

RIVERPLACE ♦ CAPITAL ♦

Riverplace Capital Management, Inc.

Brochure Supplement (Part 2B of Form ADV)

Education and Business Standards

Riverplace Capital Management, Inc. requires that advisors in its employ have a bachelor's degree and business experience with knowledge of corporate finance, securities analysis, portfolio management or securities brokerage. Examples of acceptable coursework include: an MBA, a CFP®, a CFA, a ChFC, JD, CTFA, EA or CPA. Additionally, advisors must have work experience that demonstrates their aptitude for financial planning and/or investment management.

Peter Bower - President & CEO, Designated Principal

Date of birth: 12/28/50

Educational Background:

Arizona State University – B.S. Quantitative Systems

University of North Florida – M.B.A. – Finance

Business Experience:

Riverplace Capital Management, Inc.	10/98 – Present
St. Johns Investment Management	02/97 – 009/98
Merrill Lynch	06/79 – 02/97

Other Business Activities: None

Additional Compensation: None

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

Terri Kimball – Executive Vice President, Controller, Chief Compliance Officer

Date of birth: 02/03/54

Educational Background:

University of Florida – BS Business Administration

Business Experience:

Riverplace Capital Management, Inc.	02/00 – Present
Prudential Securities	08/99 – 02/00
Douglas Capital Management, Inc.	02/93 – 08/99
E F Hutton/Shearson Lehman	10/87 – 04/92

Additional Compensation: None

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None