



Brightworth, LLC Part 2B of Form ADV The Brochure Supplement

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This brochure supplement provides information about David Polstra, Raymond Padrón, Donald Wilson, Annika Ferris Cushnie, Lisa Brown, Charlie Jordan, Frederick Wright, Michael DeWitt, Thomas Presley, Nathan Corbitt, Ryan Halpern, Melanie Stewart, Christopher Boland, Jason Cross, Chase Mouchet, Patricia Sklar, Jeff Harrell and Alan Gotthardt. It supplements Brightworth's accompanying Form ADV brochure. Please contact Brightworth's Chief Compliance Officer, Sherry Hodor, at 404-760-9000 or sherry.hodor@brightworth.com, if you have any questions about the Form ADV brochure or this supplement, or if you would like to request additional or updated copies of either document.

Additional information about the above referenced individuals is available on the SEC's website at www.adviserinfo.sec.gov.

David W. Polstra, CPA, CFP®, CIMA®

Educational Background and Business Experience (preceding 5 years)

David Polstra was born in 1957. He received a Bachelor of Business Administration degree from the University of Texas at Austin in 1979 graduating Cum Laude. He also attended an Executive Education program at The Wharton School of Business at the University of Pennsylvania in 2001, where he completed the IMCA® Investment Management Analyst Program.

Mr. Polstra is a founding Partner/Member of Brightworth (formerly Polstra & Dardaman, LLC). He co-founded the firm in May of 1997 and is a Wealth Advisor. He holds the following designations: Certified Public Accountant (GA), CERTIFIED FINANCIAL PLANNER™ practitioner and Certified Investment Management Analyst®.

Disciplinary Information

None

Other Business Activities

Mr. Polstra is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Polstra does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Polstra's investment-related activities are overseen by Brightworth's Investment Committee members Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also part of the firm's Management Committee. The firm's other Management Committee members are Ray Padrón, Sherry Hodor, Don Wilson, Annika Cushnie, Bob Sytz and Brett Miller. Mr. Polstra is also overseen by Ray Padrón, Brightworth's Managing Partner and Sherry Hodor, Brightworth's Chief Compliance Officer.

Raymond V. Padrón, CPA, CFP®, CIMA®

Educational Background and Business Experience (preceding 5 years)

Ray Padrón was born in 1956. He graduated Cum Laude from The University of Maryland with a Bachelors of Science in Accounting in 1978. Mr. Padrón attended an Executive Education program at The Wharton School of Business at the University of Pennsylvania in 2004 where he completed the IMCA® Investment Management Analyst Program.

Mr. Padrón joined Brightworth as a Wealth Advisor and Partner/Member of the firm in 2004. He also currently serves as Brightworth's Managing Partner. He holds the following designations: Certified Public Accountant (MD), CERTIFIED FINANCIAL PLANNER™ practitioner, Certified Investment Management Analyst®, Accredited Estate Planner®, Chartered Financial Consultant and Chartered Life Underwriter.

Disciplinary Information

None

Other Business Activities

Mr. Padrón is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Padrón does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Padrón's investment-related activities are overseen by Brightworth's other Investment Committee members, Don Wilson, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also part of the firm's Management Committee who oversees his role as Managing Partner. The firm's other Management Committee members are David Polstra, Sherry Hodor, Don Wilson, Annika Cushnie, Bob Sytz and Brett Miller. He is also overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Donald W. Wilson, IV, CFA, CFP®

Educational Background and Business Experience (preceding 5 years)

Don Wilson was born in 1971. He graduated Summa Cum Laude from Georgia Institute of Technology with a Bachelors Degree in Electrical Engineering in 1995.

Mr. Wilson joined Brightworth in 1998 and became a Partner/Member of the firm in 2008. He is the Chief Investment Officer of Brightworth, effective January 2014. Don previously served as Brightworth's Director of Portfolio Management since 2006. Prior to this position, Mr. Wilson worked in the roles of Investment Operations Specialist and Portfolio Manager at Brightworth. He holds the following designations: Chartered Financial Analyst® and CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

None

Other Business Activities

Mr. Wilson is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Wilson does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

As a member of Brightworth's Investment Committee, he is overseen by the other committee members, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also part of the firm's Management Committee who oversees his role as Chief Investment Officer. The firm's other Management Committee members are David Polstra, Ray Padrón, Sherry Hodor, Annika Cushnie, Bob Sytz and Brett Miller. He is also overseen by Ray Padrón, Brightworth's Managing Partner and Sherry Hodor, Brightworth's Chief Compliance Officer.

