

**GLENN MICHAEL ULLMANN**

**ULLMANN FINANCIAL GROUP, INC**

**5000 Sawgrass Village Circle, Suite 25  
Ponte Vedra Beach, FL 32082**

**TEL (904) 280-3700  
FAX (904) 280-3785**

**[WWW.ULLMANNFINANCIAL.COM](http://WWW.ULLMANNFINANCIAL.COM)**

**May 1, 2014**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

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**This brochure supplement provides information about Glenn Michael Ullmann that supplements the Ullmann Financial Group, Inc. brochure. You should have received a copy of that brochure. Please contact Glenn Ullmann at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com) if you did not receive Ullmann Financial Group, Inc.'s brochure or if you have any questions about the contents of this supplement.**

## Item 2 Educational Background and Business Experience

**Glenn Ullmann, CDFA™**

*Year of Birth:* 1963

*Formal Education After High School:*

- USAF Academy, B.S., Finance/Management, 1985
- University of Oklahoma, M.A., International Affairs, 1989

*Business Background Preceding Five Years:*

- Ullmann Financial Group, Inc., President, 10/2002 - Present
- SagePoint Financial, Inc., Registered Representative, 10/2002 - Present
- Northwestern Mutual Investment Services, LLC, 01/2002 - 10/2002
- Robert W. Baird & Co., Inc., 02/1997 - 10/2002

*Certifications:* **CDFA™**

**Certified Divorce Financial Analyst (CDFA™)** To be a Certified Divorce Financial Analyst (CDFA™), a professional must have at least two years experience in the financial or legal industry, complete a series of four examinations based on coursework that includes the treatment of property during divorce, alimony and child support, and tax implications of property division. Certification is issued by the [Institute for Divorce Financial Analysts](#).

## Item 3 Disciplinary Information

Glenn Ullmann has no reportable disciplinary information.

## Item 4 Other Business Activities

Glenn Ullmann is licensed as an insurance agent and earns commission-based compensation for selling insurance products. Insurance commissions earned by Mr. Ullmann are separate and in addition to our advisory fees. This practice presents a conflict of interest because Mr. Ullmann has an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through Mr. Ullmann.

Mr. Ullmann is associated with SagePoint Financial, Inc. ("SagePoint") as a Registered Representative and may recommend the purchase of securities offered by SagePoint. If you purchase these products through Mr. Ullmann, he will receive normal commissions which may be in addition to customary advisory fees. As such, Mr. Ullmann may have an incentive to sell you commissionable products in addition to providing you with advisory services when such commissionable products may not be suitable. Alternatively, he may have an incentive to forego providing you with advisory services when appropriate, and instead recommend the purchase of commissionable investments, if Mr. Ullmann deems that the payout for recommending the purchase of these investments would be higher than providing management advice on these products for an advisory fee. Therefore, a conflict of interest may exist between Mr. Ullmann's interests and your best interests.

## Item 5 Additional Compensation

Glenn Ullmann does not receive any additional compensation for providing advisory services beyond the compensation he receives as President of Ullmann Financial Group, Inc.

## **Item 6 Supervision**

As President and Chief Compliance Officer of Ullmann Financial Group, Inc, Glenn Ullmann is not supervised by other persons. However, our firm has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations.

**DEANNA ANN BROWN**

**ULLMANN FINANCIAL GROUP, INC**

**5000 Sawgrass Village Circle, Suite 25  
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**This brochure supplement provides information about Deanna Ann Brown that supplements the Ullmann Financial Group, Inc. brochure. You should have received a copy of that brochure. Please contact Glenn Ullmann at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com) if you did not receive Ullmann Financial Group, Inc.'s brochure or if you have any questions about the contents of this supplement.**

