

Form ADV Part 2B Brochure Supplement

Moez Ul-Haq Ansari

Compak Asset Management, Inc.
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Newport Beach, CA 92660
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E-mail: investments@compak.com
Website: www.compak.com
Brochure last updated: February 13, 2017

This Brochure Supplement provides information about Moez “Moe” Ul-Haq Ansari that supplements the Compak Asset Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact Moe, President, if you did not receive the Compak Asset Management, Inc. Brochure or if you have any questions about the contents of this supplement. Additional information about Moe Ansari is available on the SEC’s website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Moe Ansari was born in 1957. He attended Fullerton College 1974 to 1976 and West Coast University 1977 to 1978.

Business Experience:

- Compak Asset Management, Inc., 1999 to present, President, Chief Investment Officer, and Investment Advisor Representative
- Compak Securities, Inc., 2002 to present, President, General Securities Principal
- Compak Dynamic Asset Allocation Fund, 2011 to 2013, Portfolio Manager
- Multiple Financial Services, Inc., 2003, Registered Representative
- Triad Advisors, Inc., 2001 to 2004, Registered Representative
- Sentra Securities Corporation, 1999 to 2001, Registered Representative

Disciplinary Information

Moe Ansari has not been involved in any civil or criminal investment-related events that must be disclosed by an SEC Registered Investment Advisor Representative in this document.

However, state regulators require that all formal investigations and disciplinary actions taken by regulators, customer disputes, certain criminal charges and/or convictions, as well as any IAR’s financial disclosures, such as bankruptcies and unpaid judgments or liens, be filed with FINRA. If this type of information would be material to your decision to do business with Compak Asset Management, Inc. please refer to SEC’s website at www.adviserinfo.sec.gov for more information about the Moe Ansari.

Other Business Activities

We want you to know that there are certain entities with which Moe Ansari has relationships that may give rise to conflicts of interest, or the appearance of conflicts of

interest. These entities, which are affiliated through common ownership and control with Compak Asset Management, Inc. include the following:

- Compak Securities, Inc.

Moe Ansari may provide advice about matters other than securities. He may also act as an insurance agent. As an insurance agent, he will receive compensation based upon whether or not, and in what amount, clients purchase insurance products through him.

Moe Ansari hosts a one hour “Market Wrap” talk radio show for a total of twenty (20) hours per month. The talk show is recorded live at the offices of Compak Asset Management, Inc.

Moe is a member of Fidelity’s Advisor Council, a select group of Registered Investment Advisor (RIA) executives who advise Fidelity on the nature of the evolving RIA marketplace.

Additional Compensation

Moe Ansari’s compensation comes primarily from Compak Asset Management, Inc. and is in part based upon the number of clients and size of accounts that he provides services to. He does not receive compensation from non-clients for providing advisory services.

Mr. Ansari, while acting as a Registered Representative of a broker-dealer may receive trailing 12b-1 distribution fees and other sales commissions from investment companies and product sponsors in connection with the placement of client funds in certain securities.

Mr. Ansari is also licensed as an insurance agent. As an insurance agent he will receive compensation usually based upon the size (premium amount) and/or type of insurance product. Because the commission compensation varies between investment options, Mr. Ansari has a financial incentive to recommend one insurance product over another. This financial incentive creates a potential conflict of interest between you, Moe and Compak Asset Management, Inc.

