



Form ADV Part 2B: Brochure Supplement

for

Jeffrey Slocum & Associates, Inc.
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This brochure supplement provides information about our key investment professionals who individually or collectively provide investment advice to our client accounts that supplements the Jeffrey Slocum & Associates, Inc. brochure. You should have received a copy of that brochure. Please contact Kerry Mummah at 612-252-7160 or kerrym@jslocum.com if you did not receive Jeffrey Slocum & Associates, Inc.'s brochure or if you have any questions about the contents of this supplement.

Jeffrey C. ("Jeff") Slocum, President



Contact Information: Jeffrey Slocum & Associates, Inc.
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Born: 1952

Educational Background: Jeff earned a B.A. in English from Carleton College in 1974, an M.B.A. in Finance and Accounting from the Graduate School of Business at the University of Chicago in 1983 and an M.I.M. from the American Graduate School of International Management (Thunderbird) in 1975.

Business Experience: In 1986, Jeff founded Jeffrey Slocum & Associates, Inc. Before starting Slocum, Jeff was a Senior Consultant in the Merrill Lynch Consulting Group, a part of Merrill Lynch Capital Markets, working in New York and Minneapolis. Coming out of college, Jeff held positions as the Director of Annual Giving for Carleton College and as a Strategic Planning Analyst for the Continental Illinois National Bank and Trust of Chicago.

Disciplinary Information: Jeff has not been, and is not currently, involved in any legal or disciplinary events.

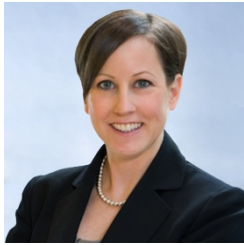
Other Business Activities: Jeff is also a Managing Member of Northside Capital Management LLC, a private wealth management firm he co-founded in 1996. Slocum and Northside do not have any clients in common. However, for at least one client of Slocum, the advisory services are contracted to Northside to fulfill as a sub-advisor. In such arrangements, advisory fees are paid directly to Northside. From time-to-time, Slocum employees in their individual capacity, not on behalf of the Firm, may receive a fee for referring potential clients to Northside. Such referrals would be in compliance with the Rule 206(4)-3 of the Investment Advisers Act (the "Solicitation Rule").

Jeff is also a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a principal of Slocum who is also a limited partner in DGV Solutions LP, Jeff does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Additional Compensation: Jeff does not receive any additional compensation for advisory activities other than his regular salary and bonus. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Jeff will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees. Jeff receives compensation from Northside for his role at Northside.

Supervision: Jeff is supervised by the firm's management committee and board of directors. In addition, our Chief Compliance Officer, Robert J. McReavy, J.D., performs periodic reviews of our client accounts to confirm compliance with firm policies and procedures. You can make contact with Mr. McReavy at 612-252-7175 or bobm@jslocum.com.

Nicole L. Delahanty, FSA, CIMA, Principal



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Born: 1973

Educational Background: Nicole earned a Bachelor of Commerce in Actuarial Science from the University of Manitoba in 1991 and an M.B.A. from Augsburg College in 2007. Nicole was a former actuary, earning the designation Enrolled Actuary under ERISA in 1999 and Fellow, Society of Actuaries in 2002.

An Enrolled Actuary is an actuary who has been licensed by a Joint Board of the Department of the Treasury and the Department of Labor to perform a variety of actuarial tasks required of pension plans in the United States by the Employee Retirement Income Security Act of 1974 (ERISA).

Business Experience: Nicole joined Slocum in 2012. Prior to joining Slocum, Nicole spent four years at Bank of America Merrill Lynch, where she first served as Director of Institutional Investments and Philanthropic Solutions and later as the lead partner for the Chicago-based Global Institutional Consulting practice. Prior to Bank of America, she spent twelve years in the Minneapolis office of Towers Watson.

Disciplinary Information: Nicole has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Nicole is also a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a principal of Slocum who is also a limited partner in DGV Solutions LP, Nicole does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Additional Compensation: Nicole does not receive any additional compensation for advisory activities other than her regular salary and bonus. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Nicole will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees.

Supervision: Nicole is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.

