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Wealth Management Group, LLC

**Investment Adviser
Brochure Supplement Part 2B**

Brian T. Niemann

Wealth Management Group, LLC
1328 2nd Avenue, Cumberland, WI 54829
Main Telephone No. (715) 822-4888
www.wealthmanagementgroupllc.net

This brochure supplement provides information about Brian T. Niemann that supplements the Wealth Management Group, LLC brochure. You should have received a copy of that brochure. Please contact me if you did not receive Wealth Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Brian T. Niemann is available on the SEC's website at www.adviserinfo.sec.gov.

Financial Planning offered through Wealth Management Group, LLC, a registered investment adviser, not affiliated with LPL Financial.

December 1, 2015

Item 2 – Educational Background and Business Experience

Brian T. Niemann was born in 1966. He attended the University of Wisconsin–Eau Claire from 1985 to 1988.

Mr. Niemann has earned and maintains various professional designations. The designations and minimum qualification requirements follow:

Certified Financial Planner™ (CFP®) 1995

Coursework

To earn the certification recipients must meet the following requirements:

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Education

In addition to completing the course-work an applicant must have a bachelor's degree, its equivalent or an advanced degree so long as it is from an accredited college or university. This is a requirement for certification but is not a requirement to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client. Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.

- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.
- Monitoring the Financial Planning Recommendations – This involves discussing with the client any changes in their personal circumstances, evaluating changing tax laws, and making recommendations based on new or changing conditions.

Applicants have five years from the date they pass the examination to satisfy the Bachelor's Degree and work experience requirements.

Standards of Professional Conduct

Applicants are required to meet the CFP Board's *Candidate Fitness Standards*, which describe conduct that: 1) is unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

Before being authorized to use the CFP® certification marks, applicants and holders of the certificate must disclose any criminal, civil, self-regulatory organization or governmental agency inquiry, investigation or proceeding involvement. Applicants and holders must also acknowledge the right of the CFP Board to enforce its *Standards of Professional Conduct*.

Chartered Financial Consultant (ChFC®) 1994

Coursework

To earn the certification recipients must take 10 Courses (choosing from seven required and three electives). Required courses are:

- Financial Planning: Process and Environment
- Fundamentals of Insurance Planning
- Income Taxation
- Planning for Retirement Needs
- Investments
- Fundamentals of Estate Planning

- Financial Planning Applications

Electives are:

- The Financial System in the Economy
- Estate Planning Applications
- Executive Compensation
- Financial Decisions for Retirement

Experience Requirements

Three years of full-time business experience within five years preceding the date of the award. An undergraduate or graduate degree from an accredited educational institution qualifies as one year of business experience.

Part-time qualifying business experience is credited toward the three-year requirement on an hourly basis, with 2,000 hours representing the equivalent of one year full-time experience.

Qualifying experience may include:

- Underwriting, management, sales and service activities related to insurance and health care
- Client service, support, operations, supervision, and management activities related to providing financial services or employee benefits
- University or college teaching
- Government regulatory service in a responsible administrative, supervisory, or operational capacity.
- Activities directly or indirectly related to the protection, accumulation, conservation, or distribution of the economic value of human life including the work of actuaries, attorneys, CPAs, investment advisers, real estate investment advisers, stockbrokers, trust officers, or persons in other similar occupations.

Continuing Education

Certain certificate holders have to earn 30 hours of continuing education credit every two years.

Ethical Standards

Certificate holders must agree to comply with The American College Code of Ethics and Procedures.

Business Experience

Firm Name and Title	Dates
Wealth Management Group, LLC, Managing Member	08/2002 to present
LPL Financial, Registered Principal, Registered Representative, Investment Adviser Representative	07/2002 to present

Item 3 – Disciplinary Information

Mr. Niemann does not have any material legal or disciplinary events to disclose. Mr. Niemann is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Niemann is a registered principal and investment adviser representative of LPL Financial a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC") and a registered investment adviser. Mr. Niemann is also an insurance agent appointed with various insurance companies.

In these capacities Mr. Niemann may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Mr. Niemann is affiliated. Thus, a potential conflict of interest may exist between the interests of Mr. Niemann and those of the advisory clients. However, clients are under no obligation to act upon any recommendations of Mr. Niemann or affect any transactions through Mr. Niemann if they decide to follow the recommendations.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Niemann is an officer of the Adviser and does not have a supervisor.

