

**(Item 1) Cover Page**

Form ADV Part 2B – Investment Advisor Brochure Supplement

# Robert A. Gordon & Associates, Inc.

A Registered Investment Advisor  
1201 S. Veterans Parkway, Suite A  
Springfield, Illinois 62704  
(217) 698-4844

Robert A. Gordon, CFP®  
Richard L. Stone, CFP®  
Nicholas R. Gordon, CFP®

## **Investment Advisor Disclosure**

This brochure supplement provides information about Robert A. Gordon, Richard L. Stone, & Nicholas R. Gordon that supplements the Robert Gordon & Associates, Inc. brochure. You should have received a copy of that brochure. Please contact Robert A. Gordon if you did not receive Robert Gordon & Associates, Inc.'s brochure or if you have any questions about the contents of this supplement.

Revised 03/10/17

**(Item 2) Educational Background and Business Experience**

**Robert A. Gordon, MS, CFP®**

Bob Gordon, president of Robert Gordon & Associates, Inc. was born October 17, 1955. He has a Bachelor of Arts degree in Economics, a Master of Science degree in Financial Planning, and holds a CERTIFIED FINANCIAL PLANNER™ designation from the College for Financial Planning in Denver, Colorado. He resides in Springfield, Illinois with his wife Lanae and has 2 children, Nicholas and Ashley.

Robert Gordon & Associates, Inc. is a fee-only financial planning firm and a Registered Investment Adviser. The firm has specialized in retirement and estate planning matters since 1983.

Mr. Gordon is the founder and past president of the Central Illinois Chapter of the International Association for Financial Planning (now known as the Financial Planning Association or FPA). Other organization memberships include The American Business Club, The Greater Springfield Chamber of Commerce and The Sangamon Valley Estate Planning Council. He has been involved in the financial services industry since 1979.

Mr. Gordon has conducted over 1000 investment seminars and workshops throughout the Midwest. In addition, Mr. Gordon acts as an expert witness in securities fraud and suitability cases, and was an approved Arbitrator with the National Association of Securities Dealers, Inc.

His previous employment includes a Securities Broker/Dealer serving as partner and Director of Operations, a financial services agency, a Real Estate firm where he acted as a Broker/owner and the Illinois Bureau of the Budget where he held the title of Financial Analyst.

He is featured in local newspaper columns, financial magazines, and on several Springfield area radio stations. Most noteworthy were his popular “Tax Tips” programs and “Personal Finance Column”. He is frequently called on by the local news media for a source of Financial Planning, Estate and Investment advice and comment.

**(Item 3) Disciplinary Information**

There have been no legal or disciplinary events for Robert A. Gordon

**(Item 4) Other Business Activities**

There are no other business activities for Robert A. Gordon

**(Item 5) Additional Compensation**

There have been no additional compensation for Robert A. Gordon

**(Item 6) Supervision**

Robert A. Gordon is owner and is under the supervision of Richard L. Stone, who oversees trading, investment recommendations, compliance, and overall office procedures.

Richard L. Stone

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**(Item 7A - B) Requirements for State-Registered Advisors**

Robert A. Gordon has not been involved in any of these events.

**(Item 2) Educational Background and Business Experience**

**Richard L. Stone, CFP®**

Rik Stone, Director of Operations of Robert Gordon & Associates, Inc. was born May 25, 1963. He has a Bachelor of Science Degree in Business Administration from Illinois State University in Normal, Illinois. He is a graduate of the College for Financial Planning, CFP® Professional Education Program. He holds a CERTIFIED FINANCIAL PLANNER™ designation from the College of Financial Planning in Denver, Colorado. He resides in Pleasant Plains, Illinois with his wife Tammy, and has three children, Morgan, Cody, and Trevor.

Mr. Stone was Secretary of the Central Illinois Chapter of the Financial Planning Association (FPA). Organizational memberships include Illinois State University Alumni Association, Sangamon Valley Estate Planning Council, and The Greater Springfield Chamber of Commerce. He has also participated in many fund raising activities for other various local charitable organizations and is active in his church.

Mr. Stone is Director of Operations with Robert Gordon & Associates, Inc. and has conducted over 1500 seminars and workshops throughout the Midwest on various topics regarding Retirement, Estate and Investment Planning.

Mr. Stone was selected to be in the Springfield Business Journal's "Forty Under 40" 2001 class. He was also selected to be the subject of a biography in Marquis Who's Who in Finance and Industry 1991 - 1992, edition. He has been featured in local newspaper columns and on Springfield area radio and television stations. Most noteworthy were his popular "Ask the Professionals" and "Personal Finance Column". These topics of discussion include Investment Advice, Financial, Estate, Business and Retirement Planning.

**Item 3) Disciplinary Information**

There have been no legal or disciplinary events for Richard L. Stone

**Item 4) Other Business Activities**

There are no other business activities for Richard L. Stone

**Item 5) Additional Compensation**

There have been no additional compensation for Richard L. Stone

**Item 6) Supervision**

Richard L. Stone is under the supervision of Robert A. Gordon, who oversees trading, investment recommendations, compliance, and overall office procedures.

Robert A Gordon

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**Item 7 A-B) Requirements for State-Registered Advisors**

Richard L. Stone has not been involved in any of these events.

**(Item 2) Educational Background and Business Experience**

**Nicholas R. Gordon, MS, CFP®, MPAS®**

Nic Gordon, Director of Client Development, was born August 1, 1986. He attended the University of Missouri in Columbia for his undergraduate studies and attained a degree of Bachelor of Science in Business Administration with an emphasis in Business Management and minor in Psychology. He is a graduate of the College for Financial Planning and holds the CERTIFIED FINANCIAL PLANNER™ designation. He also earned the degree Master of Science Personal Financial Planning and Master Planner Advanced Studies<sup>SM</sup>. Nic resides in Springfield, Illinois.

Nic has been involved in the financial services industry since 2007. Most recently, Nic worked as an advisor in the High Net Worth division for one of the biggest mutual fund firms in Kansas City, Missouri.

In addition to providing financial planning and money management services to our clients, Nic will also be involved with strategic planning for the firm.

**Item 3) Disciplinary Information**

There have been no legal or disciplinary events for Nicholas R. Gordon

**Item 4) Other Business Activities**

There are no other business activities for Nicholas R. Gordon

**Item 5) Additional Compensation**

There have been no additional compensation for Nicholas R. Gordon

**Item 6) Supervision**

Nicholas R. Gordon is under the supervision of Robert A. Gordon, who oversees trading, investment recommendations, compliance, and overall office procedures.

Robert A Gordon

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**Item 7 A-B) Requirements for State-Registered Advisors**

Nicholas R. Gordon has not been involved in any of these events.