

ITEM 1 – COVER PAGE

Form ADV Part II-A Brochure

March 2016

This brochure provides information about the qualifications and business practices of EisnerAmper Wealth Advisors, LLC (“EAWA”). If you have any questions about the contents of this brochure, please contact Dan Yu, Chief Executive Officer at 212-891-4176 or email him at dan.yu@eisneramper.com or visit our website at http://www.eisneramper.com/services/personal_wealth_advisors/investment_advisory_comprehensive_financial_planning/

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

EAWA is a registered investment adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provides you with information about which you determine to hire or retain an Adviser.

Additional information about EisnerAmper Wealth Advisors LLC also is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – MATERIAL CHANGES

Internal Changes

In November of 2015 EAWA changed its ownership structure to reflect personnel promotions and changes. The Board of Managers determined that Charles Weinstein shall be appointed as the Non-Executive Chairman of EisnerAmper Wealth Advisors LLC (the Company); Dan Yu shall be appointed as the Chief Executive Officer (the “CEO”) of the Company; and Timothy Speiss shall be appointed as Vice President (the “VP”) of the Company. Class A and B shares were created and Mr. Yu received ownership participation in Class B shares.

Updates

In the past we have offered or delivered information about our qualifications and business practices to clients on at least an annual basis. Pursuant to SEC Rules, we will ensure that you receive a summary of any materials changes to this and subsequent Brochures within 120 days of the close of our business’ fiscal year. We may provide other disclosure information about material changes as necessary.

We will also provide you with a new Brochure as necessary based on changes or new information, at any time, without charge.

Currently, our Brochure may be requested by contacting Belinda Tsui at (212) 891-6932 or Belinda.Tsui@eisneramper.com.

Additional information about EisnerAmper Wealth Advisors, LLC is also available via the SEC’s web site www.adviserinfo.sec.gov. The SEC’s web site also provides information about any persons affiliated with EisnerAmper Wealth Advisors, LLC who are registered, or are required to be registered, as investment adviser representatives of EisnerAmper Wealth Advisors, LLC.

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ITEM 4 – ADVISORY BUSINESS

Direct Owners

EAWA is owned by EisnerAmper Holdings LLC, and Mr. Dan Yu. EisnerAmper Holdings LLC is a subsidiary of EisnerAmper LLP, a Certified Public Accounting firm. EisnerAmper LLP (“EisnerAmper”) provides public accounting and consulting services. EAWA may refer clients in need of tax, accounting or benefits consulting services to EisnerAmper. EAWA receives no referral fees, and no client of EAWA is obligated to use EisnerAmper for any service.

We are pleased to provide investment advisory services to our clients based on their financial needs. Our professional Advisors have been providing investment advisory services for over 17 years.

Portfolio Management Selection

We provide investment management of client's funds. Investment allocations are determined based on each clients’ investment objectives, risk tolerance, net worth, net income and other various suitability factors. Accounts are managed on an individual basis. Restrictions and guidelines imposed by each client may affect the composition and performance of portfolios. For these reasons, performance of portfolios within the same investment objective may differ and clients should not expect that the performance of their portfolios will be identical with others of our clients.

EAWA uses SEI Private Trust Company (“SEI”) to provide wealth management platforms for investment management, investment processing, and access to investment sub-advisers and separate account managers. Securities transactions include mutual funds, institutional bond management and separate account managers. Custody of all funds is maintained by SEI.

EAWA also uses the services of Charles Schwab Institutional (“Schwab”) to provide wealth management platforms for investment management, access to investment processing and custodian services. Investment implementation include mutual funds, Exchange Traded Funds (ETFs) and separate account managers. EAWA makes recommendations for each client to use either or both SEI and Schwab based upon their investment objectives, risk tolerance and other suitability factors.

The following chart shows the assets under management by EAWA.

	US Dollar Amount	Number of Accounts
Discretionary	\$157,833,089	42
Non Discretionary	<u>\$473,971,002</u>	<u>08</u>
Total	<u>\$631,804,091</u>	50

Performance Evaluation and Monitoring Services

We provide semi-annual performance reports to clients, and more frequently if requested. The reports are intended to inform clients of the performance of all of their investments, regardless of the institution that may provide investment advice. We consult with each client to obtain detailed financial information and other pertinent data to enable us to determine the appropriate investment guidelines, risk tolerance and other factors that will assist in ascertaining the suitability of investments for the client.