Supervision

Compak Asset Management, Inc. supervises its investment advisor representatives through a system of internal control procedures overseen by our Chief Compliance Officer, Feroz Ansari. This oversight includes review of client portfolios, investment advisor representative personal securities transactions, and correspondence. You can reach him at 800-388-9700

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Feroz Ul-Haq Ansari

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This Brochure Supplement provides information about Feroz Ul-Haq Ansari that supplements the Compak Asset Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact Moe Ansari, President if you did not receive the Compak Asset Management, Inc. Brochure or if you have any questions about the contents of this supplement. Additional information about Feroz Ansari is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Feroz Ansari holds a Masters of International Management degree from Thunderbird, the American Graduate School of International Management in Arizona in 1993 and a Masters in Business Administration from the Institute of Business Administration at the University of Karachi in Pakistan in 1992. Mr. Ansari has completed risk management, trading, portfolio management, leadership, and financial management programs at Oxford University, INSEAD in France, Citibank Training Center in Singapore, Euromoney in New York, and Emirates Bank Training Center in Dubai. He serves on the Board of Advisors of University of California-Irvine's Center for Investment and Wealth Management (CIWM).

Feroz Ansari is a CFP® (Certified Financial Planner). The CFP designation is issued by the Certified Financial Planner Board of Standards, Inc. A CFP candidate must have a bachelor's degree or higher from an accredited college or university, and 3 years full-time personal financial planning experience. The candidate must complete a CFP-board registered program or hold one of the following: CPA, ChFC, CLU, CFA, Ph.D. in business or economics, Doctor of Business Administration or an Attorney's License. CFP candidates must pass the CFP Certification Examinations. To maintain the designation he must attend at least 30 hours of continuing education every two years.

Business Experience:

- Compak Asset Management, Inc., 1999 to present, Senior Principal,, and Portfolio Manager
- Compak Securities, Inc., 2002 to present, Owner, General Securities Principal, and Registered Representative
- Compak Dynamic Asset Allocation Fund, 2011 to 2013, Portfolio Manager
- Compak Alternative Investments, LLC, 2006 to 2013, Managing Member
- Compak Investments, LLC, 2006 to 2013, Managing Member
- Emirates Bank, 1996 to 2002, Deputy General Manager

Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Feroz has no legal or disciplinary events related to the financial services industry.

Other Business Activities

We want you to know that there are certain entities with which Feroz Ansari has relationships that may give rise to conflicts of interest, or the appearance of conflicts of interest. These entities, which are affiliated through common ownership and control with Compak Asset Management, Inc. include the following:

- Compak Securities, Inc.

Feroz Ansari may provide advice about matters other than securities. He may also act as an insurance agent. As an insurance agent, he will receive compensation based upon whether or not, and in what amount, clients purchase insurance products through him.

Additional Compensation

Feroz Ansari's compensation comes primarily from Compak Asset Management, Inc. and is in part based upon the number of clients and size of accounts that he provides services to. He does not receive compensation from non-clients for providing advisory services.

Mr. Ansari, while acting as a Registered Representative of a broker-dealer may receive trailing 12b-1 distribution fees and other sales commissions from investment companies and product sponsors in connection with the placement of client funds in certain securities.

Mr. Ansari is also licensed as an insurance agent. As an insurance agent he will receive compensation usually based upon the size (premium amount) and/or type of insurance product. Because the commission compensation varies between investment options, Mr. Ansari has a financial incentive to recommend one insurance product over another. This financial incentive creates a potential conflict of interest between you, Feroz and Compak Asset Management, Inc.

Supervision

Compak Asset Management, Inc. supervises its investment advisor representatives through a system of internal control procedures overseen by Compak's Chief Compliance Officer, Feroz Ansari. This oversight includes review of client portfolios, investment advisor representative personal securities transactions, and correspondence. Feroz Ansari's personal activities are overseen by our President, Moe Ansari.

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Javaid Ansari

Compak Asset Management, Inc.
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Brochure last updated: February 13, 2017

This Brochure Supplement provides information about Javaid Ansari that supplements the Compak Asset Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact Moe Ansari, President if you did not receive the Compak Asset Management, Inc. Brochure or if you have any questions about the contents of this supplement. Additional information about Javaid Ansari is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Javaid Ansari is the Executive Vice President of Sales & Portfolio Management at Compak Asset Management and a member of Compak's Investment Committee. Javaid earned a MBA from the UCLA Anderson School of Management in 2012. Javaid graduated with a B.S. degree in Business Administration from the Haas School of Business at the University of California, Berkeley in 2005. He has also studied at Cambridge University, the Swiss Finance Institute and the China European International Business School in Shanghai. He is currently a CFA Level II candidate.