Item 7 — Requirements for State-Registered Advisers

Mr. Niemann has never been accused or found liable in any arbitration claim alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

Mr. Niemann has never paid an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Niemann has not been the subject of any bankruptcy petition.



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Wealth Management Group, LLC

Investment Adviser Brochure Supplement Part 2B

Bradley Seboe

Wealth Management Group, LLC
1328 2nd Avenue, Cumberland, WI 54829
Main Telephone No. (715) 822-4888
www.wealthmanagementgroupllc.net

This brochure supplement provides information about Bradley Seboe that supplements the Wealth Management Group, LLC brochure. You should have received a copy of that brochure. Please contact me if you did not receive Wealth Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Bradley Seboe is available on the SEC's website at www.adviserinfo.sec.gov.

Financial Planning offered through Wealth Management Group, LLC, a registered investment adviser, not affiliated with LPL Financial.

December 17, 2015

Item 2 – Educational Background and Business Experience

Bradley Seboe was born in 1968. Mr. Seboe received a Bachelor of Business Administration degree from the University of Wisconsin in 1997.

Business Experience

Firm Name and Title	Dates
Wealth Management Group, LLC, Investment Adviser Representative	05/2011 to present
LPL Financial, Registered Representative, Investment Adviser Representative	05/2011 to present
Commonwealth Financial Network, Registered Representative	09/2005 to 05/2011

Item 3 – Disciplinary Information

Mr. Seboe does not have any material legal or disciplinary events to disclose. Mr. Seboe is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Seboe is a registered representative and investment adviser representative of LPL Financial a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC") and a registered investment adviser. Mr. Seboe is also an insurance agent appointed with various insurance companies.

In these capacities Mr. Seboe may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Mr. Seboe is affiliated. Thus, a potential conflict of interest may exist between the interests of Mr. Seboe and those of the advisory clients. However, clients are under no obligation to act upon any recommendations of Mr. Seboe or affect any transactions through Mr. Seboe if they decide to follow the recommendations.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Seboe's supervisor is Brian T. Niemann, President. Clients may contact Mr. Niemann at (715) 822-4888.

Item 7 — Requirements for State-Registered Advisers

Mr. Seboe has never been accused or found liable in any arbitration claim alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

Mr. Seboe has never paid an award or otherwise being found liable in self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Mr. Seboe was named as a party in a civil litigation settlement in December 2014. The dispute was over the terms of a variable annuity. Details can be found through [Broker Check](#) or the [Investment Adviser Public Disclosure](#) site by performing a search by name.

Mr. Seboe has not been the subject of any bankruptcy petition.



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Wealth Management Group, LLC

Investment Adviser Brochure Supplement Part 2B

Robert J. Boschke

6336 Pine Lake Rd
Rhineland, WI 54501
715-272-1978

Wealth Management Group, LLC
1328 2nd Avenue, Cumberland, WI 54829
Main Telephone No. (715) 822-4888
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This brochure supplement provides information about Robert J. Boschke that supplements the Wealth Management Group, LLC brochure. You should have received a copy of that brochure. Please contact me if you did not receive Wealth Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

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December 17, 2015

Item 2 – Educational Background and Business Experience

Robert J. Boschke was born in 1960. Mr. Boschke received a Bachelor of Science degree from the University of Wisconsin in 1983.

Business Experience

Firm Name and Title	Dates
Wealth Management Group, LLC, Investment Adviser Representative	02/2012 to present
LPL Financial, Registered Representative, Investment Adviser Representative	02/2012 to present
Raymond James Financial Services; Financial Advisor	09/2008 to 01/2012
River Valley State Bank; Investment Executive	12/2006 to 01/2012
Primevest Financial; Financial Advisor	12/2006 to 09/2008

Item 3 – Disciplinary Information

Mr. Boschke does not have any material legal or disciplinary events to disclose. Mr. Boschke is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Boschke is a registered representative and investment adviser representative of LPL Financial a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC") and a registered investment adviser. Mr. Boschke is also an insurance agent appointed with various insurance companies.

In these capacities Mr. Boschke may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Mr. Boschke is affiliated. Thus, a potential conflict of interest may exist between the interests of Mr. Boschke and those of the advisory clients. However, clients are under no obligation to act upon any recommendations of Mr. Boschke or affect any transactions through Mr. Boschke if they decide to follow the recommendations.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Boschke's supervisor is Brian T. Niemann, President. Clients may contact Mr. Niemann at (715) 822-4888.

Item 7 – Requirements for State-Registered Advisers

Mr. Boschke has never been accused or found liable in any arbitration claim alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

Mr. Boschke has never paid an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Boschke has not been the subject of any bankruptcy petition.



