

*This brochure supplement provides information about Mark A Shuster that supplements the SFG Retirement Plan Consulting, LLC brochure. You should have received a copy of that brochure. Please contact Mark A Shuster if you did not receive SFG Retirement Plan Consulting, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Mark A Shuster is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **SFG Retirement Plan Consulting, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Mark Allan Shuster**

Personal CRD Number: 1402702

Investment Adviser Representative

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## Item 2: Educational Background and Business Experience

**Name:** Mark Allan Shuster **Born:** 1961

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor Business Management, California State University at Fresno - 1983

#### **Business Background:**

02/2014 - Present	Investment Adviser Representative SFG Retirement Plan Consulting, LLC
02/2001 - Present	CEO Shuster Financial Group, LLC
01/1996 - Present	Financial Advisor AXA Advisors, LLC
08/1988 - Present	President Shuster Financial & Insurance Services, Inc.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Mark Allan Shuster is a registered representative of AXA Advisors, LLC and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. SRPC always acts in the best interest of the client, including with respect to the sale of

commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of SRPC in such individual's capacity as a registered representative.

Mark Allan Shuster is an investment adviser representative with two other investment advisory firms, AXA Advisors & The Sterling Group, and from time to time, may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. SRPC always acts in the best interest of the client and clients are in no way required to use the services of any representative of SRPC in connection with such individual's activities outside of SRPC.

Mark Allan Shuster is a licensed insurance agent with Shuster Financial & Insurance Services, Inc., and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. SRPC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of SRPC in connection with such individual's activities outside of SRPC.

Mark Allan Shuster acts as a pension consultant and from time to time, may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. SRPC always acts in the best interest of the client and clients are in no way required to utilize the services of any representative of SRPC in connection with such individual's activities outside of SRPC.

Mark Allan Shuster spends 70 hours per week of his time on those activities and considers them his primary business.

### **Item 5: Additional Compensation**

Mark Allan Shuster does not receive any economic benefit from any person, company, or organization, other than SFG Retirement Plan Consulting, LLC in exchange for providing clients advisory services through SFG Retirement Plan Consulting, LLC.

### **Item 6: Supervision**

As the only owner and representative of SFG Retirement Plan Consulting, LLC, Mark Allan Shuster supervises all activities of the firm. Mark Allan Shuster's response information is on the cover page of this disclosure document. Mark Allan Shuster adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.