

Part 2B of Form ADV: *Brochure Supplement*

Kathleen M. O'Connor
Plexus Financial Services, LLC

21805 W. Field Parkway, Suite 300
Deer Park, IL 60010

Telephone: 847-307-6165
Email: koconnor@plexusfs.com
Web Address: www.plexusfs.com

03/31/2015

This brochure supplement provides information about Kathleen M. O'Connor that supplements the Plexus Financial Services, LLC brochure. You should have received a copy of that brochure. Please contact Kathleen M. O'Connor if you did not receive Plexus Financial Services, LLC's brochure or if you have any questions about the contents of this supplement.

Item 2 Educational Background and Business Experience

Kathleen M. O'Connor

Year of Birth:

1965

Education:

Ms. O'Connor graduated from the University of Central Florida in 1987 with a Bachelor of Science in Business Administration (B.S.B.A), with a concentration in Finance.

Professional Designations:

Ms. O'Connor holds the Qualified 401(k) Administrator (QKA) credential awarded by the American Society of Pension Professionals & Actuaries (ASPPA). The QKA credential is for retirement plan professionals who assist employers and consultants with the recordkeeping, non-discrimination testing and administrative aspects of 401(k) and related plans. To become certified, professionals must have a minimum of two years of pension-related experience and pass a rigorous series of exams including requirements in the Internal Revenue Code and Treasury Regulations, complex rules regarding plan qualification and nondiscrimination requirements, distributions, plan design and allocations. All credentialed members must acquire a minimum of forty hours of Continuing Education credits in a two-year cycle to retain credentials.

Kathleen has also earned the Accredited Investment Fiduciary (AIF®) Designation issued by the Center for Fiduciary Studies. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive final examination under the supervision of a proctor and agree to abide by a strict Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and complete a minimum of six hours of continuing education each year. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Kathleen has been licensed as a registered securities representative with Plexus Financial Services since September 2013 and an investment advisor representative with Plexus Financial Services since October 2014.

Business Background:

Plexus Financial Services, Sr. Vice President, Client Relations, 6/2013-Present

First American Bank, First Vice President, Qualified Retirement Plans, 4/2002-6/2013

The Northern Trust Company, Consultant, Recordkeeping Relationship Group, 4/2001-3/2002

KMO Associates, Principal, 1/1998-4/2001

Baker & McKenzie, Attorneys At Law, 9/1989-12/1997

Chicago Title and Trust Company, 06/1987 to 09/1989.

Item 3 Disciplinary Information

Ms. O'Connor does not have any history of disciplinary events.

Item 4 Other Business Activities

In addition to being an investment advisory firm, Plexus Financial Services, LLC is also a registered broker/dealer and member FINRA/SIPC. Kathleen is separately licensed as a registered securities representative with Plexus Financial Services, LLC.

Item 5 Additional Compensation

Ms. O'Connor and other related persons of our firm are licensed as insurance agents or brokers. In this separate capacity, these individuals are able to implement investment recommendations for advisory clients for separate and typical commissions. This presents a conflict of interest to the extent that these individuals recommend that a client purchase an insurance product which results in a commission being paid to the individuals. Clients are not under any obligation to engage these individuals when considering implementation of advisory recommendations. The implementation of any or all insurance recommendations is solely at the discretion of the client.

Item 6 Supervision

Ms. O'Connor is subject to supervision by Plexus Financial Services' Chief Compliance Officer, Allison Winge.

Item 7 Requirements for State-Registered Advisors

Plexus Financial Services, LLC is a federally registered advisor and notice files only in selected states.