W M G

Wealth Management Group, LLC

Investment Adviser Brochure Supplement Part 2B

Stephen Bowman

Wealth Management Group, LLC
1328 2nd Avenue, Cumberland, WI 54829
Main Telephone No. (715) 822-4888
www.wealthmanagementgroupllc.net

This brochure supplement provides information about Stephen Bowman that supplements the Wealth Management Group, LLC brochure. You should have received a copy of that brochure. Please contact me if you did not receive Wealth Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen Bowman is available on the SEC's website at www.adviserinfo.sec.gov.

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December 17, 2015

Item 2 – Educational Background and Business Experience

Stephen Bowman was born in 1968. Mr. Bowman received a Masters Degree in Business from the University of Denver in 2001 and a Bachelor of Science degree from the University of Colorado at Boulder in 1991.

Chartered Life Underwriter (CLU) 2012

Coursework

To earn the certification recipients must take 8 Courses (choosing from five required and three electives). Required courses are:

- Fundamentals of Insurance Planning
- Individual Life Insurance
- Life Insurance Law
- Fundamentals of Estate Planning
- Planning for Business Owners and Professionals

Electives are:

- Financial Planning: Process and Environment
- Individual Health Insurance
- Income Taxation
- Group Benefits
- Planning for Retirement Needs
- Investments
- Estate Planning Applications

Experience Requirements

Three years of full-time business experience within five years preceding the date of the award. An undergraduate or graduate degree from an accredited educational institution qualifies as one year of business experience.

Part-time qualifying business experience is credited toward the three-year requirement on an hourly basis, with 2,000 hours representing the equivalent of one year full-time experience.

Qualifying experience may include:

- Underwriting, management, sales and service activities related to insurance and health care
- Client service, support, operations, supervision, and management activities related to providing financial services or employee benefits
- University or college teaching

- Government regulatory service in a responsible administrative, supervisory, or operational capacity.

Activities directly or indirectly related to the protection, accumulation, conservation, or distribution of the economic value of human life including the work of actuaries, attorneys, CPAs, investment advisers, real estate investment advisers, stockbrokers, trust officers, or persons in other similar occupations.

Continuing Education

Certain certificate holders have to earn 30 hours of continuing education credit every two years.

Ethical Standards

Certificate holders must agree to comply with The American College Code of Ethics and Procedures.

Chartered Financial Consultant (ChFC®) 2011

Coursework

To earn the certification recipients must take 10 Courses (choosing from seven required and three electives). Required courses are:

- Financial Planning: Process and Environment
- Fundamentals of Insurance Planning
- Income Taxation
- Planning for Retirement Needs
- Investments
- Fundamentals of Estate Planning
- Financial Planning Applications

Electives are:

- The Financial System in the Economy
- Estate Planning Applications
- Executive Compensation
- Financial Decisions for Retirement

Experience Requirements

Three years of full-time business experience within five years preceding the date of the award. An undergraduate or graduate degree from an accredited educational institution qualifies as one year of business experience.

Part-time qualifying business experience is credited toward the three-year requirement on an hourly basis, with 2,000 hours representing the equivalent of one year full-time experience.

Qualifying experience may include:

- Underwriting, management, sales and service activities related to insurance and health care
- Client service, support, operations, supervision, and management activities related to providing financial services or employee benefits
- University or college teaching
- Government regulatory service in a responsible administrative, supervisory, or operational capacity.
- Activities directly or indirectly related to the protection, accumulation, conservation, or distribution of the economic value of human life including the work of actuaries, attorneys, CPAs, investment advisers, real estate investment advisers, stockbrokers, trust officers, or persons in other similar occupations.

Continuing Education

Certain certificate holders have to earn 30 hours of continuing education credit every two years.

Ethical Standards

Certificate holders must agree to comply with The American College Code of Ethics and Procedures.

Certified Financial Planner™ (CFP®) 2005

Coursework

To earn the certification recipients must meet the following requirements:

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Education

In addition to completing the course-work an applicant must have a bachelor's degree, its equivalent or an advanced degree so long as it is from an accredited college or university. This is a requirement for certification but is not a requirement to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client.

Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.
- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.
- Monitoring the Financial Planning Recommendations – This involves discussing with the client any changes in their personal circumstances, evaluating changing tax laws, and making recommendations based on new or changing conditions.

Applicants have five years from the date they pass the examination to satisfy the Bachelor's Degree and work experience requirements.