Texas S. Hemmaplardh, CFA, Principal



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Born: 1975

Educational Background: Texas earned a B.A. in Economics from Yale University in 1997, an M.B.A. (with honors) from the Graduate School of Business at the University of Chicago in 2010, and was in the Advanced Studies Program at Oxford University. He is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Texas joined Slocum in 1997. Prior to joining Slocum, Texas worked as Finance Director for GlobalLearn, Inc., an Internet-based educational company in Connecticut.

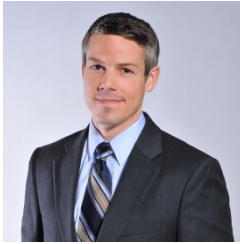
Disciplinary Information: Texas has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Texas is also a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a principal of Slocum who is also a limited partner in DGV Solutions LP, Texas does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Additional Compensation: Texas does not receive any additional compensation for advisory activities other than his regular salary and bonus. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Texas will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees.

Supervision: Texas is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.

Bryan D. Mullin, CFA, Principal, Director – Capital Markets Research



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Born: 1975

Educational Background: Bryan earned his B.S. in Economics at the University of Minnesota in 1998 and an M.B.A. with a concentration in Finance at the Carlson School of Management, University of Minnesota in 2003. He is a Chartered Financial Analyst charterholder (CFA).

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Business Experience: Bryan joined Slocum in 2003. Prior to working at Slocum, Bryan traded commodity derivatives with a wholly-owned subsidiary of Archer Daniels Midland and a registered Commodity Trading Advisor (CTA).

Disciplinary Information: Bryan has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Bryan is not involved in any outside business activities.

Additional Compensation: Bryan does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Bryan is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.

Meggan K. O'Shea, CFA, Principal, Head of Consulting



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meggano@jslocum.com

Born: 1971

Educational Background: Meggan earned a B.A. in Economics from Smith College in 1993, and her M.B.A. from the Graduate School of Business at the University of Chicago in 2002. She is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Meggan joined Slocum in 1994. Prior to joining Slocum, Meggan worked at Fleet Financial Group in commercial lending.

Disciplinary Information: Meggan has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Meggan is also a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a principal of Slocum who is also a limited partner in DGV Solutions LP, Meggan does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Additional Compensation: Meggan does not receive any additional compensation for advisory activities other than her regular salary and bonus. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Meggan will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees.

Supervision: Meggan is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.

James E. ("Jim") Treanor, Principal, Head of Research



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Born: 1963

Educational Background: Jim earned his B.A. in Computer Science, cum laude, at Boston College in 1985 and an M.B.A. at the Fuqua School of Business, Duke University in 1990.

Business Experience: Jim joined Slocum in 2011. Prior to working at Slocum, Jim worked at the Florida State Board of Administration for 11 years where he managed the Private Equity and Strategic Investments asset classes. Previously, he held various positions in Airport Affairs and Financial Planning & Analysis at Northwest and American Airlines. Earlier in his career, Jim designed and coded compiler technology for Data General.

Disciplinary Information: Jim has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Jim is also a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a principal of Slocum who is also a limited partner in DGV Solutions LP, Jim does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Additional Compensation: Jim does not receive any additional compensation for advisory activities other than his regular salary and bonus. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Jim will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees.

Supervision: Jim is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.

Christopher J. ("Chris") Tschida, CFA, Principal



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christ@jslocum.com

Born: 1968

Educational Background: Chris earned a B.A. from the University of St. Thomas (Minnesota) in 1990. He is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Chris joined Slocum in 1995. Prior to joining Slocum, Chris worked as an accounting specialist at First Trust in St. Paul.

Disciplinary Information: Chris has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Chris is also a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a principal of Slocum who is also a limited partner in DGV Solutions LP, Chris does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Additional Compensation: Chris does not receive any additional compensation for advisory activities other than his regular salary and bonus. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Chris will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees.

Supervision: Chris is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.

