

Form ADV Part 2B – Brochure Supplement



For Sheri Ritchie

Investment Associate

March 15, 2017

This Brochure Supplement provides information about the background and qualifications of Sheri Ritchie in addition to the information contained in the GS Investments, Inc. ("GSI" or the "Advisor" - CRD #107782) Form ADV Part 2 ("Brochure"). If you have not received a copy of the Brochure or if you any questions about the contents of the GSI Brochure or this Brochure Supplement, please contact Sheri Ritchie at (612) 371-0590 or by email at Sheri@gsinvestments.com.

Additional information about Ms. Ritchie is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

GS Investments, Inc.
CRD No: 107782
333 South 7th Street, Suite 3060
Minneapolis, MN 55402
Phone: (612) 371-0590 Fax: (612) 371-9869
www.gsinvestments.com

Item 1 – Summary

A. Education and Business Standards

GS Investments requires that its employees have a bachelor's degree or acceptable work experience that demonstrates an aptitude for investment management or the development of financial strategies.

B. Professional Degrees, Designations or Certifications

GS Investments does not require that employees earn additional certifications and credentials. The firm does however, support and encourage its employees to seek additional educational opportunities.

Item 2 – Educational Background and Business Experience

Sheri Ritchie rounds out the firm and brings over 24 years of financial services experience to the business. Ms. Ritchie is responsible for the firm's day-to-day operations, back-office support, and trading.

Previous capacities include client service and support positions with Dean Witter and Everen/Kemper brokerage firms and the Johnston Group, a Minneapolis-based investment management firm.

Ms. Ritchie earned a BA in Political Science in 1987 from the University of St. Thomas in St. Paul, Minnesota.

Additional information regarding Ms. Ritchie's employment history is included below.

Investment Associate – GS Investments, Inc.	1/09 to Present
Executive Assistant – The Johnston Group	6/98 to 1/09
Executive Assistant – Kemper/Everen Securities	5/93 to 5/98
Sales Assistant – Dean Witter	10/91 to 5/93

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Ritchie. Ms. Ritchie has never been involved in any regulatory, civil or criminal action. Additionally, there have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Ritchie.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As

previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Ritchie.

GS Investments does encourage its current and prospective clients to independently view the background of Ms. Ritchie on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 4 – Other Business Activities

Disclosure on Outside Business Activities is provided in Form ADV Part 2A Item 10 – Other Financial Industry Activities and Affiliations above. These Outside Business Activities do not create a material conflict of interest with clients of the Firm.

Ms. Ritchie has no current outside business affiliations.

Item 5 – Additional Compensation

As disclosed in Form ADV Part 2A Item 5, Ms. Ritchie receives no commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 6 – Supervision

Ms. Ritchie serves as an Investment Associate with GS Investments. Ms. Ritchie is supervised by the Firm's three Principals, John G. Steinke, Glenn H. Steinke and Greg Cunningham. Ms. Ritchie can be reached at (612) 371-0590 or via email at sheri@gsinvestments.com.

GS Investments has implemented a Code of Ethics and internal compliance procedures helping each employee meet their fiduciary obligations to clients of the Firm. Further, GS Investments is required to register with the Securities and Exchange Commission ("SEC"). As a registered entity, the Firm is subject to examinations by regulators, which may be announced or unannounced. GS Investments is required to periodically update the information provided to these authorities and to provide various reports regarding the business activities and assets of the Firm.

A. GS Investments Will Keep its Clients Informed

GS Investments will send its clients notice of the Firm's privacy policy annually for as long as the client is engaged with the Firm. Periodically, the Firm may revise its privacy policy, and will provide its clients with a revised policy if the changes materially alter the previous privacy policy. The Firm will not, however, revise its privacy policy to permit the sharing of non-public, personal information other than as described in this notice unless the Firm first notifies its clients and provides them with an opportunity to prevent the information sharing. Clients may obtain a copy of the Firm's current privacy policy by contacting us at (612) 371-0590 or via email at john@gsinvestments.com.