

**Form ADV Part 2B
Brochure Supplement
June 18, 2016**

Item 1: Cover Page

Firm Name: P Chan & Co., Inc.

Business Address: 700 Larkspur Landing Circle, Suite 199, Larkspur CA 94939

Telephone Number: 415-488-9661

The Firm is required to provide the Client with a *brochure supplement* for each person under its supervision who gives investment advice to, and have direct contact with a client, and has discretionary authority over a client's assets. The Firm must also provide the name of the *supervised person*, his address and telephone number, and the firm name and address of the supervising Firm which is listed above.

The *brochure supplement* provides information about the *supervised person* that supplements the P Chan & Co., Inc. brochure (Part 2 A) which you should have received. If you did not receive the brochure of the Firm or have questions about the contents of the supplement, please contact Peter Chan, President, at 415-488-9661.

Aside from Peter Chan who is a registered investment representative, the Firm currently does not have any employee who is considered a *supervised person* under the definition provided above.

When the Firm has an employee and is considered as a *supervised person*, the *brochure supplement* must disclose to the Client the following information about the *supervised person* which are summarized below:

Item 2: Educational Background and Business Experience

The person's name, age, education after high school, business background for the preceding five years, and any professional designation held.

Item 3: Disciplinary Information

Disclose all material material facts if there are any legal or disciplinary events.

A. Criminal or civil action in domestic, foreign or military court.

B. Any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

C. Any self-regulatory organization (SRO) proceeding and found to have caused an investment-related business to lose its authorization to do business, or was found to have been involved in a violation of the SRO's rules.

- D. Any other hearing or formal adjudication in which a professional attainment, designation, or license have was revoked or suspended because of violation of the rules relating to professional conduct.

Item 4: Other Business Activities

Any investment-related activities other than the activities as defined for a *supervised person* that would create a conflict of interest situation.

Item 5: Additional Compensation

If there is an arrangement to receive an economic benefit from someone who is not a client for providing advisory services, such as sales awards, prizes, client referrals.

Item 6: Supervision

Explain how the *supervised person* is being supervised and monitored, and provide the name, title, and telephone number of the supervising person on behalf of the Firm.

In addition to the above mentioned items, P Chan & Co., Inc. must provide information about the *supervised person* as summarized under Item 7 below.

Item 7: Requirements for State-Registered Advisors

- A. 1) If awarded or is found to be liable in an arbitration damage claim of more than \$2,500, and 2) is awarded or is found to be liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business activity, fraud, false statements or omissions, theft, embezzlement, bribery, forgery, extortion, dishonest, unfair, or unethical activities.
- B. If there is a bankruptcy petition against the *supervised person* provide the date of the petition when first filed, and the current status of the petition.