Javaid Ansari is a CFP® (Certified Financial Planner). The CFP designation is issued by the Certified Financial Planner Board of Standards, Inc. A CFP candidate must have a bachelor's degree or higher from an accredited college or university, and 3 years full-time personal financial planning experience. The candidate must complete a CFP-board registered program or hold one of the following: CPA, ChFC, CLU, CFA, Ph.D. in business or economics, Doctor of Business Administration or an Attorney's License. CFP candidates must pass the CFP Certification Examinations. To maintain the designation he must attend at least 30 hours of continuing education every two years.

Business Experience:

- Compak Asset Management, Inc., 2011 to present, Executive Vice President, Investment Advisor Representative
- Compak Securities, Inc., 2011 to present, Executive Vice President, and Registered Representative
- Compak Alternative Investments, LLC, 2012 to 2013, Associated Person
- Compak Investments, LLC, 2012 to 2013, Associated Person

- Roth Capital Partners LLC, 2011, Investment Banking Associate
- Compak Asset Management, Inc., 2007 to 2011, Executive Vice President, Investment Advisor Representative
- Compak Asset Management, Inc., 2007 to 2011, Financial Analyst, and Investment Advisor Representative
- Compak Securities, Inc., 2007 to 2011, Financial Analyst, and Registered Representative
- Compak Alternative Investments, LLC, 2007 to 2010, Principal
- Compak Investments, LLC, 2007 to 2010, Principal
- PIMCO, 2006 to 2007, Associate
- William O'Neil + Co (Publishers of the Investor Business Daily), 2006, Market Researcher

Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Javaid has no legal or disciplinary events related to the financial services industry.

Other Business Activities

We want you to know that there are certain entities with which Javaid Ansari has relationships that may give rise to conflicts of interest, or the appearance of conflicts of interest. These entities, which are affiliated through common ownership and control with Compak Asset Management, Inc. include the following:

- Compak Securities, Inc.

Javaid Ansari may provide advice about matters other than securities. He may also act as an insurance agent. As an insurance agent, he will receive compensation based upon whether or not, and in what amount, clients purchase insurance products through him.

Additional Compensation

Javaid Ansari's compensation comes primarily from Compak Asset Management, Inc. and is in part based upon the number of clients and size of accounts that he provides services to. He does not receive compensation from non-clients for providing advisory services.

Mr. Ansari, while acting as a Registered Representative of a broker-dealer may receive trailing 12b-1 distribution fees and other sales commissions from investment companies and product sponsors in connection with the placement of client funds in certain securities.

Mr. Ansari is also licensed as an insurance agent. As an insurance agent he will receive compensation usually based upon the size (premium amount) and/or type of insurance product. Because the commission compensation varies between investment options, Mr. Ansari has a financial incentive to recommend one insurance product over another. This financial incentive creates a potential conflict of interest between you, Javaid and Compak Asset Management, Inc.

Supervision

Compak Asset Management, Inc. supervises its investment advisor representatives through a system of internal control procedures overseen by our Chief Compliance Officer, Feroz Ansari. This oversight includes review of client portfolios, investment advisor representative personal securities transactions, and correspondence.

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Yekaterina Galkina, CFP®, CRPC®, CLU®, PFP

Compak Asset Management, Inc.

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Brochure last updated: February 13, 2017

This Brochure Supplement provides information about Yekaterina Galkina that supplements the Compak Asset Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact Moe Ansari, President if you did not receive the Compak Asset Management, Inc. Brochure or if you have any questions about the contents of this supplement. Additional information about Yekaterina Galkina is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Moldova State University – 1992 – Bachelors Degree in Applied Mathematics and Computer Science

Yekaterina Galkina is also a Chartered Retirement Planning Counselor (CRPC®). The CRPC designation is awarded by The College for Financial Planning® to individuals who successfully complete their educational program and pass a final examination, and agree to comply with a Code of Ethics. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education and paying a biennial renewal fee.