Annika Ferris Cushnie, CFP®, CIMA®

Educational Background and Business Experience (preceding 5 years)

Annika Cushnie was born in 1975. She attended the University of Colorado at Boulder and received two degrees: a BS in Business graduating Cum Laude and BA in Biology. She then attended Georgia State University and received an MBA in Risk Management/Personal Financial Planning in 2003. Ms. Cushnie attended an Executive Education program at The Wharton School of Business at the University of Pennsylvania in 2008, where she completed the IMCA® Investment Management Analyst Program.

Ms. Cushnie joined Brightworth in 2003. She has been a Partner/Member of Brightworth since 2008 and has served as a Wealth Advisor of the firm since 2007. Ms. Cushnie was a Financial Planner at Brightworth from 2003 – 2006. Ms. Cushnie holds the following certifications: CERTIFIED FINANCIAL PLANNER™ practitioner and Certified Investment Management Analyst®.

Disciplinary Information

None

Other Business Activities

Ms. Cushnie is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Ms. Cushnie does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Ms. Cushnie's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. She is also part of the firm's Management Committee. The firm's other Management Committee members are David Polstra, Ray Padrón, Sherry Hodor, Don Wilson, Bob Sytz and Brett Miller. Annika is also supervised by Ray Padrón, Brightworth's Managing Partner and Sherry Hodor, Brightworth's Chief Compliance Officer.

Lisa L. Brown, CFP®, CIMA®

Educational Background and Business Experience (preceding 5 years)

Lisa Brown was born in 1977. She graduated from LeMoyne College with a Bachelors of Science in Finance and Economics, Summa Cum Laude. She earned her MBA from Georgia State University graduating in 2003. Ms. Brown also attended an Executive Education program at The Haas School of Business at The University of California Berkeley in 2008, where she completed the IMCA® Investment Management Analyst Program.

Ms. Brown became a Partner/Member at Brightworth in 2010. She joined Brightworth in 2005 as a Senior Financial Planner and currently serves in the role of Wealth Advisor. She has also served as Manager of Financial Planning for Brightworth. Ms. Brown holds the following certifications: CERTIFIED FINANCIAL PLANNER™ practitioner, Certified Investment Management Analyst® and Accredited Estate Planner®.

Disciplinary Information

None

Other Business Activities

Ms. Brown is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Ms. Brown does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Ms. Brown's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. She is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Charles L. Jordan, CPA, CFP®

Educational Background and Business Experience (preceding 5 years)

Charlie Jordan was born in 1978. He graduated from the University of Florida with a degree in Finance in 2000. He received a Masters in Accountancy with a concentration in Taxation from Kennesaw State University in 2009 with Honors.

Mr. Jordan became a Partner of Brightworth in 2013. Mr. Jordan joined Brightworth in 2008 as a Financial Planner and was promoted to Wealth Advisor in January 2011. Before joining Brightworth, Mr. Jordan worked as a Financial Planner at Tegra Financial Partners from 2007 – 2008 and as a Manager at The National Christian Foundation from 2004 – 2007.

Mr. Jordan holds the following certifications: Certified Public Accountant (GA) and CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

None

Other Business Activities

Mr. Jordan is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Jordan does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Jordan's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Frederick S. Wright, IV, CFA

Educational Background and Business Experience (preceding 5 years)

Frederick Wright was born in 1959. He received a Bachelor of Science from the United States Military Academy at West Point and his Masters of Business Administration from Emory Business School.

Mr. Wright joined Brightworth in 2016 as a Wealth Advisor and became a Partner of the firm in 2017. He previously served in the role of Wealth Advisor and was a Partner at Smith & Howard Wealth Management from August 1999 to June 2016. He holds the Chartered Financial Analyst® designation and is the co-founder and an active member of the Atlanta Society of CFAs.

Disciplinary Information

None

Other Business Activities

Mr. Wright is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Wright does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Wright's investment-related activities are overseen by Brightworth's Investment Committee members Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Michael R. DeWitt, CFA

Educational Background and Business Experience (preceding 5 years)

Mike DeWitt was born in 1971. He received a Bachelor of Science in Finance from Auburn University.

Mr. DeWitt joined Brightworth in 2016 as a Wealth Advisor and became a Partner of the firm in 2017. He served in the role of Wealth Advisor and was a Partner at Smith & Howard Wealth Management from July 2001 to June 2016. Prior to that position, he was a Portfolio Manager in the trust department of Amsouth Bank from March 1994 to July 2001. He holds the Chartered Financial Analyst® designation and is an active member of the Atlanta Society of CFAs.

