

*This brochure supplement provides information about Anthony Pellegrino that supplements the Goldstone Financial Group, LLC brochure. You should have received a copy of that brochure. Please contact Anthony Pellegrino if you did not receive Goldstone Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Anthony Pellegrino is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Goldstone Financial Group, LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Anthony Pellegrino**

Personal CRD Number: 6390276

Investment Adviser Representative

Goldstone Financial Group, LLC  
18W140 Butterfield Road 14th Floor  
Oakbrook Terrace, IL 60181  
(630) 620-9300  
[apellegrino@goldstonefinancialgroup.com](mailto:apellegrino@goldstonefinancialgroup.com)

UPDATED: 11/17/2015

Form ADV 2B Version: 11/17/2015

## Item 2: Educational Background and Business Experience

**Name:** Anthony Pellegrino **Born:** 1976

### Educational Background and Professional Designations:

#### Education:

Anthony Pellegrino has not received any higher education degrees after high school.

#### Business Background:

11/2008 - Present	Principal Goldstone Financial Group, LLC
08/2014 - Present	Investment Adviser Representative Brookstone Capital Management
01/2004 - Present	Principal Goldstone Financial Group, LLC
01/2000 - 01/2004	Owner M&A Pellegrino

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Anthony Pellegrino is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Goldstone Financial Group, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Goldstone Financial Group, LLC in their capacity as a licensed insurance agent.

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### Item 5: Additional Compensation

Anthony Pellegrino does not receive any economic benefit from any person, company, or organization, other than Goldstone Financial Group, LLC in exchange for providing clients advisory services through Goldstone Financial Group, LLC.

### Item 6: Supervision

Anthony Pellegrino is a co-owner and co-supervisor of Goldstone Financial Group, LLC, and works closely with co-supervisor Michael Pellegrino. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Michael Pellegrino can be reached at (630) 620-9300. Anthony Pellegrino adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

### Item 7: Requirements For State Registered Advisers

*This disclosure is required by Illinois state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Anthony Pellegrino has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or

e) dishonest, unfair, or unethical practices.

B. Anthony Pellegrino has NOT been the subject of a bankruptcy petition in the past ten years.