Yekaterina Galkina is also a Chartered Life Underwriter®. Individuals holding the CLU designation have completed eight or more college-level courses. Topics for required courses include insurance and financial planning, life insurance law, estate planning, and planning for business owners and professionals. CLU® designees must meet experience and continuing education requirements and must adhere to an ethical standard. The mark is awarded by the American College.

Yekaterina Galkina also holds a Personal Financial Planning Certificate Program (PFP) holder. The PFP certificate program is registered with the Certified Financial Planner Board of Standards, Inc and is offered by the University of California, Irvine Extension's Program. A certificate is awarded upon completion of the eight required courses and two electives for a minimum of 340 hours of instruction. The PFP certificate candidate must have a bachelor's degree or higher from an accredited college or university, and at least one year of experience in one of the financial services industries or comparable education in business and finance.

Yekaterina Galkina is a CFP® (Certified Financial Planner). The CFP designation is issued by the Certified Financial Planner Board of Standards, Inc. A CFP candidate must have a bachelor's degree or higher from an accredited college or university, and 3 years full-time personal financial planning experience. The candidate must complete a CFP-board registered program or hold one of the following: CPA, ChFC, CLU, CFA, Ph.D. in business or economics, Doctor of Business Administration or an Attorney's License. CFP candidates must pass the CFP Certification Examinations. To maintain the designation he must attend at least 30 hours of continuing education every two years.

Yekaterina Galkina is an Enrolled Agent – Internal Revenue Service. The Enrolled Agent is a person who has earned the privilege of representing taxpayers before the Internal Revenue Service. Enrolled Agents are generally unrestricted as to which taxpayers they can represent, what types of tax matters they can handle, and which IRS offices they can represent clients before. An Enrolled Agent must pass a 3 part examination and generally must obtain a minimum of 72 hours per enrollment cycle (every three years). Additionally, they must also obtain a minimum of 16 hours of continuing education (including 2 hours of ethics or professional conduct) each enrollment year.

Business Experience:

- Compak Asset Management, Inc., 2006 to present, Certified Financial Planner, Investment Advisor Representative
- Compak Securities, Inc., 2006 to present, Certified Financial Planner, Registered Representative
- MetLife, 2006, Financial Planner
- Indymac Bank, 2004 – 2006, Database developer/programmer
- Benefit Mall, 1998 – 2004, Data Analyst / Programmer
- Engineering Comm. Corp., 1997 – 1998, Programmer
- Bravo, 1995 – 1997, Programmer

Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Yekaterina has no legal or disciplinary events related to the financial services industry.

Other Business Activities

We want you to know that there are certain entities with which Yekaterina Galkina has relationships that may give rise to conflicts of interest, or the appearance of conflicts of interest. These entities, which are affiliated through common ownership and control with Compak Asset Management, Inc. include the following:

- Compak Securities, Inc.

Yekaterina Galkina may provide advice about matters other than securities. She may also act as an insurance agent. As an insurance agent, she will receive compensation based upon whether or not, and in what amount, clients purchase insurance products through her.

Additional Compensation

Yekaterina Galkina's compensation comes primarily from Compak Asset Management, Inc. and is in part based upon the number of clients and size of accounts that she provides services to. She does not receive compensation from non-clients for providing advisory services.

Yekaterina Galkina, while acting as a Registered Representative of a broker-dealer may receive trailing 12b-1 distribution fees and other sales commissions from investment companies and product sponsors in connection with the placement of client funds in certain securities.

Yekaterina Galkina is also licensed as an insurance agent. As an insurance agent she will receive compensation usually based upon the size (premium amount) and/or type of insurance product. Because the commission compensation varies between investment options, Ms. Galkina has a financial incentive to recommend one insurance product over another. This financial incentive creates a potential conflict of interest between you, Yekaterina and Compak Asset Management, Inc.