Disciplinary Information

None

Other Business Activities

Mr. DeWitt is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. DeWitt does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. DeWitt's investment-related activities are overseen by Brightworth's other Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer

Thomas C. Presley, CPA, CFP®, PFS

Educational Background and Business Experience (preceding 5 years)

Tom Presley was born in 1986. He graduated from the University of Georgia with a Bachelors of Business Administration in Accounting with Honors. He also earned his Masters of Accountancy with Honors from the University of Georgia. Mr. Presley attended an Executive Education program at the Wharton School in 2014 as part of the IMCA® Investment Management Analyst Program.

Mr. Presley joined Brightworth in 2011 as a Financial Planner and currently serves in the role of Wealth Advisor. He became a Partner at Brightworth as of July 2017. Mr. Presley holds the following certifications: Certified Public Accountant (GA), CERTIFIED FINANCIAL PLANNER™ practitioner, and Personal Financial Specialist.

Disciplinary Information

None

Other Business Activities

Mr. Presley is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Presley does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Presley's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Nathan Corbitt, CPA, CFA, CFP®

Educational Background and Business Experience (preceding 5 years)

Nathan Corbitt was born in 1981. He graduated from Georgia Southern University with a Bachelor's degree in Accounting and earned his Masters of Accountancy as a University Honors Program Scholar on May 1, 2004.

Mr. Corbitt joined Brightworth in 2011 as a Financial Planner and currently serves in the role of Wealth Advisor. He became a Partner at Brightworth as of July 2017. Mr. Corbitt holds the following certifications: Certified Public Accountant (GA), Chartered Financial Analyst®, CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

None

Other Business Activities

Mr. Corbitt is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Corbitt does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Corbitt's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Ryan Halpern, CPA, CFP® PFS

Educational Background and Business Experience (preceding 5 years)

Ryan Halpern was born in 1984. He graduated Magna Cum Laude from the University of Georgia with a Bachelors of Business Administration in Accounting. He also earned his Masters of Accountancy from the University of Georgia.

Mr. Halpern joined Brightworth in 2013 as a Financial Planner and currently serves in the role of Wealth Advisor. He started his career at Ernst & Young from October 2008 to February 2011 and continued his career on the tax team at Pathstone Family Office from February 2011 to March 2013. Mr. Halpern holds the following certifications: Certified Public Accountant (GA), CERTIFIED FINANCIAL PLANNER™ practitioner, Personal Financial Specialist and has earned the CFA Institute Claritas® Investment Certificate.

Disciplinary Information

None

Other Business Activities

Mr. Halpern is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Halpern does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Halpern's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Melanie Stewart, CFP®

Educational Background and Business Experience (preceding 5 years)

Melanie Stewart was born in 1968. She graduated Cum Laude with a Bachelor of Science degree in Industrial Management from Clemson University. She also earned her Masters in Industrial Management from Clemson University.

Ms. Stewart joined Brightworth in 2015 as a Financial Planner and currently serves in the role of Supervisor of Financial Planning and Senior Financial Planner. Prior to joining Brightworth, she was a Financial Planner at Clifftop Financial Partners, LLC from September 2009 to December 2014. Ms. Stewart holds the CERTIFIED FINANCIAL PLANNER™ practitioner designation.

Disciplinary Information

None

Other Business Activities

Ms. Stewart is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Ms. Stewart does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Ms. Stewart's investment-related activities are overseen by Brightworth's Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. She is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Christopher Boland, CFP®

Educational Background and Business Experience (preceding 5 years)

Christopher “Bud” Boland was born in 1982. He graduated from Clemson University where he received his degree in Financial Management with an emphasis in Financial Services.

Mr. Boland joined Brightworth in 2016 as a Senior Financial Planner. He served in the role of Senior Wealth Planner at Smith & Howard Wealth Management from June 2010 to June 2016. He also was an Associate at Apogee Family Office, LLC from April 2006 to April 2010. Mr. Boland is a CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

None

Other Business Activities

Mr. Boland is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Boland does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Boland’s investment-related activities are overseen by Brightworth’s Investment Committee members, Don Wilson, Ray Padrón, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth’s Managing Partner and overseen by Sherry Hodor, Brightworth’s Chief Compliance Officer.

Jason R. Cross, JD, CFP®

Educational Background and Business Experience (preceding 5 years)

Jason Cross was born in 1985. He graduated from Emory University with a Bachelors in Business Administration with a concentration in Finance and Law and graduated from Emory University of Law with a Juris Doctorate focusing on wealth transfer and trusts and estates. He also received the Transactional Law and Skills Certificate at Emory's Center for Transactional and Practice.

