

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
December 2015**

**Daniel J. Klein**

**Lockshield Partners, Inc.  
301 South Broadway Ave.  
Glasgow, KY 42141**

**Firm Contact:  
Jeremiah Harston  
Chief Compliance Officer**

**This brochure supplement provides information about Dan Klein that supplements our Firm Brochure. Please contact us if you did not receive Lockshield Partners, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Dan Klein is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 3213917.**

## **Item 2: Educational Background & Business Experience**

**Daniel J. Klein | Year of Birth: 1973**

### **Educational Background:**

- 1995; St. Louis University; Bachelor of Science - Education

### **Business Background:**

- 04/2015 – Present      Lockshield Partners, Inc.;  
Managing Member & Investment Adviser Representative
- 07/2011 – Present      LPL Financial LLC; Registered Representative
- 04/1999 – 04/2015      South Central Bank; Financial Advisor
- 04/1999 – 07/2011      Uvest Financial Services, Inc.  
Investment Adviser Representative and Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 03/2011      Series 65
- 04/1999      Series 63

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Dan Klein.

## **Item 4: Other Business Activities**

Dan Klein is a registered representative of LPL Financial, LLC, Member FINRA/SIPC and a licensed insurance agent. As such, he may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned.

## **Item 5: Additional Compensation**

Dan Klein does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

Jeremiah Harston, Chief Compliance Officer of Lockshield Partners, Inc., supervises and monitors Dan Klein's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Harston if you have any questions about Dan Klein's brochure supplement.

## **Item 7: Requirements for State-Registered Advisers**

Dan Klein has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Jeremiah Harston**

**Lockshield Partners, Inc.  
301 South Broadway Ave.  
Glasgow, KY 42141**

**Firm Contact:  
Daniel J. Klein  
Managing Member**

**This brochure supplement provides information about Jeremiah Harston that supplements our Firm Brochure. Please contact us if you did not receive Lockshield Partners, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Jeremiah Harston is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 5863764.**

## Item 2: Educational Background & Business Experience

**Jeremiah Harston | Year of Birth: 1978**

### **Educational Background:**

- 2000; University of Memphis; J.D. / M.B.A.
- 1997; Western Kentucky University; Bachelor of Arts

### **Business Background:**

- 04/2015 – Present      Lockshield Partners, Inc.;  
Managing Member & Investment Adviser Representative
- 07/2011 – Present      LPL Financial LLC; Registered Representative
- 07/2011 – 04/2015      South Central Bank; Financial Advisor
- 11/2010 – 06/2011      Mass Mutual Life Insurance Company; Agent
- 05/2006 – 11/2010      Dennie Hardin and Associates, Attorneys at Law; Attorney
- 07/2004 – 05/2006      Keen and Hardin, Attorneys at Law; Attorney

### **Exams, Licenses & Other Professional Designations:**

- 03/2011      Series 63
- 07/2011      Series 65

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Jeremiah Harston.

## Item 4: Other Business Activities

Jeremiah Harston is a registered representative of LPL Financial, LLC, member FINRA/SIPC. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation he/she may earn.

## Item 5: Additional Compensation

Jeremiah Harston does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

Dan Klein, Managing Member of Lockshield Partners, Inc., supervises and monitors Jeremiah Harston's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Klein if you have any questions about Jeremiah Harston's brochure supplement.

## Item 7: Requirements for State-Registered Advisers

Jeremiah Harston has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.