensed by the California Board of Accountancy.

John Kawamoto is a registered representative of Lion Street Financial, LLC (“LSF”), a broker-dealer and investment adviser registered with the United States Securities and Exchange Commission. In this separate capacity, Mr. Kawamoto can effect securities transactions for which he will receive separate, yet customary compensation.

John Kawamoto is also a licensed insurance agent for FPC Insurance Services, Inc. (“FPCIS”), a

registered an insurance broker. As such, Mr. Kawamoto is able to receive separate, yet customary commission compensation resulting from insurance product transactions. No FPC client is obligated to use FPCIS for insurance services and conversely, no insurance client is obligated to use the advisory referral services provided by FPC.

While FPC and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

Clients should be aware that the receipt of additional compensation by FPC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. FPC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Item 5 Additional Compensation

FPC directly and Mr. Kawamoto, indirectly and as part of his overall compensation, receive referral fees in connection with referring clients to third-party advisers. Payment of referral fees for prospective client referrals creates a potential conflict of interest to the extent that such a referral is not unbiased and the solicitor is, at least partially, motivated by financial gain. Therefore, such a referral may be made even if advisory services provided by these financial institutions are not suitable to a particular client's needs or entering into an advisory relationship with them is not, overall, in the best interest of the client. Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Item 14 of our firm's Form ADV Part 2A: Firm Brochure.

Item 6 Supervision

Marc G. Parkinson, FPC's manager, whose telephone number is (408) 287-7911, supervises FPC's supervised persons by monitoring their compliance with FPC's policies and procedures.

Item 7 Requirements for State-Registered Advisers

John Kawamoto has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional disciplinary events reportable under this Item.

Item 2 Educational Background and Experience

Name: Thomas Alan Wagstaff

Year of Birth: September 15, 1954

Formal education after high school:

University of California, Santa Cruz; Bachelor of Arts in Political Science; 1976

San Jose State University; Bachelor of Science in Accounting; 1980

San Jose State University; Masters in Business Administration; 1980

Business background for the preceding five years:

2008 - Present Financial Planning Consultants, LLC, San Jose, CA; Investment Adviser;
Investment Adviser Representative

2009 -12/31/14 NFP Securities, Inc.; Broker-Dealer and Investment Adviser; Registered
Representative and Investment Adviser Representative, Field Assistant

1980 - Present Petrinovich, Pugh & Company, San Jose, CA; Accounting Firm; Partner

2016 - Present Lion Street Financial, LLC, Austin, Texas; Broker-Dealer and Investment
Advisor; Registered Representative

Item 3 Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Thomas Wagstaff. Mr. Wagstaff has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Wagstaff.

Item 4 Other Business Activities

The principal business of Thomas Wagstaff is the practice of accountancy as a partner of Petrinovich, Pugh & Company. Certain clients of FPC may also be, but are under no obligation to be, clients of Petrinovich, Pugh & Company. Those clients have separate agreements with Petrinovich, Pugh & Company, and pay separate fees to Petrinovich, Pugh & Company for accounting services. FPC does not provide accounting services and is not licensed by the California Board of Accountancy.

Thomas Wagstaff is a registered representative of Lion Street Financial, LLC ("LSF"), a broker-dealer and investment adviser registered with the United States Securities and Exchange Commission. In this separate capacity, Mr. Wagstaff can effect securities transactions for which he will receive separate, yet customary compensation.

Thomas Wagstaff is also a licensed insurance agent for FPC Insurance Services, Inc. ("FPCIS"), a registered insurance broker. As such, Mr. Wagstaff is able to receive separate, yet customary commission compensation resulting from insurance product transactions. No FPC client is obligated to use FPCIS for insurance services and conversely, no insurance client is obligated to use the advisory referral services provided by FPC.

While FPC and these individuals endeavor at all times to put the interest of the clients first as part of our fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

Clients should be aware that the receipt of additional compensation by FPC and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. FPC endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

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- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

Item 5 Additional Compensation

FPC directly and Mr. Wagstaff, indirectly and as part of his overall compensation, receive referral fees in connection with referring clients to third-party advisers. Payment of referral fees for prospective client referrals creates a potential conflict of interest to the extent that such a referral is not unbiased and the solicitor is, at least partially, motivated by financial gain. Therefore, such a referral may be made even if advisory services provided by these financial institutions are not suitable to a particular client's needs or entering into an advisory relationship with them is not, overall, in the best interest of the client. Our firm has developed policies and procedures to monitor

and mitigate these conflicts of interest. A summary of our policies can be found in Item 14 of our firm's Form ADV Part 2A: Firm Brochure.

Item 6 Supervision

Marc G. Parkinson, FPC's manager, whose telephone number is (408) 287-7911, supervises FPC's supervised persons by monitoring their compliance with FPC's policies and procedures.

Item 7 Requirements for State-Registered Advisers

Thomas Wagstaff has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional disciplinary events reportable under this Item.