

## Form ADV Part 2B: *Brochure Supplement*

### **Item 1 – Cover Page**

Aaron Spelker  
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This brochure supplement provides information about Aaron Spelker that supplements the Marathon Retirement and Pension Consulting, LLC (“Marathon Retirement and Pension Consulting”) disclosure brochure. You should have received a copy of that brochure. Please contact Aaron Spelker at 508-320-1564 or at [spelker@marathonRPC.com](mailto:spelker@marathonRPC.com) if you did not receive Marathon Retirement and Pension Consulting’s brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron Spelker is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Educational Background and Business Experience**

#### **Aaron Spelker**

09/17/1974

#### ***Post Secondary Educational Background:***

- University of Illinois at Urbana-Champaign, BS in Finance: 1997 Babson College, MBA: 2003

#### ***Business Background:***

- Marathon Retirement and Pension Consulting, LLC, Managing Member, Investment Advisor Representative, 06/2013 to Present
- MetLife, Institutional Portfolio Advisor, 01/2010 to 05/2013
- MetLife Securities Inc., Registered Representative, 12/2010 to 05/2013
- MetLife, Director of Finance, 01/2003 to 11/2010
- MetLife, Manager of Finance, 01/2000 to 01/2003
- MetLife, Senior Consultant, 01/1998 to 01/2000
- New England Securities, Registered Representative, 03/1998 to 12/2010
- Olde Discount Stockbrokers, Registered Representative, 01/1997 to 01/1998

#### ***Professional Designations***

Mr. Spelker has attained the Chartered Financial Analyst (CFA) designation which is issued by the CFA Institute, formerly known as the Association for Investment Management and Research (AIMR). The CFA

charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 90,000 CFA charter holders working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

### **Global Recognition**

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charter holders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 22 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

### **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

### **Item 3 – Disciplinary Information**

Mr. Spelker has no legal or disciplinary events to report.

#### **Item 4 – Other Business Activities**

Aaron Spelker is a registered representative with Royal Alliance. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Marathon Retirement and Pension Consulting, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Marathon Retirement and Pension Consulting, LLC in such individual's outside capacities.

#### **Item 5 – Additional Compensation**

Mr. Spelker's only compensation received from advisory services is the fees charged for providing investment advisory services

#### **Item 6 – Supervision**

Aaron Spelker is the Chief Compliance Officer of Marathon Retirement and Pension Consulting. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Aaron Spelker can be contacted at 508-320-1564.

#### **Item 7 – Requirements for State-Registered Advisers**

Aaron Spelker has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.

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