## Item 2 Educational Background and Business Experience

**Deanna A. Brown, CFP<sup>®</sup>, CFA<sup>™</sup>**

*Year of Birth:* 1976

*Formal Education After High School:*

- University of North Florida, B.S.
- Certified Financial Planner, 2005

*Business Background Preceding Five Years:*

- Ullmann Financial Group, Inc., Vice President, 10/2002 - Present
- SagePoint Financial, Inc., Registered Representative, 10/2002 - Present
- Robert W. Baird & Co., Inc., 12/2000 -10/2002
- Merrill Lynch, Pierce, Fenner & Smith, Inc., 07/1999 - 12/2000
- Bombardier Capital, 05/1998 - 07/1999
- University of North Florida, 08/1996 - 12/1999
- GE Capital, 08/1995 - 02/1998

*Certifications:* **CFP<sup>®</sup>**

**Certified Financial Planner<sup>™</sup> (CFP<sup>®</sup>):** The CFP<sup>®</sup> certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. To attain the right to use the CFP<sup>®</sup> marks, an individual must satisfactorily fulfill the following requirements: Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning; Examination - Pass the comprehensive CFP<sup>®</sup> Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances; Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP professionals. Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP<sup>®</sup> marks: Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP<sup>®</sup> professionals provide financial planning services at a fiduciary standard of care. This means CFP<sup>®</sup> professionals must provide financial planning services in the best interests of their clients. CFP<sup>®</sup> professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP<sup>®</sup> certification.

*Certifications: CDFA™*

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### **Item 3 Disciplinary Information**

Deanna Brown has no reportable disciplinary information.

### **Item 4 Other Business Activities**

Deanna Brown is licensed as an insurance agent and earns commission-based compensation for selling insurance products. Insurance commissions earned by Ms. Brown are separate and in addition to our advisory fees. This practice presents a conflict of interest because Ms. Brown has an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through Ms. Brown.

Mrs. Brown is associated with SagePoint Financial, Inc. ("SagePoint") as a Registered Representative and may recommend the purchase of securities offered by SagePoint. If you purchase these products through Mrs. Brown, she will receive normal commissions which may be in addition to customary advisory fees. As such, Mrs. Brown may have an incentive to sell you commissionable products in addition to providing you with advisory services when such commissionable products may not be suitable. Alternatively, she may have an incentive to forego providing you with advisory services when appropriate, and instead recommend the purchase of commissionable investments, if Mrs. Brown deems that the payout for recommending the purchase of these investments would be higher than providing management advice on these products for an advisory fee. Therefore, a conflict of interest may exist between Mrs. Brown's interests and your best interests.

### **Item 5 Additional Compensation**

Deanna Brown does not receive any additional compensation for providing advisory services beyond the compensation she receives as Vice-President of Ullmann Financial Group, Inc.

### **Item 6 Supervision**

Deanna Brown is supervised by Glenn Ullmann, President of the firm. Mr. Ullmann monitors e-mail communications and conducts an internal review of selected accounts on a periodic basis to ensure the accounts are managed according to the client's investment objectives. Mr. Ullmann can be reached at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com)

In addition, our firm has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations.

**MELYNDA SUE ANGIOI**

**ULLMANN FINANCIAL GROUP, INC**

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**This brochure supplement provides information about Melynda Sue Angioi that supplements the Ullmann Financial Group, Inc. brochure. You should have received a copy of that brochure. Please contact Glenn Ullmann at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com) if you did not receive Ullmann Financial Group, Inc.'s brochure or if you have any questions about the contents of this supplement.**

## Item 2 Educational Background and Business Experience

### Melynda Sue Angioi

*Year of Birth:* 1968

*Formal Education After High School:*

- Michigan State University, B.S, Physiology, 1992

*Business Background Preceding Five Years:*

- Ullmann Financial Group, Inc., Investment Adviser Representative, 05/2013 - Present
- Sagepoint Financial, Registered Representative Assistant, 06/2013 - Present
- Fidelity Brokerage Services LLC, Investment Solutions Representative, 04/2013 - 05/2013
- Strategic Advisers, Inc., Investment Adviser Representative, 04/2013 - 05/2013
- Ameriprise Financial Services, Inc., P2 Paraplanner, 10/2010 - 04/2013
- Smith Barney, Inc., Financial Advisor, 11/2005 - 7/2008

*Certifications:* **CLTC**

**Certified in Long-Term Care ( CLTC)** Certification is issued by the CLTC Board of Standards, Inc.

## Item 3 Disciplinary Information

Melynda Angioi has no reportable disciplinary information.

