

*This brochure supplement provides information about Ian Ananda Lucas that supplements the Factor Technologies Inc. brochure. You should have received a copy of that brochure. Please contact Ian Ananda Lucas if you did not receive Factor Technologies Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Ian Ananda Lucas is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Factor Technologies Inc.**

d/b/a HummingBird

Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Ian Ananda Lucas**

Personal CRD Number: 5213979

Investment Adviser Representative

Factor Technologies Inc.  
10620 Treena Street Suite 230  
San Diego, CA 92131  
(858) 880-0217  
[ian@factortechologies.com](mailto:ian@factortechologies.com)

UPDATED: 09/02/2015

## **Item 2: Educational Background and Business Experience**

**Name:** Ian Ananda Lucas **Born:** 1980

### **Educational Background and Professional Designations:**

#### **Education:**

MBA Managment, University of California, San Diego - 2015  
Bachelors of Science Finance, University of Florida - 2002

#### **Business Background:**

04/2015 - Present	CEO Factor Technologies Inc.
03/2007 - Present	CEO Graham Investment Management, Inc.
09/2002 - 03/2012	Air Battle Manager United States Air Force

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Ian Ananda Lucas is an investment adviser representative with another firm. From time to time, he will offer clients advice or products from this activity. Factor Technologies Inc. always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Factor Technologies Inc. in such individual's outside capacity.

### **Item 5: Additional Compensation**

Ian Ananda Lucas does not receive any economic benefit from any person, company, or organization, other than Factor Technologies Inc. in exchange for providing clients advisory services through Factor Technologies Inc..

### **Item 6: Supervision**

Ian Ananda Lucas is a co-owner and co-supervisor of Factor Technologies Inc., and works closely with co-supervisor Christopher J Mendoza. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Christopher Mendoza can be reached at (858) 880-0217. Ian Ananda Lucas adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by California state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Ian Ananda Lucas has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Ian Ananda Lucas has NOT been the subject of a bankruptcy petition in the past ten years.