Supervision

Compak Asset Management, Inc. supervises its investment advisor representatives through a system of internal control procedures overseen by our Chief Compliance Officer, Feroz Ansari. This oversight includes review of client portfolios, investment advisor representative personal securities transactions, and correspondence. You can reach him at 800-388-9700

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Frederick L. Jin, CFP®, CRPC®

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Brochure last updated: February 13, 2017

This Brochure Supplement provides information about Frederick L. Jin that supplements the Compak Asset Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact Moe Ansari, President if you did not receive the Compak Asset Management, Inc. Brochure or if you have any questions about the contents of this supplement. Additional information about Frederick L. Jin is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

California State University – Fullerton - 1982 – Bachelors Degree in Business Administration

Frederick L. Jin is a CFP® (Certified Financial Planner). The CFP designation is issued by the Certified Financial Planner Board of Standards, Inc. A CFP candidate must have a bachelor's degree or higher from an accredited college or university, and 3 years full-time personal financial planning experience. The candidate must complete a CFP-board registered program or hold one of the following: CPA, ChFC, CLU, CFA, Ph.D. in business or economics, Doctor of Business Administration or an Attorney's License. CFP candidates must pass the CFP Certification Examinations. To maintain the designation he must attend at least 30 hours of continuing education every two years.

Frederick L. Jin is also a Chartered Retirement Planning Counselor (CRPC®). The CRPC designation is awarded by The College for Financial Planning® to individuals who successfully complete their educational program and pass a final examination, and agree to comply with a Code of Ethics. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education and paying a biennial renewal fee.

Business Experience:

- Compak Asset Management, Inc., 2007 to present, Certified Financial Planner, Investment Advisor Representative
- Compak Securities, Inc., 2007 to present, Certified Financial Planner, Registered Representative
- MUIV, 2006 – 2007, Insurance and credit counseling
- Experian, Inc., 2002 – 2006, Marketing Manager
- GC Services, Inc., 2000 – 2002, National Sales Director
- Pacific Life Insurance, 1990 – 2000, Product Development and Marketing
- Mercury Savings, 1984 – 2000, Director of Financial Analysis and Planning

Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing

investment advice. Frederick has no legal or disciplinary events related to the financial services industry.

Other Business Activities

We want you to know that there are certain entities with which Frederick L. Jin has relationships that may give rise to conflicts of interest, or the appearance of conflicts of interest. These entities, which are affiliated through common ownership and control with Compak Asset Management, Inc. include the following:

- Compak Securities, Inc.

Frederick L. Jin may provide advice about matters other than securities. He may also act as an insurance agent. As an insurance agent, he will receive compensation based upon whether or not, and in what amount, clients purchase insurance products through him.

Additional Compensation

Frederick L. Jin's compensation comes primarily from Compak Asset Management, Inc. and is in part based upon the number of clients and size of accounts that he provides services to. He does not receive compensation from non-clients for providing advisory services.

Frederick L. Jin, while acting as a Registered Representative of a broker-dealer may receive trailing 12b-1 distribution fees and other sales commissions from investment companies and product sponsors in connection with the placement of client funds in certain securities.

Frederick L. Jin is also licensed as an insurance agent. As an insurance agent he will receive compensation usually based upon the size (premium amount) and/or type of insurance product. Because the commission compensation varies between investment options, Mr. Jin has a financial incentive to recommend one insurance product over another. This financial incentive creates a potential conflict of interest between you, Frederick and Compak Asset Management, Inc.

Supervision

Compak Asset Management, Inc. supervises its investment advisor representatives through a system of internal control procedures overseen by our Chief Compliance Officer, Feroz Ansari. This oversight includes review of client portfolios, investment advisor representative personal securities transactions, and correspondence. You can reach him at 800-388-9700