

**Luis Martinez**

**Abendstern Wealth Management, Inc.**

**CRD # 2290114**

**18 N. Valley Oaks Circle**

**The Woodlands, Texas 77382**

**(936) 242-1238**

**August 19, 2015**

**This Brochure Supplement provides information about Luis Martinez that supplements the Abendstern Wealth Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact Abendstern Wealth Management, Inc. if you did not receive the Firm's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Luis Martinez is available on the SEC's Website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Luis Martinez was born in 1950. He holds a BS in Mechanical Engineering from Universidad Iberoamericana, Mexico City, Mexico and a MBA from Instituto Tecnológico de Monterrey, Mexico City, Mexico. Mr. Martinez's business experience is as follows:

Firm Name: Abendstern Wealth Management, Inc.  
Job Title: Director  
Employment Dates: March 2015 to Present

Firm Name: Abendstern Global Investments, Inc.  
Job Title: President  
Employment Dates: January 2014 to Present

Firm Name: Self Employed  
Job Title: Business Consulting  
Employment Dates: June 2008 to January 2014

## **ITEM 3 - DISCIPLINARY INFORMATION**

In November 2000, the Securities and Exchange Commission ("Commission") filed a civil suit in The United States District Court for the Southern District of Texas on November 7, 2000. This suit alleged that Mr. Martinez committed fraud in connection with the sales of \$70 million in investments in two offshore mutual funds in. The Commission alleged that Mr. Martinez violated the antifraud provisions in section 17(A) of the Securities Act of 1933 ("Securities Act") and section 10(B) of the Securities and Exchange Act of 1934 ("Exchange Act") and Rule 10B-5 thereunder and aided and abetted the violation of Section 206 of the Investment Advisers Act of 1940 ("Advisers Act"). Mr. Martinez submitted an offer of settlement which the SEC determined to accept on December 20, 2002 which resulted in Mr. Martinez being barred from association with any broker, dealer, or investment adviser, with the right to reapply for association after five years to the appropriate self-regulatory organization, or if there is none, to the commission and paid a monetary fine of \$350,000.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Mr. Martinez currently has no other investment-related activities.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Mr. Martinez does not receive any additional compensation related to the provision of investment advisory services.

## **ITEM 6 - SUPERVISION**

Mr. Martinez is directly supervised by Carlos Andres Alvarez, President and Chief Compliance Officer for Abendstern Wealth Management, Inc. He can be reached at (936) 242-1238.

## **ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS**

Mr. Martinez has no additional reportable disciplinary history. Mr. Martinez has not been subject to a bankruptcy petition