Financial Planning

Financial planning services consist of personal financial planning, insurance and estate planning, capital needs analysis, tax and cash flow analysis, retirement planning, investment analysis and planning, and education planning. All services are offered on either a fixed or an hourly fee basis.

Financial planning information is obtained through personal interviews with clients addressing their current financial status, future goals, and risk tolerance. EAWA relies on information provided by its clients and presumes the information is complete and correct. Related documents supplied by you are reviewed, along with other relevant data. We provide clients with an analysis of their financial condition based on an assessment of this information.

Clients receive, and acknowledge receipt of this Brochure, also known as Part IIA of Form ADV. If this Brochure is not received at least forty-eight (48) hours prior to execution of the Agreement, the Agreement may be canceled without penalty within five (5) business days of execution. By accepting and signing the Investment Advisory Agreement, you acknowledge that you understand the investment approach, related risk factors, and the fees associated with investing with EAWA.

ITEM 5 – FEES AND COMPENSATION

Fees for services based on assets under management are calculated as follows:

ASSETS UNDER MANAGEMENT	ANNUAL FEE
\$0 to \$3,000,000	1.00%
\$3,000,001 to \$9,000,000	0.80%
\$9,000,001 to \$15,000,000	0.60%
Above \$15,000,000	Negotiable

EAWA has a net investable asset minimum of \$2,000,000 that may be waived for family members and in other extenuating circumstances. Fees are negotiable under certain conditions and circumstances.

The specific manner in which fees are charged by EAWA is established in each client's written agreement. EAWA will generally bill its fees on a quarterly basis. Clients are billed in arrears each calendar quarter. Clients may elect to be billed directly for fees or to authorize EAWA to directly debit fees from client accounts.

EAWA does not have custody of client's funds or securities. It receives written authorization from the client to have payment of its fees automatically withdrawn from the client's account at the custodian holding the client's funds. The client receives a bill showing the amount of the fee, the value of the client's assets on which the fee is based, and amounts disbursed.

Management fees shall be prorated for each capital contribution and withdrawn during the applicable calendar quarter. *De minimis* contributions and withdrawals are typically not taken into consideration in the calculation of the management fee. Accounts initiated or terminated during a calendar quarter will be charged a prorated fee. Upon termination of any account, any prepaid, unearned fees will be promptly refunded, and any earned, unpaid fees will be due and payable.

Special Projects, Performance Evaluation and Monitoring

Clients may also request special projects which are independent of asset management services. Such services include investment performance reporting, evaluation, and monitoring. These stand-alone services will be billed at a rate of \$375 - \$525 per hour. Fees are payable on a quarterly basis in arrears on the first day of the quarter. The contract may be terminated by either party upon 30-days written notice.

Financial Planning Fees

Clients requesting only a financial plan are charged either a fee of \$375 - \$525 per hour, or a fixed fee generally ranging from \$6,500 to \$10,000, depending on the nature and complexity of the client's circumstances. Under special circumstances, the fixed fee may be less than \$6,500. Clients will receive an estimate of the total fee prior to services being rendered by EAWA. Hourly and fixed fees are due and payable upon completion of the financial plan.

If any contract is terminated, any unearned fees will be promptly refunded to the client, and any earned, unpaid fees will be due and payable.

Fees to clients for investment advisory services are fully set forth in the Investment Advisory Agreement executed by the client at the commencement of the advisory relationship. Clients for this service are primarily individuals who are seeking assistance with investment selections for rollover assets from pension profit sharing and 401(k) plans, or high-net-worth individuals, executives, and employees of corporations seeking assistance with investment advisory services for their personal assets.

EAWA's fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred by the client. Clients may incur certain charges imposed by custodians, brokers, third party investments and other third parties such as fees charged by managers, custodial fees, deferred sales charges, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual funds and Exchange Traded Funds also charge internal management fees, which are disclosed in a fund's prospectus. Such charges, fees and commissions are exclusive of and in addition to EAWA's fee, and EAWA shall not receive any portion of these commissions, fees, and costs.

Clients have the option to purchase investment products that EAWA recommends through other brokers or agents that are not affiliated with us.