Philip F. Becker, CFA, Senior Consultant



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Born: 1979

Educational Background: Phil earned a B.B.A. degree in Finance from the University of Wisconsin – Eau Claire in 2001. He is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Phil joined Slocum in 2013 and has been in the investment industry since 2002. Phil focuses on leading relationships and overseeing portfolios for corporate pension clients, defined contribution clients, endowment and foundation clients, and insurance clients. Phil also has a leadership role within the firm's defined benefit work group, which provides strategic guidance for Slocum's pension clients in the areas of asset liability modeling, liability driven investment mandates, portfolio construction, de-risking strategies, and other pension related matters.

Prior to working at Slocum, Phil worked at Defined Contribution Advisors (DCA) for eight years and as an investment analyst and portfolio administrator at GlobalBridge in the Restricted Securities Group at RBC Capital Markets.

Disciplinary Information: Phil has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Phil is not involved in any outside business activities.

Additional Compensation: Phil does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Phil is supervised by Nicole Delahanty, a Principal with the firm. You can make contact with Ms. Delahanty at 612-252-9373 or nicoled@jslocum.com.

André J. Boucher, CAIA, Senior Consultant



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andreb@jslocum.com

Born: 1974

Educational Background: André earned a B.A. degree in Business Administration from Augsburg College in 2000. He is a Chartered Alternative Investment Analyst (CAIA).

The CAIA designation is a professional designation recognizing expertise in the area of alternative investments. It is administered by the CAIA Association, and candidates are required to pass two levels of intensive examinations related to a broad range of alternative investments and products, portfolio management, trading strategies and professional standards and ethics. In addition, candidates must either have an undergraduate degree and one year of professional experience, or four years of qualified professional experience.

Business Experience: André joined Slocum in 2000. Prior to joining the firm, André worked as an Assistant Financial Consultant for Merrill Lynch in Minneapolis.

Disciplinary Information: André has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: André is not involved in any outside business activities.

Additional Compensation: André does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: André is supervised by Meggan O'Shea, a Principal with the firm. You can make contact with Ms. O'Shea at 612-252-7162 or meggano@jslocum.com.

Christian C. ("Chris") Grimm, CFA, CAIA, Senior Consultant



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chrisg@jslocum.com

Born: 1978

Educational Background: Chris earned a B.S. in Business Administration (summa cum laude) from Boston University in 2000 and an M.B.A. (with high honors) from the University of Chicago's Booth School of Business in 2015. He is a Chartered Financial Analyst charterholder (CFA) and a Chartered Alternative Investment Analyst (CAIA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

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Business Experience: Chris joined Slocum in 2007. Prior to joining Slocum, Chris worked for Ludington, Ltd., a consulting firm in Minneapolis. He also worked for five years at the Boston-based consulting firm, The Michel-Shaked Group.

Disciplinary Information: Chris has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Chris is not involved in any outside business activities.

Additional Compensation: Chris does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Chris is supervised by Meggan O'Shea, a Principal of the firm. You can make contact with Ms. O'Shea at 612-252-7162 or meggano@jslocum.com.

Conor F. Murphy, CFA, Senior Consultant



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Born: 1981

Educational Background: Conor earned B.B.A. degrees in both Finance and Accounting from the University of Iowa in 2003. He earned his M.B.A. from the University of Minnesota Carlson School of Management in 2014. He is a Chartered Financial Analyst charterholder (CFA).

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Business Experience: Conor joined Slocum in 2014. Prior to working at Slocum, Conor worked at Defined Contribution Advisors (DCA) in Minneapolis, MN serving as Chair of the firm's Investment Committee and member of the management team. While at DCA, Conor provided investment, governance and plan consulting services to defined benefit, defined contribution and foundation/endowment clients. Prior to DCA, Conor was an investment analyst at Strategic Investment Group, an OCIO firm located in Washington D.C. During his five years at Strategic, Conor's roles included investment manager due diligence, portfolio management analytics, trading operations, and performance measurement.

Disciplinary Information: Conor has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Conor is not involved in any outside business activities.

Additional Compensation: Conor does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Conor is supervised by Nicole Delahanty, a Principal with the firm. You can make contact with Ms. Delahanty at 612-252-9373 or nicoled@jslocum.com.