Mr. Cross joined Brightworth in 2015 as a Financial Planner and currently serves in the role of Senior Financial Planner. Prior to Brightworth, Mr. Cross was a Trust Officer at SunTrust Bank in their multi-generational, high-net-worth group from May 2012 to December 2013. He also served as a Financial Advisor at Baird from December 2013 to December 2014. Mr. Cross holds the following certifications and licenses: CERTIFIED FINANCIAL PLANNER™ practitioner and is a member in good standing of the Georgia Bar.

Disciplinary Information

None

Other Business Activities

Mr. Cross is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Cross does not receive economic benefits from any person and or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Cross's investment-related activities are overseen by Brightworth's investment Committee members, Don Wilson, Ray Padron, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padron, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Chase Mouchet, CFP®

Educational Background and Business Experience (preceding 5 years)

Chase Mouchet was born in 1987. He graduated Cum Laude from The University of Georgia with a Bachelor of Science in Family and Consumer Sciences in Family Financial Planning and a Bachelor of Business Administration in Finance.

Mr. Mouchet joined Brightworth in 2015 as a Financial Planner and was promoted to Senior Financial Planner in 2016. He previously worked at Strategic Financial Planning, Inc. as a Financial Planner from August 2013 to August 2015 and Alpha Financial Management as a Support Advisor/Chief Compliance Officer from April 2010 to June 2013. Mr. Mouchet is a CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

None

Other Business Activities

Mr. Mouchet is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Mouchet does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Mouchet's investment-related activities are overseen by Brightworth's investment Committee members, Don Wilson, Ray Padron, Alan Gotthardt, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padron, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Patricia Dampf Sklar, CPA, CFP®

Educational Background and Business Experience (preceding 5 years)

Patricia Sklar was born in Baton Rouge in 1985. She graduated from The University of Georgia where she received her Bachelor of Business Administration in Accounting. She then attended Louisiana State University where she received her Masters of Science in Accounting.

Ms. Sklar joined Brightworth in 2016 as a Financial Planner and was promoted to Senior Financial Planner in 2017. At BT Wealth Management, LLC, she served in the role of Investment Advisor from October 2012 to February 2016. Ms. Sklar also served the role of Tax Senior at Bennett Thrasher PC on the accounting side of firm from February 2011 to October 2012. Ms. Sklar is a Certified Public Accountant and a CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

None

Other Business Activities

Ms. Sklar is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Ms. Sklar does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Ms. Sklar's investment-related activities are overseen by Brightworth's investment Committee members, Don Wilson, Ray Padron, Alan Gotthardt, Mike DeWitt and Jeff Harrell. She is also supervised by Ray Padron, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Jeffrey A. Harrell, cFA

Educational Background and Business Experience (preceding 5 years)

Jeffrey Harrell was born in 1976. He attended American River Community College from 1994 to 1997. Mr. Harrell graduated from California State University at Sacramento in 2000 with a Bachelor of Science in Finance.

Mr. Harrell joined Brightworth as of July 2017 as a Wealth Advisor and the Director of Portfolio Management. Previously he served in the roles of Investment Adviser Representative at McGill Advisors, Inc. from December 2000 to June 2017 and Director of Portfolio Management from July 2000 to June 2017. He also served as the Vice President of McGill Advisors, Inc. from February 2006 to June 2017 and Principal and Shareholder from January 2010 to June 2017. Mr. Harrell holds the Chartered Financial Analyst® designation.

Disciplinary Information

None

Other Business Activities

Mr. Harrell is not engaged in any other investment related business, and does not receive compensation in connection with any investment related business activity outside of Brightworth.

Additional Compensation

Mr. Harrell does not receive economic benefits from any person or entity other than Brightworth in connection with the provision of investment advice to clients.

Supervision

Mr. Harrell's investment-related activities are overseen by Brightworth's other Investment Committee members Don Wilson, Ray Padrón, Alan Gotthardt and Mike DeWitt. Mr. Harrell is also overseen by Ray Padrón, Brightworth's Managing Partner and Sherry Hodor, Brightworth's Chief Compliance Officer.

F. Alan Gotthardt, CPA, CFP®, CIMA® (Consultant)

Educational Background and Business Experience (preceding 5 years)

Alan Gotthardt was born in 1970. He graduated from The University of Georgia with a BBA in Accounting in 1991 and a Masters of Accounting in Taxation in 1992. He also attended an Executive Education program at The Wharton School of Business at the University of Pennsylvania in 2001, where he completed the IMCA® Investment Management Analyst Program.

Mr. Gotthardt is currently a consultant of Brightworth and serves on Brightworth's Investment Committee. He originally joined Brightworth in 1997 and served as a Wealth Advisor and also was President of the firm until January 2011. Mr. Gotthardt is currently the owner of TriniD Capital, LLC which is the Managing Member of TriniD Partners Fund I.

