

Part 2B of Form ADV: Brochure Supplement

Item 1 Cover Page

Alvin Crawford Moore, Jr.
Dunvegan Associates, Inc.
1114 State Street
Santa Barbara, California 93101

805-969-5432

Date of Supplement: 6-29-2017

This brochure supplement provides information about Alvin Crawford Moore, Jr. that supplements the Dunvegan Associates, Inc. disclosure brochure. Please contact A. C. Moore at 805-969-5432 if you did not receive the Dunvegan brochure or if you have any questions about the contents of this supplement.

Additional information about Alvin Crawford Moore, Jr. is available on the FINRA website <https://crd.finra.org/iad/>

Alvin Crawford Moore, Jr., the supervised person, has never been subject of a bankruptcy petition.

Item 2 Educational Background and Business Experience

Alvin Crawford Moore, Jr.
Born: 1943
CRD #1163038

Degrees and Professional Activity:

B.B.A. Finance 1964 – Wake Forest University

J.D. 1967 – University of North Carolina, Chapel Hill

1967-- North Carolina State Bar

1968 – Research Analyst, Reynolds & Co., New York, New York

1968 – Series 7 exam passed

1969 – Inducted into the NY Society of Securities Analysts (FAF) and the Oil Analyst's Group of New York

1970-1974 – Director of Institutional Research, Reynolds & Co.

1970 -- Accredited NYSE Supervisory Analyst

1974-Present – President, Dunvegan Associates, Inc.

1980-1984 – Co-employed by A. C. Moore & Co, a wholly-owned broker-dealer for purposes of getting lower clearing rates for Dunvegan Associates, Inc.

1980 – Series 24 exam passed

1986-1990 – Co-employed by Argus Research as Director of Research

1990 – Listed by USNews&World Report as being in the top 5 Wall Street Strategists quoted in the world. Maintained a consulting relationship with the largest financial institutions in the U.S. Completed Parts 1 and 2 for a professional CMT designation.

1995-1999 – Co-employed by Principal Financial Securities as Director of Investment Strategy.

Item 3 Disciplinary Information

Alvin Crawford Moore, Jr. has no legal or disciplinary events to report.

Item 4 Other Business Activities

Requirement 19B: Describe any business in which you are actively engaged (other than giving investment advice) and the approximate amount of time spent on that business: **N/A –Not Applicable.**

Item 5 Additional Compensation

Requirement 19C: In addition to the description of your fees in Item 5 of Part 2A, if you are compensated for advisory services with performance-based fees, explain how these fees will be calculated. Disclose specifically that performance-based compensation may create an incentive for the adviser to recommend an investment that may carry a higher degree of risk to the client.

Performance-based fees are charged to the KFB Capital account Montevideo on the basis that Dunvegan receives 10% of the capital gain on an annual basis in addition to a Management Fee of 1%. The client is an accredited investor. It has been disclosed to the client that performance-based compensation may create an incentive for the advisor to recommend an investment that may carry a higher degree of risk to the client.

Item 6 Supervision

As President and Chief Compliance Officer, Alvin C. Moore, Jr. reports directly to applicable regulatory authorities.

Item 7 Requirements for State-Registered Advisers

Requirement 19 D: If you or a management person has been involved in one of the events listed below, disclose all material facts regarding the event:

- 1) An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500: **N/A – Not Applicable**
- 2) An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding: **N/A – Not Applicable**