EAWA may refer clients to TAG EisnerAmper LLC, an affiliated firm, however, EAWA is not compensated by either the client or TAG EisnerAmper LLC for these referrals.

ITEM 6 – PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

EAWA does not charge any performance-based fees, i.e. fees based on a share of capital gains on or capital appreciation of the assets of a client.

ITEM 7 – TYPES OF CLIENTS

EAWA provides portfolio management services to individuals, high net worth individuals, and corporate pension and profit sharing plans.

ITEM 8 – METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

EAWA interviews prospective clients to examine their cash flow needs, income tax situation and risk profile to determine the appropriate asset allocation mix. The allocation mix is established based on each client's goals and objectives and is typically documented in an Investment Policy Statement (IPS). We explain that investing in equities will subject investors to market risk. Holdings could lose value over short periods due to short-term market movements and over longer periods during market downturns. As with any investment, clients can lose part of their investment holdings. We mitigate asset class, equity securities, and management risk by diversifying the client's investment holdings and using Exchange Traded Funds ("ETFs"), mutual funds, and separate managed accounts.

In our use of ETFs, mutual funds and separate managed accounts, clients will be subject to market risk. With ETFs, there may be instances where funds trade at a premium or discount to the current NAV. We try to mitigate this exposure by using very few thinly traded funds and monitor the ETFs on a quarterly basis for material difference to current NAV.

In most cases we will use index ETFs for the large cap domestic equities. Small cap and international equities may be implemented using ETFs, separate account managers and/or active mutual funds. This approach is designed to minimize investment product fees and to keep overall returns very much in-line with market indices. We use mutual funds and ETFs for bond investments. We may also deploy laddered bond portfolios, or actively managed bond portfolios using institutional money managers.

We deploy a satellite ETFs approach in many of our client portfolios. After core asset classes have been implemented, a maximum of 10% allocation may be invested in sector specific ETFs to seek to enhance returns over and above the core passive strategy indices.

Using sector specific ETFs can subject the client to asset class/sector risk and increase volatility, which may underperform the broader markets from time to time.

ITEM 9 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of EAWA or the integrity of EAWA's management. EAWA has no disciplinary history nor any other information applicable to this Item.

ITEM 10 – OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

The parent company of EAWA, EisnerAmper Holdings LLC, is a subsidiary of EisnerAmper LLP, a Certified Public Accounting Firm. EisnerAmper Holdings LLC is owned by EisnerAmper LLP ("EisnerAmper"), a CPA firm engaged in the business of public accounting and consulting services. EAWA may refer clients in need of tax, accounting or benefits consulting services to EisnerAmper. No referral fees are received by EAWA for these referrals, and no client of EAWA is obligated to use EisnerAmper for any service.

EisnerAmper owns a 50% interest in TAG EisnerAmper LLC, an affiliated firm of EAWA. EAWA or individuals affiliated with EAWA may refer clients in need of financial and estate planning services to TAG EisnerAmper LLC. By virtue of its ownership interest in TAG EisnerAmper, EisnerAmper, and its partners, some of whom are officers and Executive Committee Members of EAWA may derive a benefit from referring clients to TAG EisnerAmper LLC for financial and estate planning services. Thus, a conflict of interest may exist to the extent that EAWA refers clients to TAG EisnerAmper for planning services.

EisnerAmper owns a 25% interest in EisnerAmper Wealth Management and Corporate Benefits LLC, ("EAWM&CB") an affiliated firm. EAWA or individuals affiliated with EAWA may refer clients in need of financial and estate planning services to EAWM&CB.

By virtue of its ownership interest in EAWM&CB, EisnerAmper, and its partners, some of whom are officers and Executive Committee Members of EAWA may derive a benefit from referring clients to EAWM&CB for financial and estate planning services. Thus, a conflict of interest may exist to the extent that EAWA refers clients to EAWM&CB for planning services.

EAWA, its employees, or a related person may sometimes buy and sell for its own account, a product that it recommends to its clients. However, client trades are always placed ahead of EAWA and related persons in the case of "buys" and EAWA and related person's trades are placed last in the case of "sells." EAWA does not believe that such transactions will have a significant impact on market prices of these products or client investments in those products. If transactions by EAWA or related persons are likely to have a significant impact on market prices, no transactions will be allowed until the client's transactions have been entered. If the positions in a product held by EAWA or related persons is deemed to be significant, clients will be informed of these positions. Since these types of situations could represent a conflict of interest, EAWA has outlined restrictions in its Policies and Procedures Manual to ensure it meets its fiduciary responsibilities.