Mr. Gotthardt holds the following designations: Certified Public Accountant (GA), CERTIFIED FINANCIAL PLANNER™ practitioner, and Certified Investment Management Analyst®.

Disciplinary Information

None

Other Business Activities

Mr. Gotthardt runs a private investment company that is not affiliated with Brightworth. To eliminate any potential conflicts of interests, Brightworth does not solicit investments of client assets in the private partnerships managed by Mr. Gotthardt.

Additional Compensation

Mr. Gotthardt receives a consulting fee from Brightworth for his participation on the firm's Investment Committee as well as for other consulting services. He is also compensated through his own business, which is not affiliated with Brightworth.

Supervision

For his activities at Brightworth, Mr. Gotthardt is overseen by Brightworth's other Investment Committee members, Don Wilson, Ray Padrón, Mike DeWitt and Jeff Harrell. He is also supervised by Ray Padrón, Brightworth's Managing Partner and overseen by Sherry Hodor, Brightworth's Chief Compliance Officer.

Summary of Professional Designations

This section provides additional details on the certifications and credentials held by our Wealth Advisors and staff as indicated in their bios on the previous pages. The descriptions of the credentials listed below were derived from the organizations' websites.

CERTIFIED FINANCIAL PLANNER™

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification. (www.cfp.net)

Certified Public Accountant (CPA)

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically currently 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accounts (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. (www.aicpa.org)

Chartered Financial Analyst (CFA)

To enroll in the CFA program, an individual must have four years of qualified investment work experience. A CFA designation requires an individual to complete a graduate-level self study program. The curriculum includes ethics and professional standards as well as economics. It also covers a variety of technical financial topics including: quantitative methods, financial reporting and analysis, corporate finance, equity investments, fixed income, derivatives, alternative investments, and portfolio management and wealth planning. Candidates must then pass three six-hour exams that test the individual's knowledge in these areas of investments and finance. Completing the Program takes most candidates between two and five years. The CFA candidate is also required to adhere to the CFA Institute *Code of Ethics and Standards of Professional Conduct* on an annual basis. Holders of this designation must also become a member of CFA Institute. (www.cfainstitute.org)

Certified Investment Management Analyst (CIMA)

The CIMA certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program currently

Provided by the University of Chicago Booth School of Business and the Wharton School at The University of Pennsylvania, and pass an online Certification Examination. CIMA designees are required to adhere to IMCA's *Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks*. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA). (www.imca.org)

Accredited Estate Planner® (AEP®)

The Accredited Estate Planner® (AEP®) designation is a graduate level specialization in estate planning. It is awarded by the National Association of Estate Planners and Councils (NAEPC) to recognize estate planning professionals who meet specific requirements of experience, knowledge, education, professional reputation, and character.

An Accredited Estate Planner® applicant must meet all of the following requirements:

1. The applicant must currently hold one of the following degrees or designations: Attorney (JD), Certified Public Accountant (CPA), Chartered Life Underwriter® (CLU®), Chartered Financial Consultant® (ChFC®), Certified Financial Planner (CFP®), or Certified Trust & Financial Advisor (CTFA).
2. The applicant must be presently and significantly engaged in "estate planning activities."
3. The applicant must have a minimum of five (5) years of experience engaged in estate planning.

An AEP professional must satisfy a minimum of thirty (30) hours of continuing education during the previous two calendar years, of which at least fifteen (15) hours MUST have been in estate planning. (www.theamericancollege.edu)

(Chartered Life Underwriter®) CLU®

For over 80 years, the CLU® has been the respected risk management credential for advisors. Designees have completed eight or more college-level courses. Topics for required courses include insurance and financial planning, life insurance law, estate planning, and planning for business owners and professionals. Elective courses include such advanced topics as income taxes, group benefits, retirement planning, and health insurance. CLU® designees must meet experience and continuing education requirements and must adhere to a high ethical standard. The mark is awarded by The American College. (www.theamericancollege.edu)

(Chartered Financial Consultant®) ChFC®

The ChFC® designation has been a mark of excellence for almost thirty years and currently requires eight or more college-level courses, the most of any financial planning credential. Required courses cover extensive education and application training in financial planning, income taxation, investments, and estate and retirement planning. Additional electives are chosen from such topics as macroeconomics, financial decisions for retirement, and executive compensation. ChFC® designees must meet experience requirements and adhere to continuing education and ethical standards. The credential is awarded by The American College. (www.theamericancollege.edu)

Thank you for taking the time to read this information. If you have any questions, please visit our website at www.brightworth.com or call us at 404-760-9000.