Kyle J. Schmit, CFA, Senior Consultant



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Born: 1973

Educational Background: Kyle earned his undergraduate degree from the University of Wisconsin at Madison in 1995 and an M.B.A. (with Distinction) from DePaul University's Kellstadt Graduate School of Business in 2004. He is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Kyle joined Slocum in 2004. Prior to joining Slocum, Kyle worked for The Northern Trust Company in the Investment Risk & Analytical Services division.

Disciplinary Information: Kyle has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Kyle is not involved in any outside business activities.

Additional Compensation: Kyle does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Kyle is supervised by Chris Tschida, a Principal with the firm. You can make contact with Mr. Tschida at 612-252-7172 or christ@jslocum.com.

Patrick M. ("Pat") Coleman, CFA, Director – Capital Markets Research



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Born: 1951

Educational Background: Pat earned a B.B.A. in Finance from the University of Notre Dame in 1975 and an M.B.A. in Finance from the University of Minnesota in 1976. He is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Pat joined Slocum in 2007. Prior to joining the firm, Pat worked at Voyageur Asset Management, Inc. in Minneapolis, from 1993 to 2006 as the Managing Director of Fixed Income Research. From 1980 to 1993, he was Vice President – Fixed Income Securities at Washington Square Capital (WSC) Inc.

Disciplinary Information: Pat has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Pat is not involved in any outside business activities.

Additional Compensation: Pat does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Pat is supervised by Jim Treanor, a Principal of the firm and Head of Research. You can make contact with Mr. Treanor at 612-216-6331 or jimt@jslocum.com.

Nolan P. O'Neill, CFA, Director – Capital Markets Research



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Born: 1983

Educational Background: Nolan earned his B.A. in Finance at the University of Minnesota's Carlson School of Management in 2003, and his M.B.A. from the Booth School of Business at the University of Chicago in March 2014. He is a Chartered Financial Analyst charterholder (CFA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

Business Experience: Nolan joined Slocum in 2005 as a fixed income intern. After a brief period as an Investment Analyst, he rejoined Slocum's Research group in 2007. His main duties include fixed income manager due diligence, market research, and client communication across all strategies fixed income-related. He is currently the Director of Fixed Income and works closely with the four person team focusing on long-only fixed income strategies. He is also a voting member of the hedge fund team and a contributor to private credit research.

Disciplinary Information: Nolan has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Nolan is not involved in any outside business activities.

Additional Compensation: Nolan does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Nolan is supervised by Pat Coleman, Director – Capital Markets Research. You can make contact with Mr. Coleman at 612-252-6333 or patc@jslocum.com.

Gregory T. ("Greg"), Stalsberg, CFA, CAIA, Director – Capital Markets Research



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Born: 1980

Educational Background: Greg earned his B.A. in Finance at the University of St. Thomas in 2003, graduating Magna Cum Laude. He is a Chartered Financial Analyst charterholder (CFA) and a Chartered Alternative Investment Analyst (CAIA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

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Business Experience: Greg re-joined Slocum in 2008 after a 2-year stint as an Equity Research Analyst at Craig-Hallum Capital Group, an institutional research, trading and investment banking firm. At Craig-Hallum, he conducted and published extensive industry and company research, working closely with the Managing Director of Healthcare research. Previously, he worked as an Investment Analyst at Slocum for two years. He began his investment career as a Financial Associate with Thrivent Financial in 2003.

Disciplinary Information: Greg has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Greg is not involved in any outside business activities.

Additional Compensation: Greg does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Greg is supervised by Jim Treanor, a Principal of the firm and Head of Research. You can make contact with Mr. Treanor at 612-216-6331 or jimt@jslocum.com.

Robert E. Lee, Ph.D., Director of Risk Management and Strategic Analysis



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Born: 1960

Educational Background: Robert earned his B.A. in Economics at the University of St. Thomas in 1984 and an M.S. (1986) and Ph.D. (1992) in Economics from Purdue University.

Business Experience: Robert joined Slocum in 2013. Prior to working at Slocum, Robert was a co-founder, partner and portfolio manager at Interlachen Capital Group. Prior to that, Robert served as a principal member of a three person team managing a global market neutral fixed income portfolio at EBF and Associates. Robert began his career in 1992 at Chicago Research and Trading in New York.