ITEM 11 – CODE OF ETHICS

EAWA has adopted a Code of Ethics for all supervised persons of the firm describing its high standard of business conduct and fiduciary duty to its clients. The Code of Ethics includes provisions relating to the confidentiality of client information, a prohibition on insider trading, and a prohibition on rumor mongering, among other things. All supervised persons at EAWA must acknowledge the terms of the Code of Ethics annually, or as amended.

EAWA anticipates that, in appropriate circumstances, consistent with clients' investment objectives, it will cause accounts over which EAWA has management authority, and will recommend to investment advisory clients or prospective clients, the purchase or sale of securities in which EAWA, its affiliates and/or clients, directly or indirectly, have a position of interest. EAWA's employees and persons associated with EAWA are required to follow EAWA's Code of Ethics. Subject to satisfying this policy and applicable laws, officers, directors, and employees of EAWA and its affiliates may trade for their own accounts in securities, that are recommended to and/or purchased for EAWA's clients. The Code of Ethics is designed to assure that the personal securities transactions, activities, and

interests of the employees of EAWA will not interfere with (i) making decisions in the best interest of advisory clients and (ii) implementing such decisions while, at the same time, allowing employees to invest for their own accounts.

Under the Code, certain classes of securities have been designated as exempt transactions based upon a determination that these would not materially interfere with the best interest of EAWA's clients. In addition, the Code requires pre-clearance of many transactions and restricts trading in close proximity to client trading activity. Nonetheless, because the Code of Ethics in some circumstances would permit employees to invest in the same securities as clients, there is a possibility that employees might benefit from market activity by a client in a security held by an employee. Employee trading is continually monitored under the Code of Ethics to reasonably prevent conflicts of interest between EAWA and its clients.

Certain affiliated accounts may trade in the same securities with client accounts on an aggregated basis when consistent with EAWA's obligation of best execution. In such circumstances, the affiliated and client accounts will share commission costs equally and receive securities at a total average price. In these instances, EAWA will retain records of the trade order (specifying each participating account) and its allocation, which will be completed prior to the entry of the aggregated order. Completed orders will be allocated as specified in the initial trade order. Partially filled orders will be allocated on a pro rata basis. Any exceptions will be explained on the order.

EAWA's clients or prospective clients may request a copy of the firm's Code of Ethics by contacting Belinda Tsui at Belinda.Tsui@eisneramper.com.

It is EAWA's policy that the firm will not affect any principal or agency cross securities transactions for client accounts. EAWA will also not cross trades between client accounts. Principal transactions are generally defined as transactions where an adviser, acting as principal for its own account or the account of an affiliated broker-dealer, buys from or sells any security to any advisory client. A principal transaction may also be deemed to have occurred if a security is crossed between an affiliated hedge fund and another client account. An agency cross transaction is defined as a transaction where a person acts as an investment adviser in relation to a transaction in which the investment adviser, or any person controlled by or under common control with the investment adviser, acts as broker for both the advisory client and for another person on the other side of the transaction. Agency cross transactions may arise where an adviser is dually registered as a broker-dealer or has an affiliated broker-dealer.

ITEM 12 – BROKERAGE PRACTICES

Clients may choose to have EAWA select separate account managers to perform asset management services. In each case, EAWA analyzes the client's current financial position and designs an optimal portfolio that meets with the client's risk tolerance, time horizon, goals, and objectives. Clients are referred to a vendor that presents a selection of third-party managers. An Investment Policy Statement is developed by EAWA to provide guidelines and procedures to assist clients in the selection of the manager. EAWA monitors the performance of the third-party manager on an on-going basis, assists with the implementation of the Investment Policy Statement, proposes alternatives, and negotiates favorable account size minimums and fees. No separate administrative fee is charged to the client for this referral service. Clients are contacted at least annually, or when deemed advisable due to market conditions, to discuss rebalancing of the account.

EAWA has discretionary authority in most of its client accounts. EAWA will negotiate the best commission or transaction costs on behalf of the client in a manner that the client's total cost or proceeds in the transaction are the most favorable under the circumstances.