## Item 4 Other Business Activities

Melynda Angioi is licensed as an insurance agent and earns commission-based compensation for selling insurance products. Insurance commissions earned by Ms. Angioi are separate and in addition to our advisory fees. This practice presents a conflict of interest because Ms. Angioi has an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through Ms. Angioi.

Melynda Angioi is associated with SagePoint Financial, Inc. ("SagePoint") as a Registered Representative Assistant. In such capacity, she may assist Associated Persons of Ullmann Financial Group, Inc. in their capacity as registered representatives of SagePoint.

## Item 5 Additional Compensation

Melynda Angioi does not receive any additional compensation for providing advisory services beyond the compensation she receives as Investment Adviser Representative of Ullmann Financial Group, Inc.

## Item 6 Supervision

Melynda Angioi is supervised by Glenn Ullmann, President of the firm. Mr. Ullmann monitors e-mail communications and conducts an internal review of selected accounts on a periodic basis to ensure the accounts are managed according to the client's investment objectives. Mr. Ullmann can be reached at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com)

In addition, our firm has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations.



**PATRICK JOSEPH KILBANE**

**ULLMANN FINANCIAL GROUP, INC**

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**This brochure supplement provides information about Patrick Joseph Kilbane that supplements the Ullmann Financial Group, Inc. brochure. You should have received a copy of that brochure. Please contact Glenn Ullmann at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com) if you did not receive Ullmann Financial Group, Inc.'s brochure or if you have any questions about the contents of this supplement.**

## Item 2 Educational Background and Business Experience

**Patrick Kilbane, CDFA™**

*Year of Birth:* 1979

*Formal Education After High School:*

- Adrian College, B.A.A., 2002
- University of Notre Dame, Juris Doctor, 2005

*Business Background Preceding Five Years:*

- Ullmann Financial Group, Inc., Investment Adviser Representative, 04/2014 - Present
- Sagepoint Financial, Registered Representative, 03/2013 - Present
- Gray Robonson, P.A., Shareholder, 7/2010-11/2013
- Rogers Towers, P.A., Associate Attorney, 9/2005-6/2010

*Certifications:* **CDFA™**

**Certified Divorce Financial Analyst ( CDFA™)** To be a Certified Divorce Financial Analyst (CDFA™), a professional must have at least two years experience in the financial or legal industry, complete a series of four examinations based on coursework that includes the treatment of property during divorce, alimony and child support, and tax implications of property division. Certification is issued by the [Institute for Divorce Financial Analysts](#).

## Item 3 Disciplinary Information

Patrick Kilbane has no reportable disciplinary information.

## Item 4 Other Business Activities

Mr. Kilbane is associated with SagePoint Financial, Inc. ("SagePoint") as a Registered Representative and may recommend the purchase of securities offered by SagePoint. If you purchase these products through Mr. Kilbane, he will receive normal commissions which may be in addition to customary advisory fees. As such, Mr. Kilbane may have an incentive to sell you commissionable products in addition to providing you with advisory services when such commissionable products may not be suitable. Alternatively, he may have an incentive to forego providing you with advisory services when appropriate, and instead recommend the purchase of commissionable investments, if Mr. Kilbane deems that the payout for recommending the purchase of these investments would be higher than providing management advice on these products for an advisory fee. Therefore, a conflict of interest may exist between Mr. Kilbane's interests and your best interests.

## Item 5 Additional Compensation

Patrick Kilbane does not receive any additional compensation for providing advisory services beyond the compensation he receives as President of Ullmann Financial Group, Inc.

## Item 6 Supervision

Patrick Kilbane is supervised by Glenn Ullmann, President of the firm. Mr. Ullmann monitors e-mail communications and conducts an internal review of selected accounts on a periodic basis to ensure the accounts are managed according to the client's investment objectives. Mr. Ullmann can be reached at (904) 280-3700 or [glennullmann@ullmannfinancial.com](mailto:glennullmann@ullmannfinancial.com)

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