Disciplinary Information: Robert has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Robert is not involved in any outside business activities.

Additional Compensation: Robert does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Robert is supervised by Jim Treanor, a Principal of the firm and Head of Research. You can make contact with Mr. Treanor at 612-216-6331 or jimt@jslocum.com.

Adam J. Freeman, Consultant



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Born: 1982

Educational Background: Adam earned his B.S. degree in Finance and Economics from the University of Minnesota in 2004 and his M.B.A. from the Booth School of Business at the University of Chicago in 2010.

Business Experience: Adam joined Slocum in 2015. Prior to working at Slocum, Adam spent eight years as a senior analyst and associate portfolio manager at Cornerstone Capital Management, a large-cap growth mutual fund. Earlier in his career, Adam held research analyst positions at Magnetar and Piper Jaffray & Company.

Disciplinary Information: Adam has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Adam is not involved in any outside business activities.

Additional Compensation: Adam does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Adam is supervised by Texas Hemmaplardh, a Principal with the firm. You can make contact with Mr. Hemmaplardh at 612-252-9368 or texash@jslocum.com.

Christopher M. ("Chris") Lund, CFA, CAIA, Consultant



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Born: 1984

Educational Background: Chris earned a B.S. degree in Entrepreneurial Management and Finance from the University of Minnesota in 2006. He is a Chartered Financial Analyst charterholder (CFA) and a Chartered Alternative Investment Analyst (CAIA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

The CAIA designation is a professional designation recognizing expertise in the area of alternative investments. It is administered by the CAIA Association, and candidates are required to pass two levels of intensive examinations related to a broad range of alternative investments and products, portfolio management, trading strategies and professional standards and ethics. In addition, candidates must either have an undergraduate degree and one year of professional experience, or four years of qualified professional experience.

Business Experience: Chris joined Slocum in 2007.

Disciplinary Information: Chris has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Chris is not involved in any outside business activities.

Additional Compensation: Chris does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Chris is supervised by Meggan O'Shea, a Principal with the firm. You can make contact with Ms. O'Shea at 612-252-7162 or meggano@jslocum.com.

Suzanne Brophy, Consulting Associate



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Born: 1987

Educational Background: Suzanne earned her B.A. degree in Accounting from the University of St. Thomas in 2010.

Business Experience: Suzanne joined Slocum in 2016. Prior to working at Slocum, Suzanne was a Consultant for CAPTRUST, formerly DCAdvisors. In her role with CAPTRUST, she provided investment and plan design consulting services for plan sponsors, and supported communication and education efforts for their employees. Prior to CAPTRUST, Suzanne was an analyst at Stiles Financial Services.

Disciplinary Information: Suzanne has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Suzanne is not involved in any outside business activities.

Additional Compensation: Suzanne does not receive any additional compensation for advisory activities other than her regular salary and bonus.

Supervision: Suzanne is supervised by Nicole Delahanty, a Principal with the firm. You can make contact with Ms. Delahanty at 612-252-9373 or nicoled@jslocum.com.

Margaret L. ("Megan") McKechnie, Consulting Associate



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Born: 1982

Educational Background: Megan received her Bachelor of Science degree in Finance from Bradley University in 2004. She earned her Master of Business Administration (MBA), with an emphasis in Finance, at the Carlson School of Management at the University of Minnesota in 2014.

Business Experience: Megan joined Slocum in 2014. Prior to working at Slocum, Megan worked at Defined Contribution Advisors (DCA) in Minneapolis, MN as a Consultant. While at DCA, Megan provided investment, governance and plan consulting services to clients. Prior to DCA, Megan was an Associate with DiMeo Schneider & Associates, LLC, an investment consulting firm based in Chicago, IL.

Disciplinary Information: Megan has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Megan is not involved in any outside business activities.

Additional Compensation: Megan does not receive any additional compensation for advisory activities other than her regular salary and bonus.

Supervision: Megan is supervised by Nicole Delahanty, a Principal with the firm. You can make contact with Ms. Delahanty at 612-252-9373 or nicoled@jslocum.com.