Although the transaction costs may be higher than those obtained from a discount broker, EAWA considers a variety of factors in selecting the broker/dealer or custodian through which securities transactions are executed, including best price and execution and quality of research and research services provided. EAWA typically presents information on one or more custodian, from which clients may choose, depending upon the design of the investment portfolio. If the client selects a different broker/dealer or custodian to be used, the client is required to specifically direct EAWA in writing to use the broker/dealer and custodian the client has chosen. This typically will be done through the Investment Advisory Agreement signed by the client. If the client directs EAWA to use a specific broker/dealer or custodian, the client understands that EAWA:

1. Will not negotiate commissions on the client's behalf and that, as a result, the client may pay materially different commissions than those paid by other clients of EAWA depending on the client's commission arrangement with such broker and upon other factors such as the number of shares, round and odd lots, and the market for the security purchases or sold;
2. Will not negotiate volume discounts on so-called "batched" orders (i.e., orders for the purchase or sale of the same security for more than one account of EAWA executed

through such broker/dealer; and the client may pay a different brokerage commission than other clients of EAWA participating in such "batched" orders;

3. If not directed to use such broker, the client may pay less in commissions; and
4. For the foregoing reasons, EAWA may not obtain best execution in certain transactions in the client's account.

EAWA does not receive any compensation in the form of 'soft dollar benefits' from its securities trading partners.

ITEM 13 – REVIEW OF ACCOUNTS

Reviews are conducted on an ongoing basis by EAWA's principals. **All investment advisory clients are advised that it remains their responsibility to advise EAWA of any changes in their investment objectives and/or financial situation.** All clients are encouraged to review financial planning issues, investment objectives and account performance with EAWA on an annual basis.

EAWA may conduct account reviews on an other than periodic basis upon the occurrence of a triggering event, such as a change in client investment objectives and/or financial situation, market corrections, and client request.

Clients are provided, at least quarterly, with written transaction confirmation notices and regular written summary account statements directly from the broker-dealer/custodian and/or program sponsor for the client accounts. EAWA may also provide a written periodic report summarizing account activity and performance.

ITEM 14 – CLIENT REFERRALS AND OTHER COMPENSATION

EAWA may refer clients to TAG EisnerAmper LLC, an affiliated firm, however, EAWA is not compensated by either the client or TAG EisnerAmper for these referrals.

ITEM 15 – CUSTODY

EAWA does not have custody of client's funds or securities. EAWA receives written authorization from the client to have payment of its fees automatically withdrawn from the client's account at the custodian holding the client's funds. The client receives a bill showing the amount of the fee, the value of the client's assets on which the fee is based, and amounts disbursed.

Clients should receive at least quarterly statements from the broker dealer, bank, or other qualified custodian that holds and maintains client's investment assets. We urge you to carefully review such statements and compare such official custodial records to the account statements that we may provide to you. Our statements may vary from custodial statements based on accounting procedures, reporting dates, or valuation methodologies of certain securities.

ITEM 16 – INVESTMENT DISCRETION

EAWA usually receives discretionary authority from the client at the outset of an advisory relationship to select the identity and amount of securities to be bought or sold. In all cases, however, such discretion is to be exercised in a manner consistent with the stated investment objectives for the particular client account.

When selecting securities and determining amounts, EAWA observes the investment policies, limitations and restrictions of the clients for which it advises. For registered investment companies, EAWA's authority to trade securities may also be limited by certain federal securities and tax laws that require diversification of investments and favor the holding of investments once made.

Investment guidelines and restrictions must be provided to EAWA in writing.

ITEM 17 – VOTING CLIENT SECURITIES

As a matter of firm policy and practice, EAWA does not have any authority to and does not vote proxies on behalf of advisory clients. Clients retain the responsibility for receiving and

voting proxies for any and all securities maintained in client portfolios. EAWA may provide advice to clients regarding the clients' voting of proxies.

ITEM 18 – FINANCIAL INFORMATION

Registered investment advisers are required in this Item to provide certain financial information or disclosures about EAWA's financial condition. EAWA has no financial commitment that impairs its ability to meet contractual and fiduciary commitments to clients, and has not been the subject of a bankruptcy proceeding.