Standards of Professional Conduct

Applicants are required to meet the CFP Board's *Candidate Fitness Standards*, which describe conduct that: 1) is unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

Before being authorized to use the CFP® certification marks, applicants and holders of the certificate must disclose any criminal, civil, self-regulatory organization or governmental agency inquiry, investigation or proceeding involvement. Applicants and holders must also acknowledge the right of the CFP Board to enforce its *Standards of Professional Conduct*.

Continuing Education

- Certificants are required to complete 30 hours of CE every two-year period ending the last day of the CFP® certificant's renewal month.
- 2 hours from a CFP Board-approved program on CFP Board's Code of Ethics and Professional Responsibility (Code of Ethics) and/or Financial Planning Practice Standards.
- 28 hours from one or more of the accepted subject topics

Business Experience

Firm Name and Title	Dates
Wealth Management Group, LLC, Investment Adviser Representative	05/2014 to present
LPL Financial, Registered Representative, Investment Adviser Representative	05/2014 to present
Valmark Securities, Inc.; Registered Representative	02/2003 to 05/2014
Business & Estate Advisers, Inc.; Wealth Management Specialist	06/2001 to 12/2013

Item 3 – Disciplinary Information

Mr. Bowman does not have any material legal or disciplinary events to disclose. Mr. Bowman is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Bowman is a registered representative and investment adviser representative of LPL Financial a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC") and a registered investment adviser. Mr. Bowman is also an insurance agent appointed with various insurance companies.

In these capacities Mr. Bowman may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Mr. Bowman is affiliated. Thus, a potential conflict of interest may exist between the interests of Mr. Bowman and those of the advisory clients. However, clients are under no obligation to act upon any recommendations of Mr. Bowman or affect any transactions through Mr. Bowman if they decide to follow the recommendations.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Bowman's supervisor is Brian T. Niemann, President. Clients may contact Mr. Niemann at (715) 822-4888.

Item 7 — Requirements for State-Registered Advisers

Mr. Bowman has never been accused or found liable in any arbitration claim alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

Mr. Bowman has never paid an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Bowman has not been the subject of any bankruptcy petition.



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Wealth Management Group, LLC

Investment Adviser Brochure Supplement Part 2B

Stephen F. Driever

Wealth Management Group, LLC
103 N. Bridge Street, Ste. 255, Chippewa Falls, WI 54729
Main Telephone No. (715) 822-4888
www.wealthmanagementgroupllc.net

This brochure supplement provides information about Stephen F. Driever that supplements the Wealth Management Group, LLC brochure. You should have received a copy of that brochure. Please contact me if you did not receive Wealth Management Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen F. Driever is available on the SEC's website at www.adviserinfo.sec.gov.

Financial Planning offered through Wealth Management Group, LLC, a registered investment adviser, not affiliated with LPL Financial.

December 17, 2015

Item 2 – Educational Background and Business Experience

Stephen F. Driever was born in 1955. Mr. Driever received a Bachelor of Science degree with a major in Education Psychology from NorthWest Missouri State University in 1978.

Business Experience

Firm Name and Title	Dates
Wealth Management Group, LLC, Sr. Financial Consultant	05/2015 to present
LPL Financial, Registered Representative, Investment Adviser Representative	05/2015 to present
Wells Fargo Advisors, LLC, Financial Advisor	09/2009 to 05/2015
UBS, Financial Advisor	06/2005 to 09/2009
Piper Jaffray Inc., Financial Advisor	03/1984 to 06/2005

Item 3 – Disciplinary Information

Mr. Driever does not have any material legal or disciplinary events to disclose. Mr. Driever is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Driever is a registered representative and investment adviser representative of LPL Financial a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC") and a registered investment adviser. Mr. Driever is also an insurance agent appointed with various insurance companies.

In these capacities Mr. Driever may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Mr. Driever is affiliated. Thus, a potential conflict of interest may exist between the interests of Mr. Driever and those of the advisory clients. However, clients are under no obligation to act upon any recommendations of Mr. Driever or affect any transactions through Mr. Driever if they decide to follow the recommendations.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Driever's supervisor is Brian T. Niemann, President. Clients may contact Mr. Niemann at (715) 822-4888.

Item 7 – Requirements for State-Registered Advisers

In the past 10 years, Mr. Driever has not been accused or found liable in any arbitration claim alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

Mr. Driever has never paid an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statements, or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Mr. Driever has not been the subject of any bankruptcy petition.