Gregory K. ("Greg") Halagan, CFA, CAIA, Senior Research Associate



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Born: 1985

Educational Background: Greg earned a B.A. in Finance and Accounting from the University of St. Thomas in 2007. He is a Chartered Financial Analyst charterholder (CFA) and a Chartered Alternative Investment Analyst (CAIA).

The CFA Designation is a professional designation given by the CFA Institute. Candidates are required to pass three levels of exams covering a number of investment related disciplines and meet certain professional conduct requirements. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related).

The CAIA designation is a professional designation recognizing expertise in the area of alternative investments. It is administered by the CAIA Association, and candidates are required to pass two levels of intensive examinations related to a broad range of alternative investments and products, portfolio management, trading strategies and professional standards and ethics. In addition, candidates must either have an undergraduate degree and one year of professional experience, or four years of qualified professional experience.

Business Experience: Greg joined Slocum in 2006 as a fixed income intern. After a brief period as an Investment Analyst, he joined Slocum's Research group in 2008. Greg holds two distinct roles at the firm. First, he is a senior member of the Global Fixed Income team, responsible for capital markets research and fixed income manager due diligence. Second, he is a member of the firm's Insurance practice group, where he serves as Consultant to several large insurance relationships and works to integrate investment ideas into an insurance company framework.

Disciplinary Information: Greg has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Greg is not involved in any outside business activities.

Additional Compensation: Greg does not receive any additional compensation for advisory activities other than his regular salary and bonus.

Supervision: Greg is supervised by Pat Coleman, Director – Capital Markets Research. You can make contact with Mr. Coleman at 612-252-6333 or patc@jslocum.com.

Jonathan D. ("Jon") Havice, Strategic Advisor



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Born: 1968

Educational Background: Jon earned a BSE in Materials Science and Engineering from the University of Pennsylvania in 1990.

Business Experience: Jon joined Slocum in 2012 and resigned as an employee in March 2016. Jon currently serves as a Strategic Advisor in which capacity he will continue to provide advisory services to certain clients of the Firm. Jon is the general partner and managing member of DGV Solutions LP. Prior to joining Slocum, he co-founded Interlachen Capital Group, an SEC registered investment advisor that managed alternative asset funds from Minneapolis, MN. Before co-founding Interlachen, he was a portfolio manager at EBF & Associates in Minnetonka, MN. Prior to joining EBF, Jon worked briefly for Deephaven Capital Management. He founded Broad Reach Capital in San Francisco to manage friends and family assets, before joining Deephaven in 2001. Prior to Broad Reach, Jon spent 10 years as an executive director at O'Connor & Associates/Swiss Bank Corp/UBS throughout various mergers and acquisitions. While there, he managed the bank's FX derivative portfolio in London and traded currency options on both the Philadelphia Stock Exchange and the Chicago Mercantile Exchange.

Disciplinary Information: Jon has not been, and is not currently, involved in any legal or disciplinary events.

Other Business Activities: Jon is the general partner and managing member of DGV Solutions, LLC and a limited partner in DGV Solutions LP, an investment advisor formed through a separate limited partnership that is owned directly by the Principals of Slocum and others. DGV Solutions LP sponsors and manages DGV Enhanced US Equity Fund LLC (the "Fund"). The Fund is a private investment company, and interests in the Fund will be offered to current clients and non-clients of Slocum through a private placement. As a Strategic Advisor to Slocum who is also a limited partner in DGV Solutions LP, Jon does share in a portion of the management fees paid by the Fund to DGV Solutions LP. Currently, certain clients of Slocum are also investors in the Fund.

Jon is involved in the wind-up of Interlachen Capital Group.

Additional Compensation: Jon does not receive any additional compensation for advisory activities other than his regular payment for serving as a Strategic Advisor. To the extent a client of Slocum invests in the DGV Enhanced US Equity Fund, LLC, Jon will benefit from that investment as a limited partner in DGV Solutions LP, the General Partner to whom the Fund pays management fees.

Supervision: Jon is supervised by Jeffrey Slocum, the firm's President. You can make contact with Mr. Slocum at 612-252-7159 or jeffs@jslocum.com.